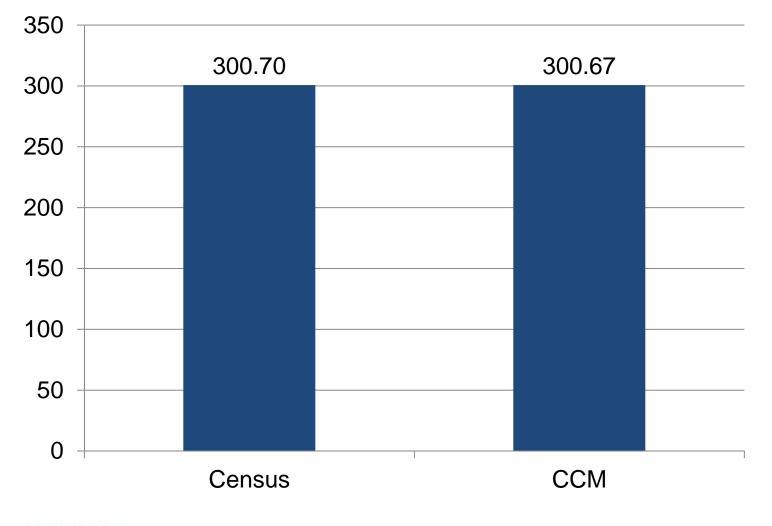
CCM Estimation Methodology and Additional Results

Thomas Mule, U.S. Census Bureau

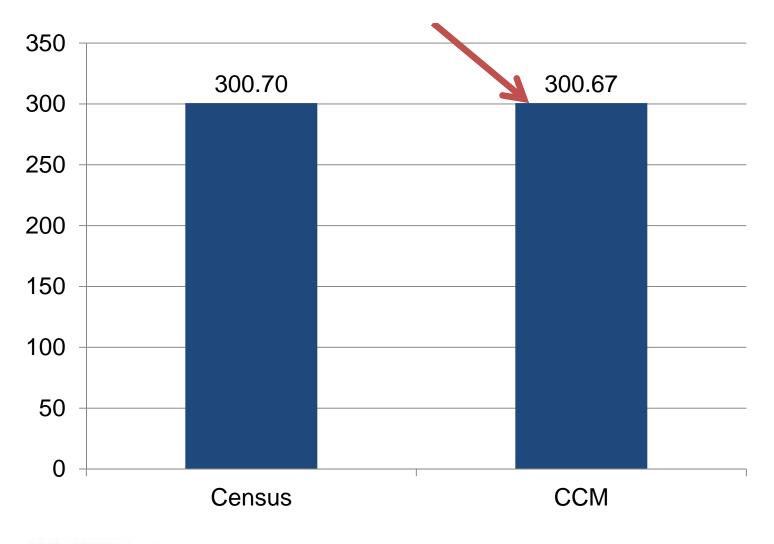


Census Count and the CCM Estimate (Level, in Millions)





Census Count and the CCM Estimate (Level, in Millions)





Dual System Estimation

- Capture/Recapture Approach
- Citations
 - Peterson (1896)
 - Sekar and Deming (1949)
 - Wolter (1986)
 - Hogan (1993)
 - Census Bureau, A.C.E. Design & Meth. (2004)



Dual System Estimation

| | | Enumerated in PES ? (P Sample) | | | |
|-------------|-------|-------------------------------------|-----------------|-----------------|--|
| | | YES |) Total | | |
| | | | | | |
| | YES | N ₁₁ | N ₁₂ | N ₁₊ | |
| Correctly | | | | | |
| Enumerated | NO | N ₂₁ | N ₂₂ | N ₂₊ | |
| in Census ? | | | | | |
| | Total | N ₊₁ | N ₊₂ | N | |
| (E sample) | | | | | |



Dual System Estimation Logistic Regression

- CCM using logistic regression instead of poststratification
- Haberman, Jiang and Spencer (1998), Griffin (2005), Mule and Olson (2005), Mule (2008)
- Logistic regression
- Include variables as main effects
- Do not need to include any unnecessary interactions
- Include more variables



Independent Variables for Person Logistic Regression Models

Race and Hispanic Origin (7)

Age/Sex Groups (9)

Tenure

Region

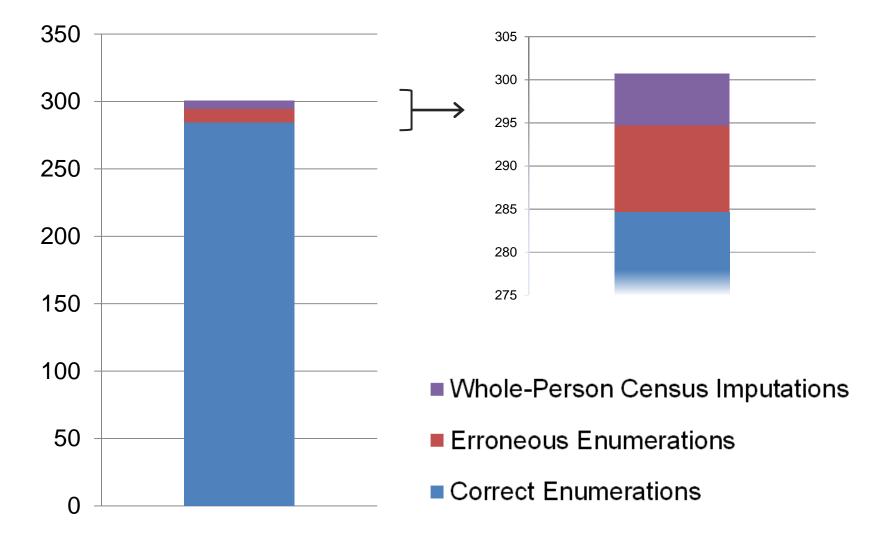
Metropolitan Statistical Area/ Type of Enumeration Area Participation Rate

Spouse Present Relationship to Householder

Replacement Mailing Areas Bilingual Mail Areas

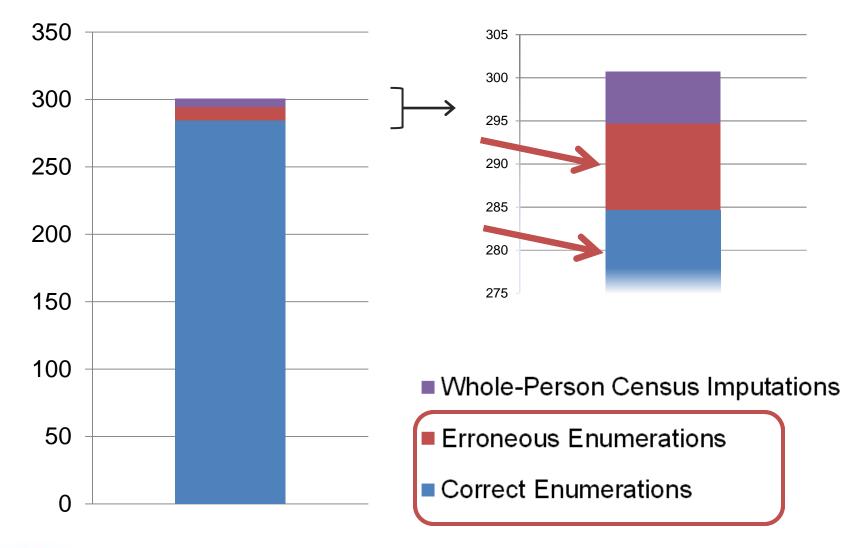


National Components of the Census Count



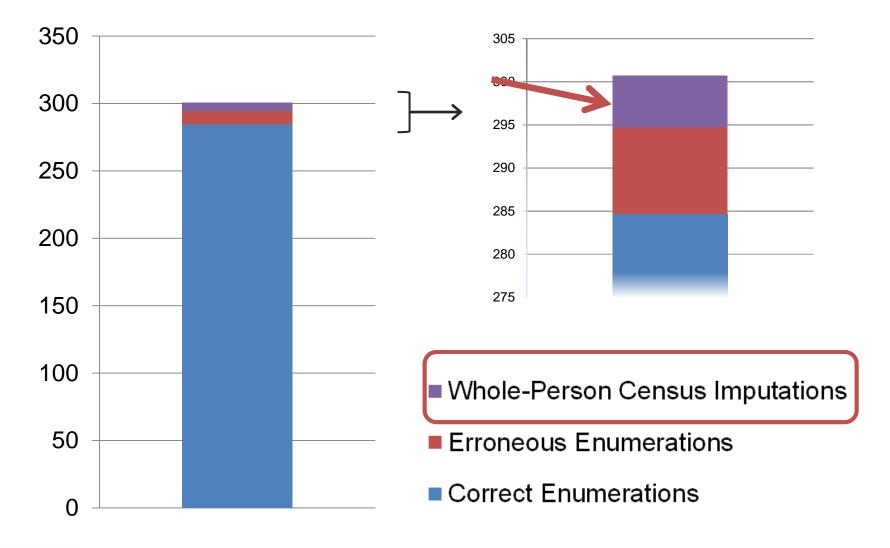


National Components of the Census Count



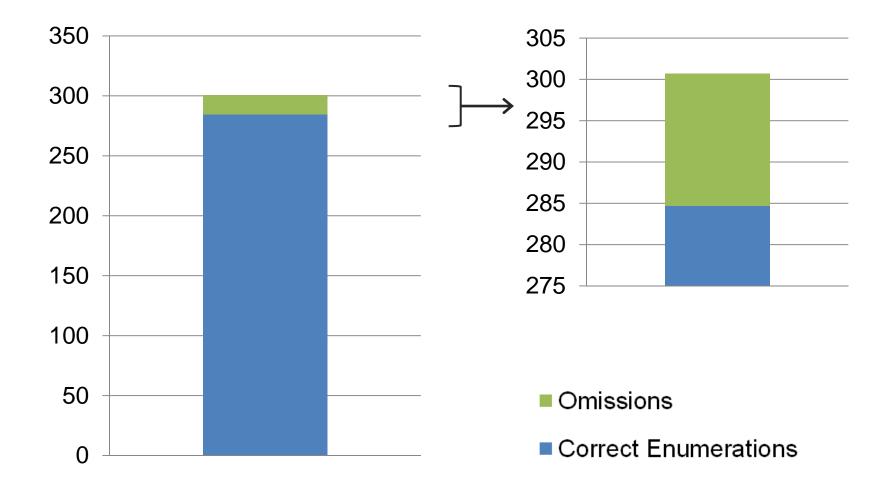


National Components of the Census Count



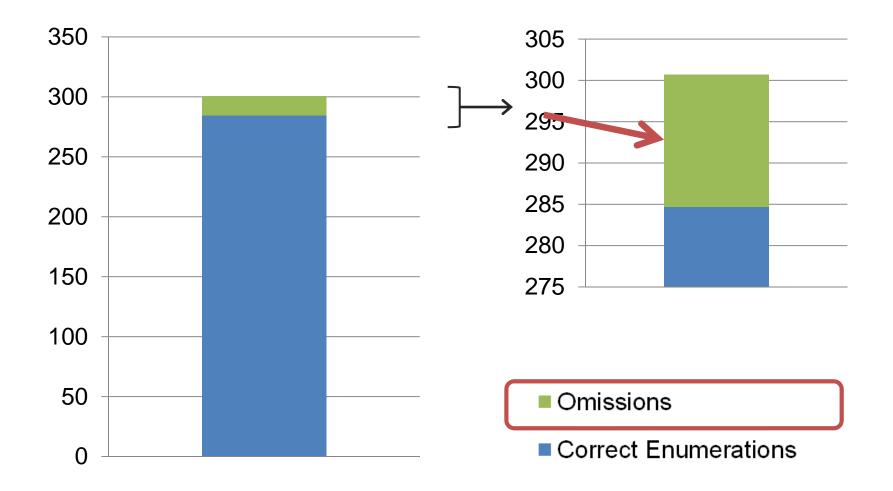


National Components of the CCM Estimate





National Components of the CCM Estimate





Additional Results



Person Duplication

2010: 8.5 million (2.8%)

2000: 6.6 million (2.1%)



Person Erroneous Enumerations Due to Duplication Between Housing Units

| | 2000 | | 2010 | |
|---|-------|---------|-------|---------|
| | Count | Percent | Count | Percent |
| Within Collection Block | 2,907 | 0.97 | 3,801 | 1.26 |
| Within Collection Tract, Different Block | 625 | 0.21 | 1,018 | 0.34 |
| Within County, Different Tract | 1,210 | 0.40 | 1,649 | 0.55 |
| Within State, Different County | 664 | 0.22 | 852 | 0.28 |
| Different State | 549 | 0.18 | 686 | 0.23 |
| Total | 5,955 | 1.98 | 8,006 | 2.66 |



Person Erroneous Enumerations Due to Duplication Between Housing Units

| | 2000 | | 2010 | |
|---|-------|---------|-------|---------|
| | Count | Percent | Count | Percent |
| Within Collection Block | 2,907 | 0.97 | 3,801 | 1.26 |
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| Within State, Different County | 664 | 0.22 | 852 | 0.28 |
| Different State | 549 | 0.18 | 686 | 0.23 |
| Total | 5,955 | 1.98 | 8,006 | 2.66 |



Person Erroneous Enumerations Due to Duplication Between Housing Units

| | 2000 | | 20 | 10 |
|---|-------|---------|-------|---------|
| | Count | Percent | Count | Percent |
| Within Collection Block | 2,907 | 0.97 | 3,801 | 1.26 |
| Within Collection Tract, Different Block | 625 | 0.21 | 1,018 | 0.34 |
| Within County, Different Tract | 1,210 | 0.40 | 1,649 | 0.55 |
| Within State, Different County | 664 | 0.22 | 852 | 0.28 |
| Different State | 549 | 0.18 | 686 | 0.23 |
| Total | 5,955 | 1.98 | 8,006 | 2.66 |



Person Erroneous Enumerations Due to Duplication Between Housing Units and Group Quarters

| | 2000 | | 2010 | |
|---|-------|---------|-------|---------|
| | Count | Percent | Count | Percent |
| Within Collection Block | 73 | 0.02 | 41 | 0.01 |
| Within Collection Tract, Different Block | 42 | 0.01 | 16 | 0.01 |
| Within County, Different Tract | 219 | 0.07 | 138 | 0.05 |
| Within State, Different County | 190 | 0.06 | 237 | 0.08 |
| Different State | 92 | 0.03 | 83 | 0.03 |
| Total | 616 | 0.20 | 515 | 0.17 |



Person Erroneous Enumerations Due to Duplication Between Housing Units and Group Quarters

| | 2000 | | 2010 | | |
|---|-------|---------|-------|---------|--|
| | Count | Percent | Count | Percent | |
| Within Collection Block | 73 | 0.02 | 41 | 0.01 | |
| Within Collection Tract, Different Block | 42 | 0.01 | 16 | 0.01 | |
| Within County, Different Tract | 219 | 0.07 | 138 | 0.05 | |
| Within State, Different County | 190 | 0.06 | 237 | 0.08 | |
| Different State | 92 | 0.03 | 83 | 0.03 | |
| Total | 616 | 0.20 | 515 | 0.17 | |

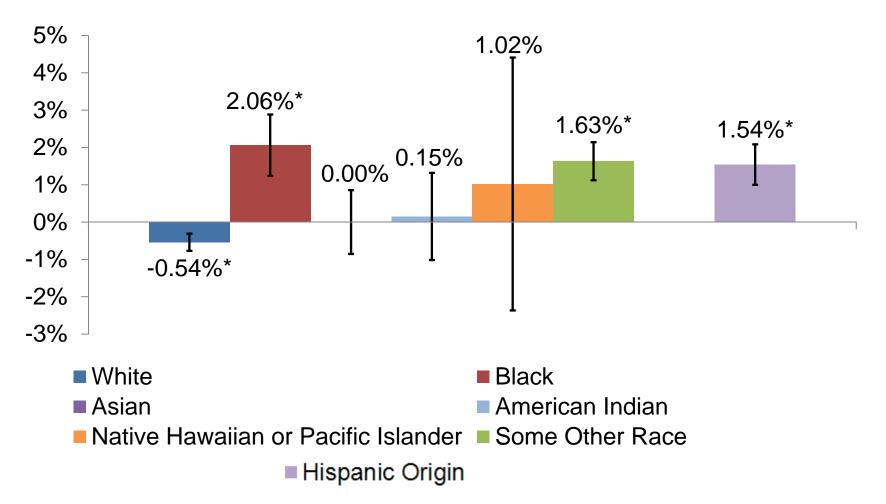


Race and Hispanic Origin

| | NOTE: Please answer BOTH Question 5 about Hispanic origin and Question 6 about race. For this census, Hispanic origins are not races. Is this person of Hispanic, Latino, or Spanish origin? |
|----|--|
| | No, not of Hispanic, Latino, or Spanish origin Yes, Mexican, Mexican Am., Chicano Yes, Puerto Rican Yes, Cuban Yes, another Hispanic, Latino, or Spanish origin — Print origin, for example, Argentinean, Colombian, Dominican, Nicaraguan, Salvadoran, Spaniard, and so on. |
| | |
| 6. | What is this person's race? Mark ✗ one or more boxes. White Black, African Am., or Negro American Indian or Alaska Native — Print name of enrolled or principal tribe. ✓ |
| | |
| | Asian Indian Japanese Chinese Korean Filipino Vietnamese Other Asian — Print race, for example, Hmong, Laotian, Thai, Pakistani, Cambodian, and so on. Asian Indian Japanese Native Hawaiian Guamanian or Chamorro Samoan Other Pacific Islander — Print race, for example, Fijian, Tongan, and so on. |
| | |
| | Some other race — Print race. |
| | |



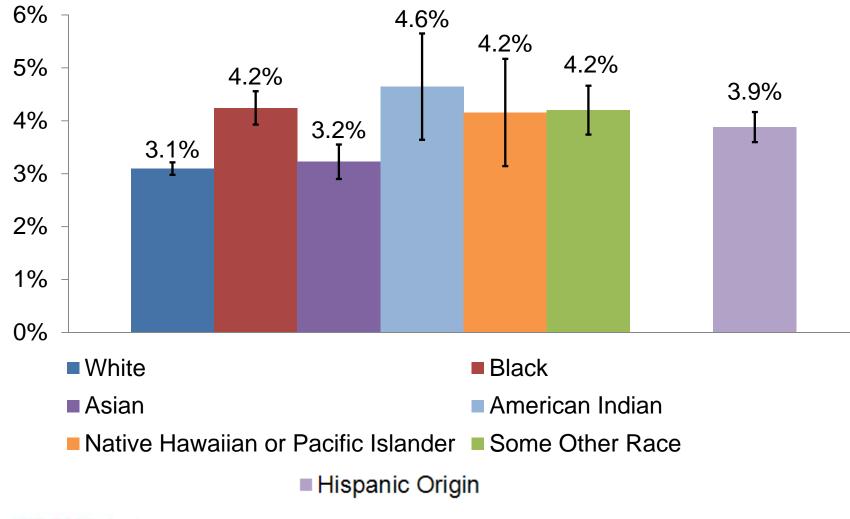
Percentage Net Undercount by Race Alone or in Combination and Hispanic Origin



* Statistically significantly different from zero

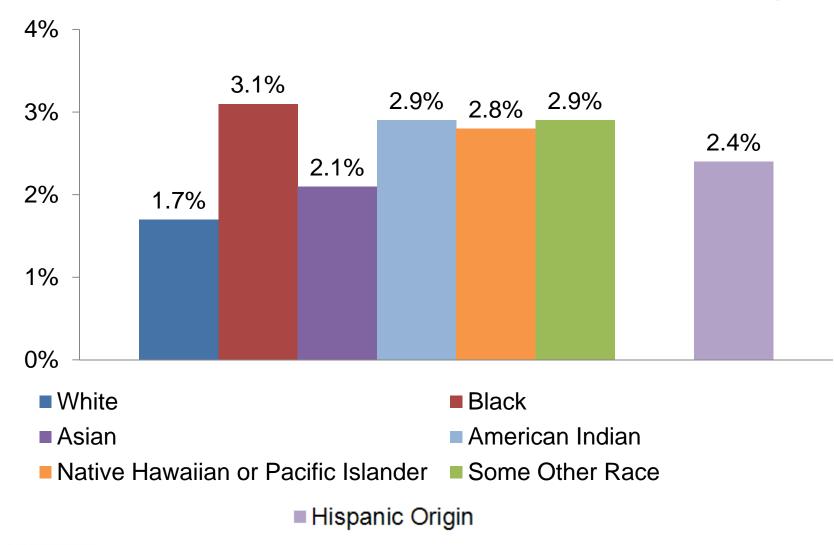


Erroneous Enumerations by Race Alone or in Combination and Hispanic Origin



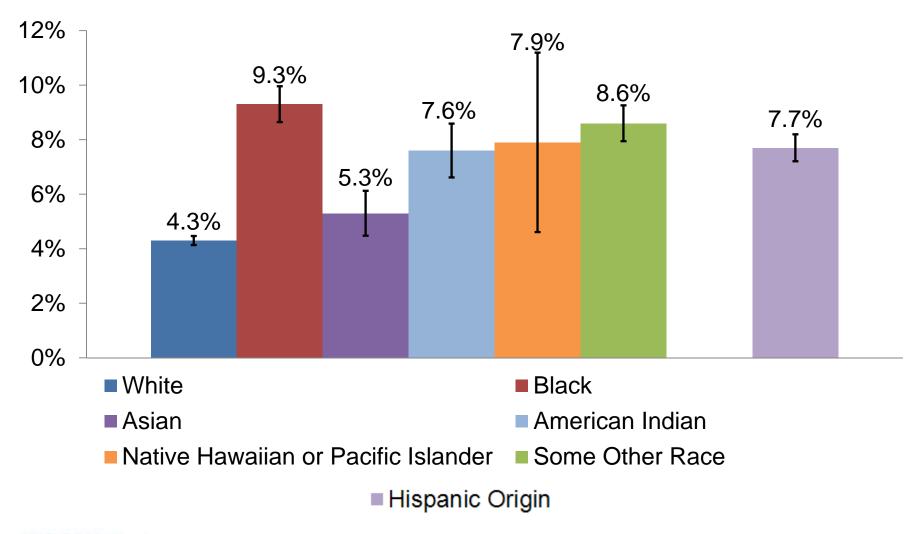


Whole-Person Census Imputations by Race Alone or in Combination and Hispanic Origin



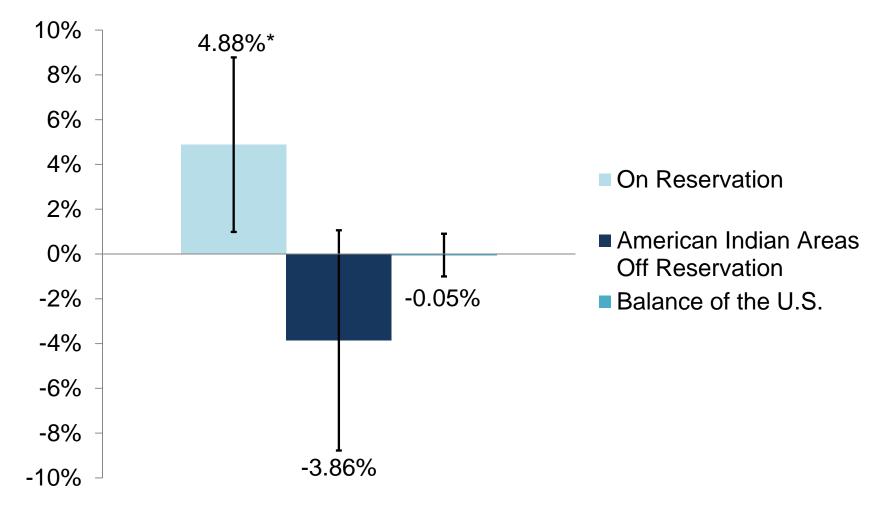


Omissions by Race Alone or in Combination and Hispanic Origin





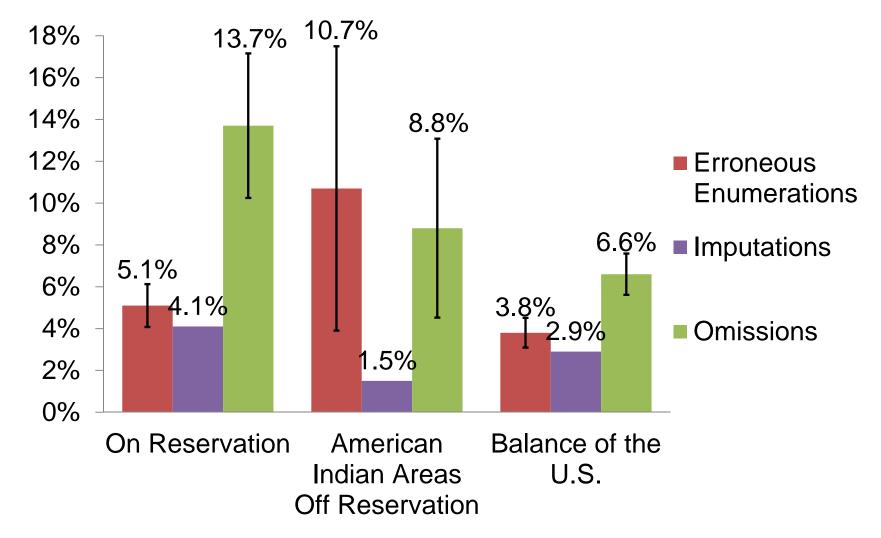
Percentage Net Undercount for American Indian and Alaskan Native Alone or in Combination by Geographic Area



* Statistically significantly different from zero



Components of the Census Coverage for American Indian and Alaskan Native Alone or in Combination





Type of Enumeration Areas

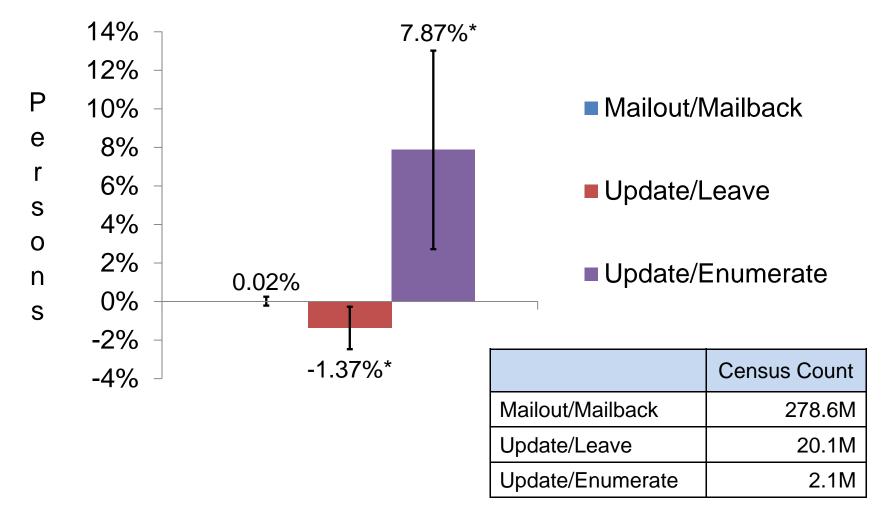
| • | Mailout/Mailback |
|---|------------------|
|---|------------------|

- Update/Leave
- Update/Enumerate

| Count (Thousands) | Percent |
|----------------------|---------|
| 278,553 | 92.6% |
| 20,076 | 6.7% |
| 2,074 | 0.7% |



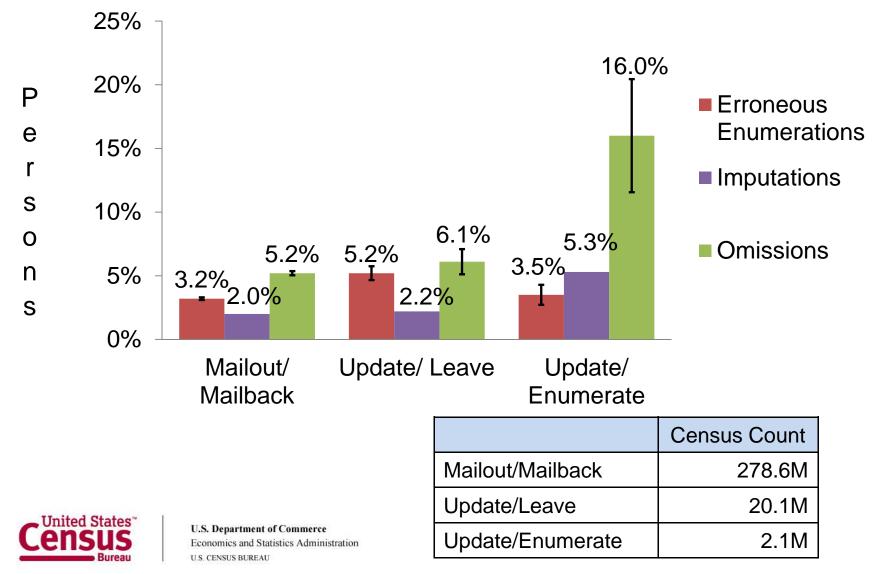
Percentage Net Undercount for Persons by Type of Enumeration Area



* Statistically significantly different from zero

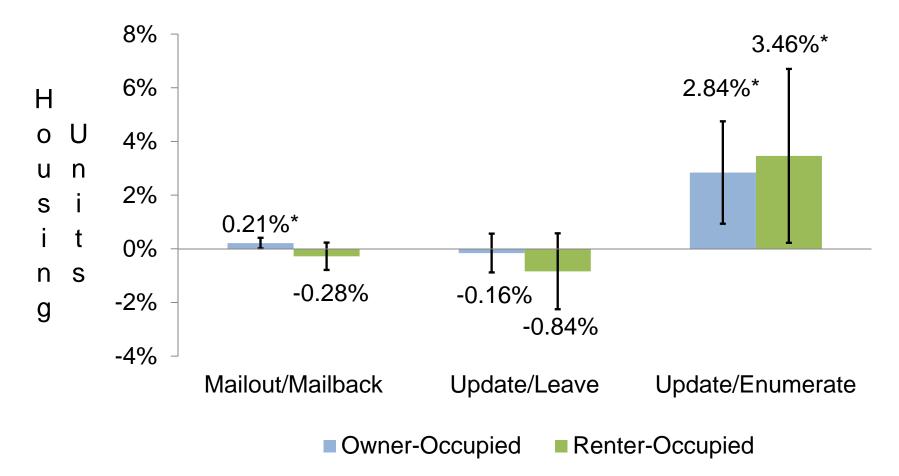


Components of Census Coverage in 2010 by Type of Enumeration Area for Persons (Percentages)



29

Percentage Net Undercount for Occupied Housing Units by Type of Enumeration Area and Tenure



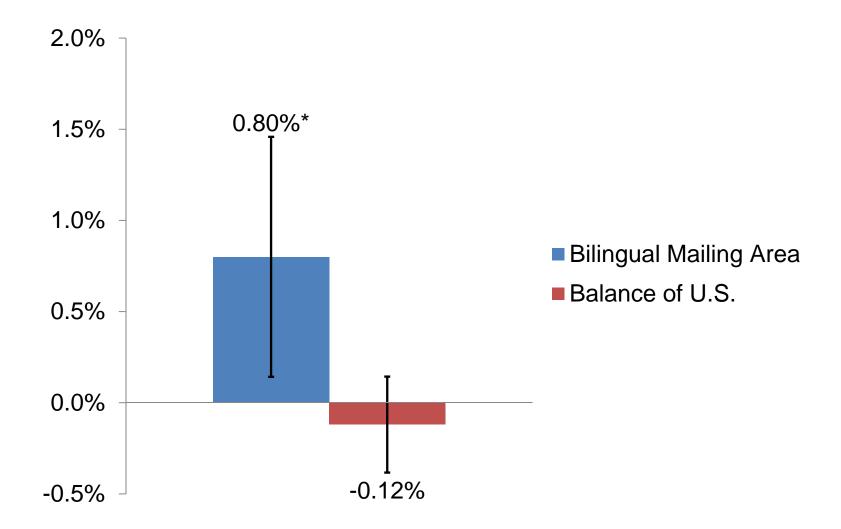
* Statistically significantly different from zero



Bilingual Mailing Areas

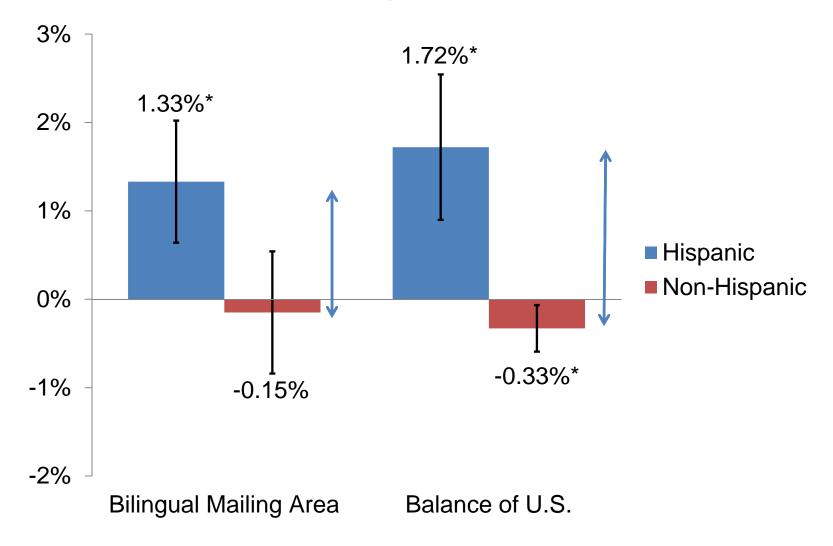


Percent Undercount by Bilingual Mailing Areas for Persons



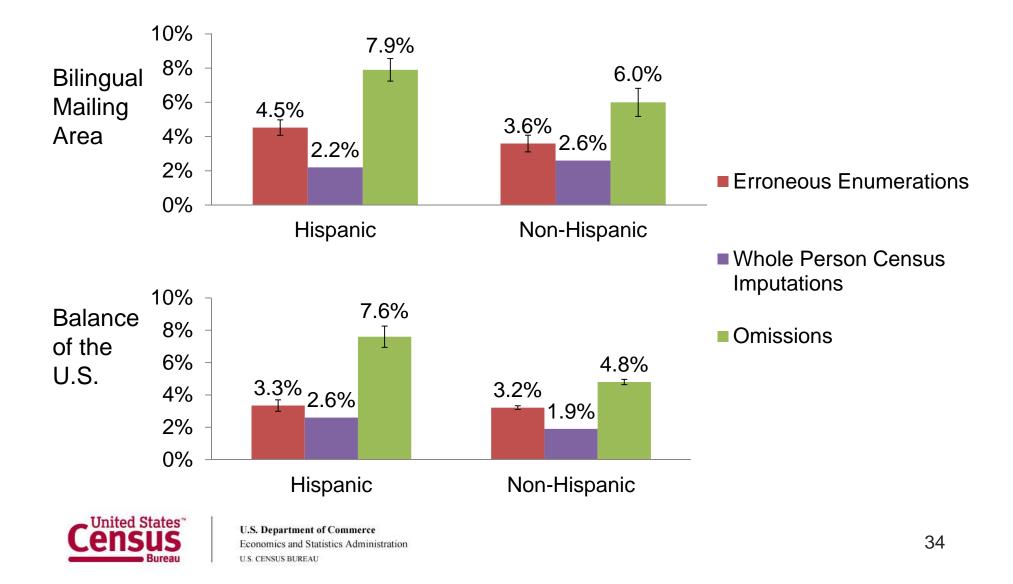


Percent Undercount by Bilingual Mailing Areas by Hispanic Origin for Persons





Components of Census Coverage by Bilingual Mailing Area for Persons



Nonresponse Followup Operation

- 4 Field Operations
- NRFU
- NRFU Vacant/Delete Check
- NRFU Reinterview
- NRFU Residual



NRFU VDC Field Operation

- 4 Field Operations
- NRFU
- NRFU Vacant/Delete Check
- NRFU Reinterview
- NRFU Residual



NRFU VDC Field Operation

4 Field Operations

- NRFU
- NRFU Vacant/Delete Check
- NRFU Reinterview
- NRFU Residual

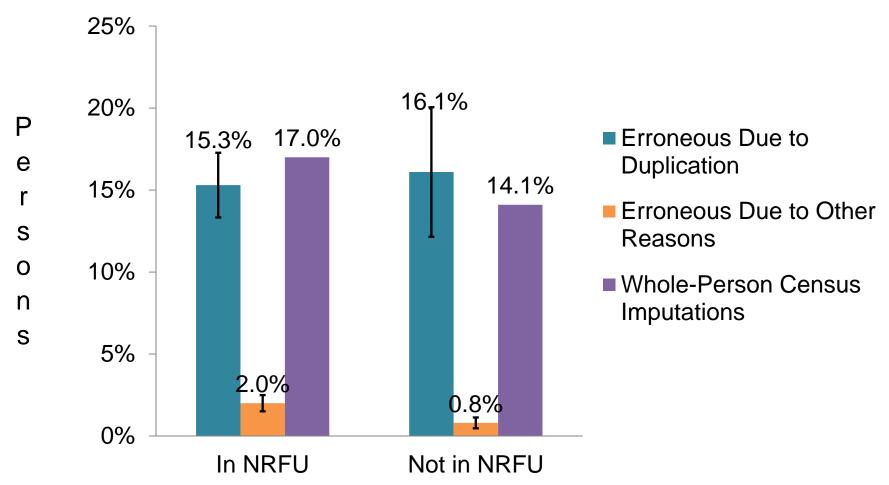


NRFU VDC Field Operation

- 4 Field Operations
- NRFU
- NRFU Vacant/Delete Check
- NRFU Reinterview
- NRFU Residual

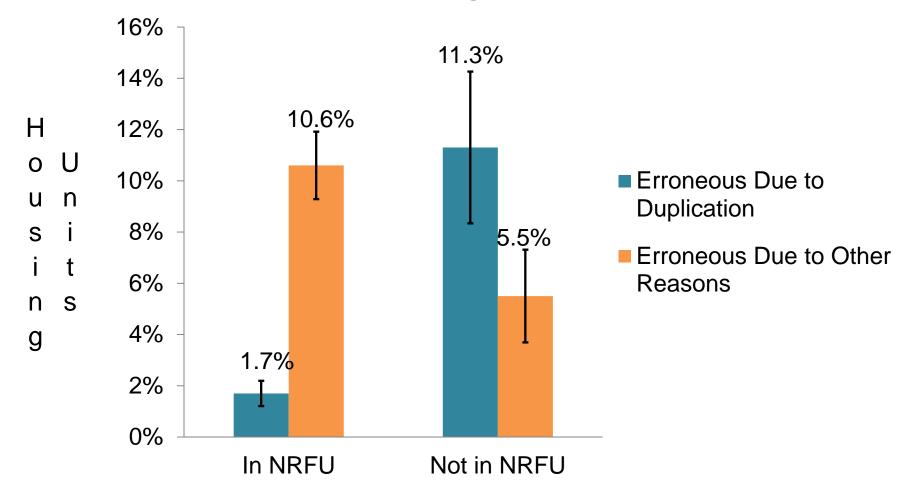


Components of Census Coverage for Vacant Delete Check by NRFU Field Operation Status – Persons





Components of Census Coverage for Vacant Delete Check by Nonresponse Followup Operation Status – Housing Units





Overcount Coverage Question



Show results for some of the possible answers



Overcount Coverage Probe

7. Does this person sometimes live or stay somewhere else?
 No X Yes — Mark X all that apply.
 X In college housing
 In the military
 At a seasonal or second residence
 For another reason



Overcount Coverage Probe

7. Does this person sometimes live or stay somewhere else?
 No X Yes — Mark X all that apply.
 In college housing
 For child custody
 In the military
 In the military
 In a nursing home or second residence
 For another reason



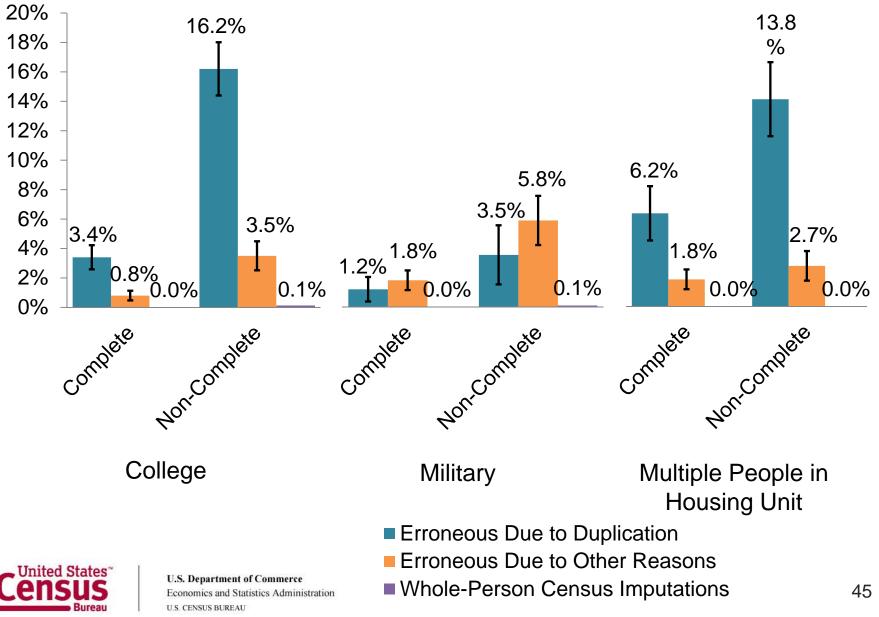
Overcount Coverage Probe



"Yes" for multiple people in housing unit



Components of Census Coverage by CFU Overcount Question Household Status



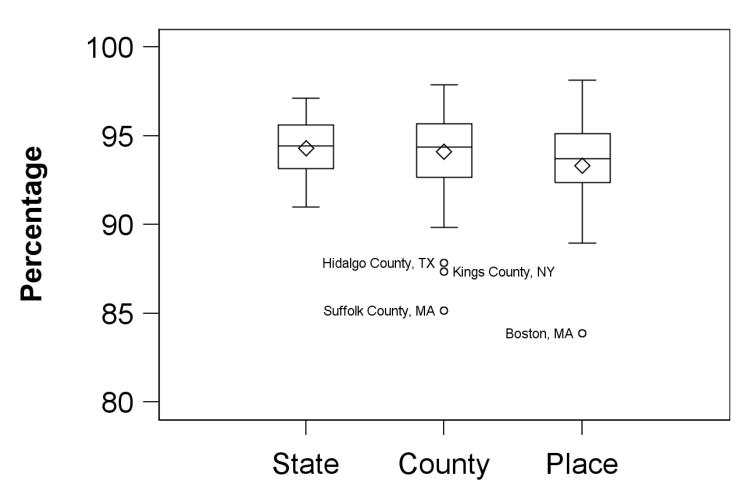
Components of Census Coverage for Persons Governmental Entities

States

Counties and Places over 500,000

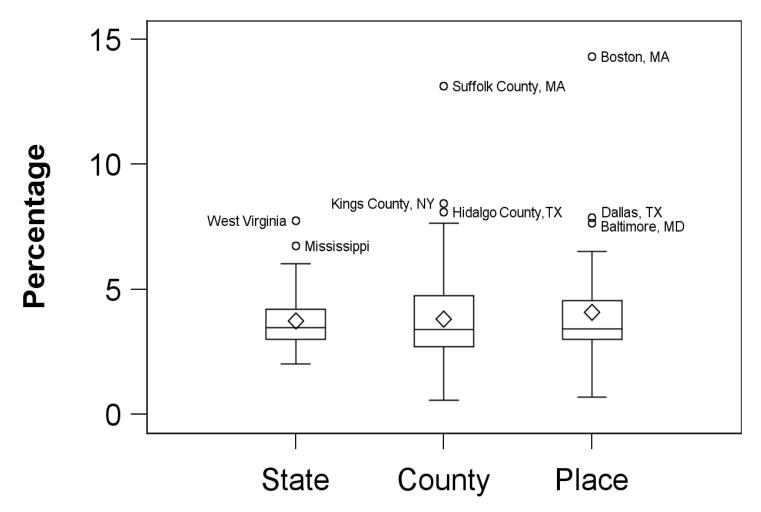


Person Correct Enumeration Percentages for States, Counties, and Places



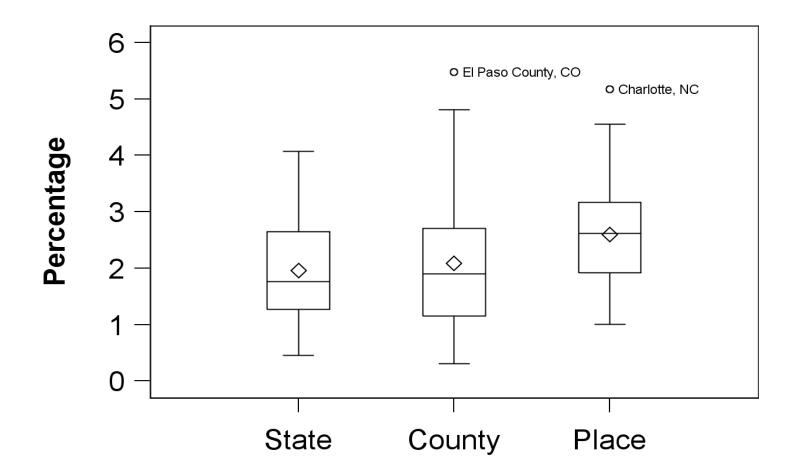


Person Erroneous Enumeration Percentages for States, Counties, and Places



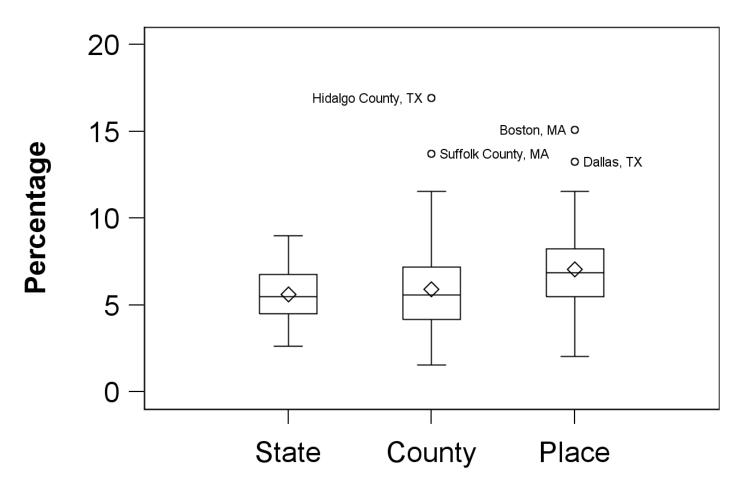


Whole-Person Census Imputation Percentages for States, Counties, and Places





Person Omission Percentages for States, Counties, and Places

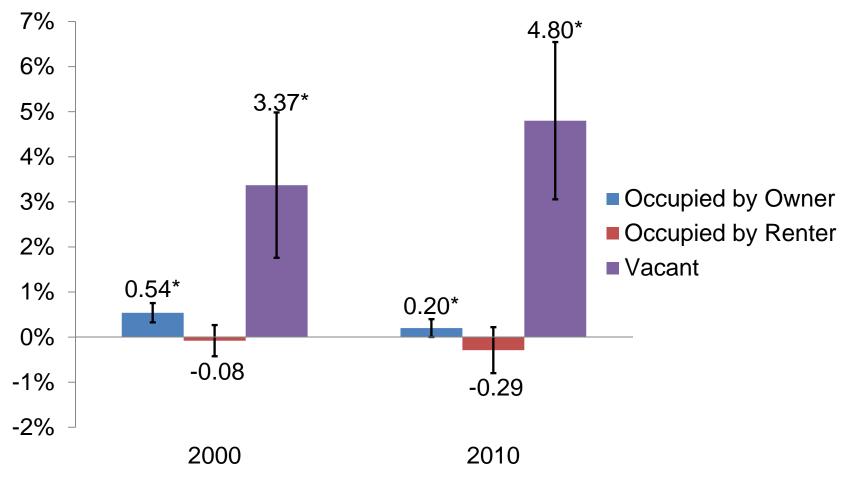




Results for Housing Units



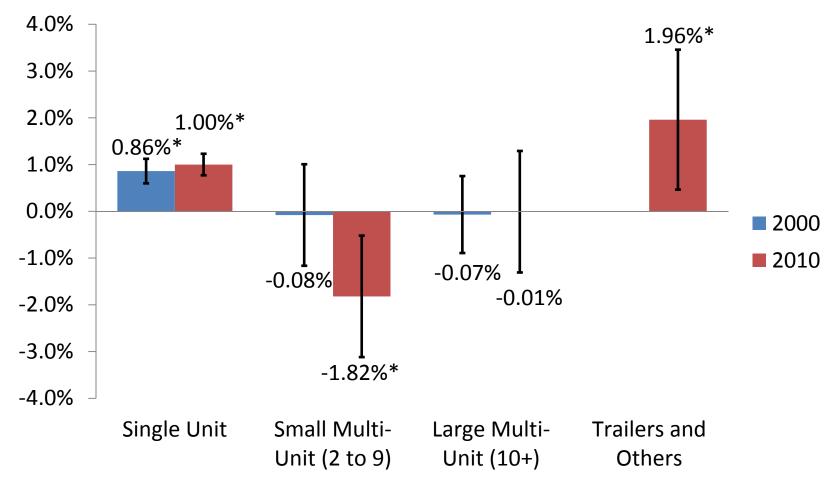
Percentage Net Undercount for Housing Units by Tenure 2000 – 2010



* Statistically significantly different from zero



Percent Undercount by Type of Structure for Housing Units 2000 - 2010



Note: The trailer category was not estimated in 2000



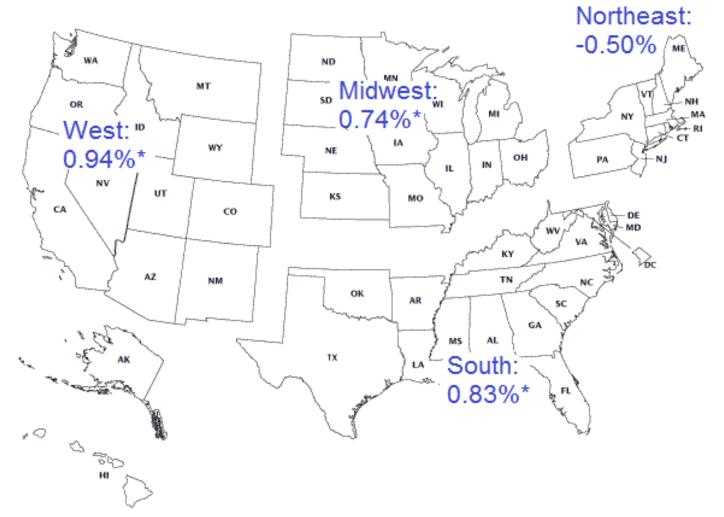
U.S. Department of Commerce

U.S. CENSUS BUREAU

Economics and Statistics Administration

* Statistically significantly different from zero

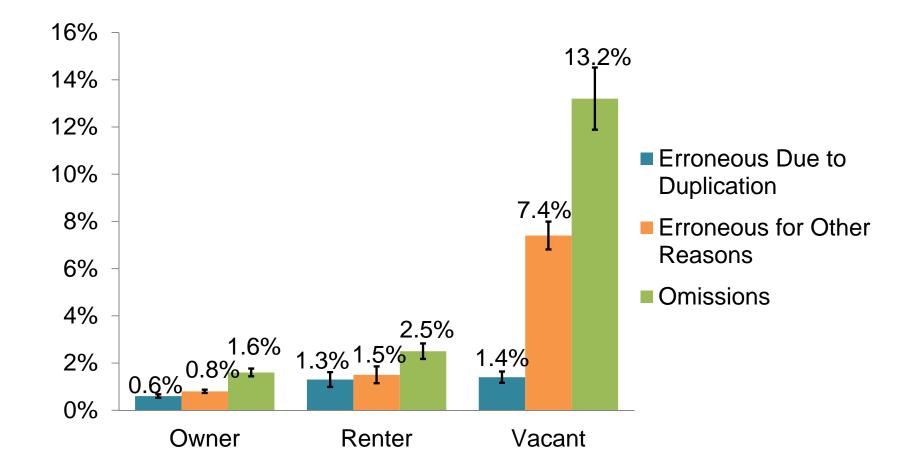
Percentage Undercount for Housing Units by Region in 2010





U.S. Department of Commerce Economics and Statistics Administration U.S. CENSUS BUREAU * Statistically significantly different from zero

Components of the Census Coverage in 2010 for Owners and Renters (Occupied Housing Units Only)





CCM Reports

Summary Reports

Summary of the Estimates of Coverage for Persons in the United States

Summary of the Estimates of Coverage for Housing Units



CCM Reports

Person Coverage

Net Coverage for the Household Population in the United States

Components of Census Coverage for the Household Population in the United States



CCM Reports

Housing Unit Coverage

Net Coverage for Housing Units in the United States

Components of Census Coverage for Housing Units in the United States

