Survey Respondent Segmentation: Trust in Government Surveys

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FedCASIC Workshop April 17, 2019



The Glass Half Full

- Surveys are universally experiencing falling response rates and rising data collection costs.
- Numerous studies focused on nonrespondents and the risk of bias
- Many people don't respond to surveys.
- Many people DO.
 - Focus on them
 - Finding out the reasons why they participate will inform ways to motivate more people to respond.
- Sparked by the market research conducted for the 2010 Census, we investigate attitudinal motivators and barriers to government survey participation, particularly health surveys.



Latent Class Analysis

Latent classes created based on patterns of the independent characteristics

- Choose number of classes
- Estimate class probabilities based on characteristics
- Assign to a class based on maximum probability
- Evaluate class characteristics

4 Segments

- The Disengaged
- Compliers
- Fence Sitters
- Distrusters

THE BIG REVEAL—

Creating Salient Levers: Social and Psychological Dimensions of Participation and Nonresponse Bias

<u>WHERE</u>: AAPOR's 74th Annual Conference in Toronto, Canada <u>WHEN</u>: Saturday 5/18/2019 - 10:00 AM - 11:30 AM (Concurrent

Session G)



Independent Characteristics

- Health Affinity (index of 5 ratings: 5-Strongly Agree, 4-Somewhat Agree, 3-Neither Agree/Disagree, 2-Somewhat Disagree, 1-Strongly Disagree)
 - I make a point to read and watch stories about health
 - I really enjoy learning about health issues
 - When I take medicine, I try to get as much information as possible about its benefits and side effects
 - I need to know about health issues so that I can keep myself and my family healthy
 - Before making a decision about my health, I find out everything I can about the issues
- Survey Affinity (2 survey questions)
 - How do you feel about participating in surveys (Indifferent/unfavorable, Somewhat favorable, Very favorable)
 - Personally benefit (Y/N)
- Community engagement (4 survey questions)
 - How much impact do you think people like you can have in making your community a better place to live? (Small/none, Moderate, Big)
 - Number of friends (0-2,3-6, 7+)
 - Which best describes you? (Most of my close friends share my views on government and politics, Some of my close friends share my views, but many do not; I don't really know what most of my close friends think about government and politics)
 - Would you say that Most people can be trusted; Can't be too careful; Not sure
- Trust in Gov't (2 factors)
 - F1 Loss of data control
 - F2 Trust the Gov't to do what they need
- Gov't survey attitudes (3 factors)
 - F1 No value, no point
 - F2 Personal obligation
 - F4 Results won't help me



Trust in Government

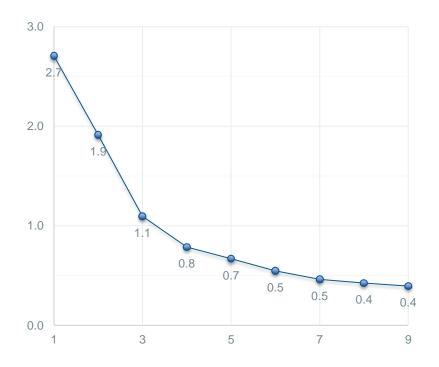
For each of the statements below, please indicate to what extent you agree or disagree.

- 5. Strongly Agree
- 4. Somewhat Agree
- 3. Neither Agree nor Disagree
- 2. Somewhat Disagree
- 1. Strongly Disagree
- I trust the government in Washington to keep my best interests in mind
- The government in Washington should collect information about the population so that it can make the right decisions
- I trust my state government more than the government in Washington
- I trust my city, town and county government more than the government in Washington
- I don't think public officials care much what people like me think
- People's right to privacy is well protected
- People have lost all control over how personal information about them is used
- The government knows more about me than it needs to
- Businesses and private industry have too much information about me



Factor Analysis

- Polychoric correlations
- Principal components
- Varimax rotation
- Unweighted
- Chose 3 factor solution





Trust in Government Factor Loadings

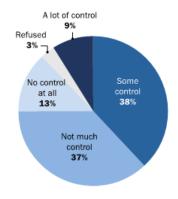
	F1: Loss of F Data Control	2: Trust in Gov	F3: Pro local governme nt
I don't think public officials care much what people like me think	0.62	-0.20	0.08
People have lost all control over how personal information about them is used	0.79	-0.04	0.02
The government knows more about me than it needs to	0.77	-0.09	0.08
Businesses and private industry have too much information about me	0.79	-0.04	0.07
I trust the government in Washington to keep my best interests in mind	-0.24	0.78	0.00
The government in Washington should collect information about the population so that it can make the right decisions	0.14	0.72	0.10
People's right to privacy is well protected	-0.29	0.72	0.11
I trust my state government more than the government in Washington	0.07	0.10	0.88
I trust my city, town and county government more than the government in Washington	0.10	0.08	0.88



Pew Research Survey: Aug-Sep 2014

Few Say They Have "a Lot" of Control Over Information

% of adults who say they have varying degrees of control over the information that is collected about them in daily life



Source: Pew Research Center's Privacy Panel Survey #2, Aug. 5, 2014-Sept. 2, 2014 (N=498).

PEW RESEARCH CENTER

https://www.pewinternet.org/2015/05/20/americans-views-about-data-collection-and-security/#few-feel-they-have-a-lot-of-control-over-how-much-information-is-collected-about-them-in-daily-life

Pew Research Survey: Mar-May 2016

Roughly half of Americans do not trust the federal government or social media sites to protect their data

% of U.S. adults/tech users (see note below) who are ____ in the ability of the following institutions to protect their data

	Not at all confident		t too fident	Somewhat confident	Very confident
Their cellphone manufactu	rers	13	13	43	27
Their credit card compar	nies	15	15	42	27
Their cellphone service provide	ders	15	15	47	21
Their email provide	ders	13	17	46	20
Companies/retailers they do business	with	15	21	46	14
The federal government	nent 28		21	37	12
Social media sites they	use 24	2	27	38	9

Note: Data on cellphone manufacturers and service providers based on cellphone owners; data on email providers based on internet users; data on social media sites based on social media users. Data for credit card companies recalculated to exclude "does not apply" responses. Otherwise, refusals and "does not apply" responses not included in this chart. Source: Survey conducted March 30-May 3, 2016. "Americans and Cybersecurity"

PEW RESEARCH CENTER

https://www.pewresearch.org/fact-tank/2018/03/27/americans-complicated-feelings-about-social-media-in-an-era-of-privacy-concerns/



Likelihood to Participate in Surveys

F1: Loss of Data Control

F2: Trust the Gov't to do what they need

F3: Pro local government

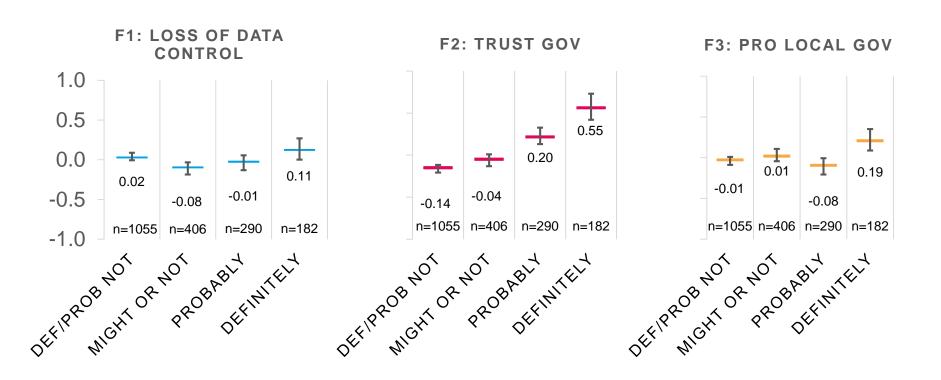


In general, how likely would you be to do a survey on a moderately interesting topic that was not too burdensome, if it was conducted...

- 1. Face to face with an interviewer at my home
- 2. By telephone
- 3. By mail
- 4. By web

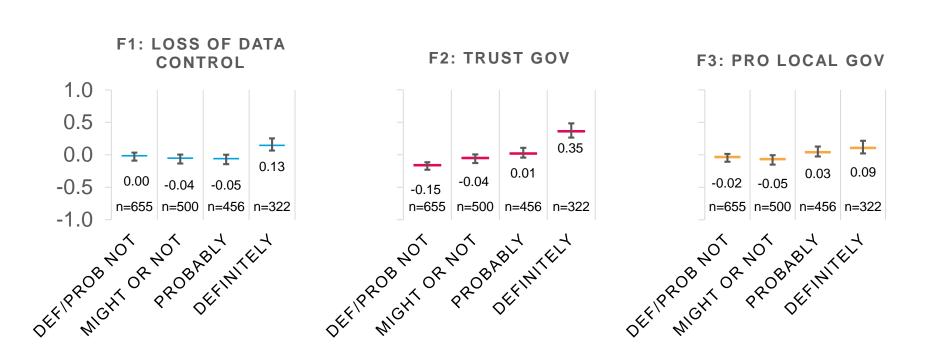


Face to Face with an Interviewer at my Home



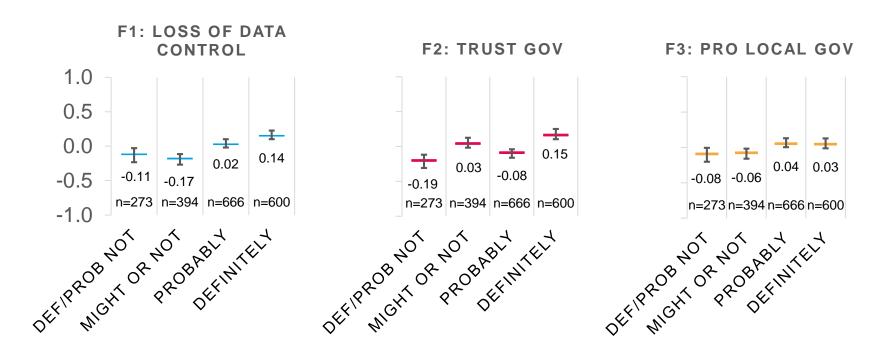


By Telephone



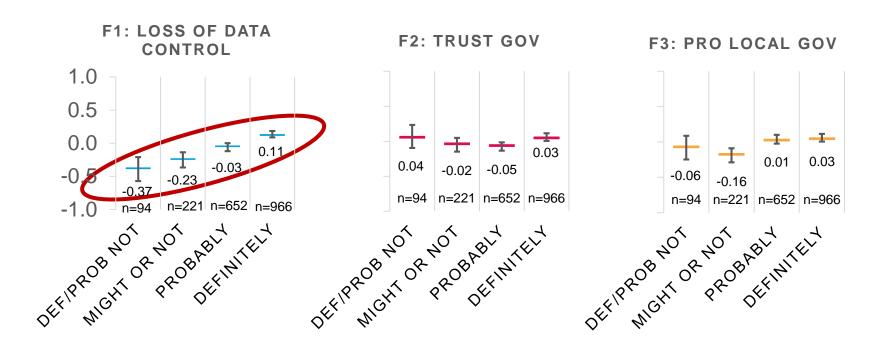


By Mail





By Web





Government Survey Attitudes

Below are opinions that some people may have about government surveys. Please indicate how much you agree or disagree with each statement.

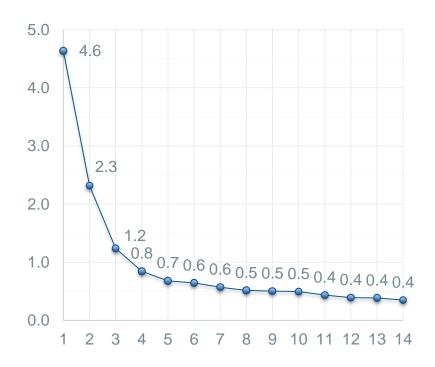
Strongly agree, Agree, Disagree, Strongly disagree

- Government surveys are an invasion of privacy
- It is important for everyone's opinion to be counted in government surveys
- Taking part in government surveys shows I am proud of who I am
- Participating in government surveys will let the government know what my community needs
- I just don't see that it matters much if I answer government surveys or not
- It is my civic responsibility to fill out government surveys
- Government agencies' promise of confidentiality can be trusted
- I am concerned that the information I provide will be misused
- I prefer to stay out of sight and not be counted in government surveys
- The government already has my personal information, like my tax returns, so I don't need to fill out government surveys
- I'll never see results from government surveys in my neighborhood
- It takes too long to fill out government surveys. I don't have the time
- Computer "hackers" could obtain government survey information about you if they really tried
- Refusing to fill out government surveys is a way for people to show that they don't like what the government is doing



Factor Analysis

- Polychoric correlations
- Principal components
- Varimax rotation
- Unweighted
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Gov't Survey Attitudes Factor Loadings

	F1: No value, no point	l obligati	F3: Data security concern s	won't help
	0.74	on	0.00	me
Government surveys are an invasion of privacy	0.74			0.01
I just don't see that it matters much if I answer government surveys or not	0.64	-0.23	0.02	0.43
I prefer to stay out of sight and not be counted in government surveys	0.79	-0.20	0.06	0.12
The government already has my personal information, like my tax returns, so I don't need to fill out government surveys	0.77	-0.16	0.13	0.10
It takes too long to fill out government surveys. I don't have the time	0.68	-0.09	-0.03	0.31
Refusing to fill out government surveys is a way for people to show that they don't like what the government is doing	0.63	0.20	0.26	-0.21
It is important for everyone's opinion to be counted in government surveys	-0.30	0.64	0.26	0.25
Taking part in government surveys shows I am proud of who I am	-0.07	0.80	-0.03	-0.15
Participating in government surveys will let the government know what my community needs	-0.22	0.77	0.03	0.15
It is my civic responsibility to fill out government surveys	-0.06	0.76	-0.12	-0.17
Government agencies' promise of confidentiality can be trusted	0.10	0.65	-0.41	-0.08
I am concerned that the information I provide will be misused	0.45	-0.11	0.62	0.20
Computer "hackers" could obtain government survey information about you if they really tried	0.18	-0.03	0.82	0.09
I'll never see results from government surveys in my neighborhood	0.26	0.01	0.22	0.80



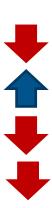
Likelihood to Participate in Surveys

F1: No value, no point

F2: Personal obligation

F3: Data security concerns

F4: Results won't help me



In general, how likely would you be to do a survey on a moderately interesting topic that was not too burdensome, if it was conducted...

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Face to Face with an Interviewer at my Home





By Telephone



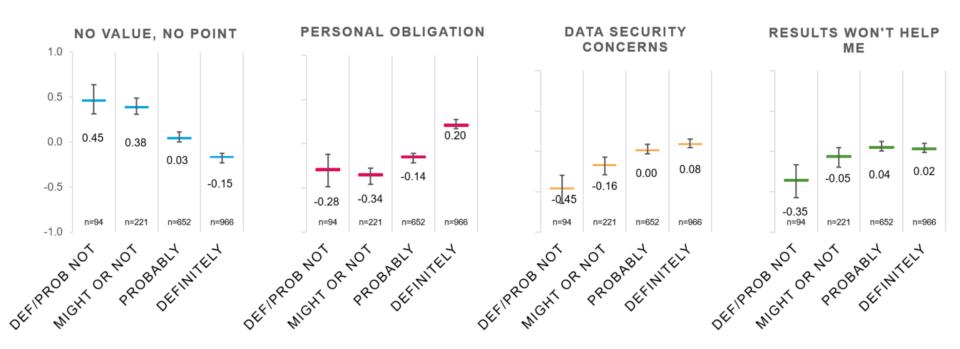


By Mail





By Web





Predict Stated Likelihood of Survey Participation

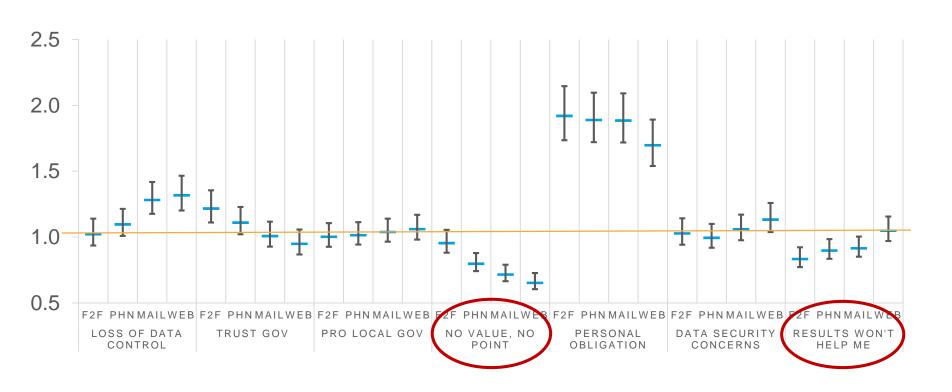
- Ordinal regression for each mode
 - Cumulative logit

$$P(y \le i) = \frac{1}{1 + e^{-(\beta_{0}i + \sum \beta_{j}x_{j})}}$$

Factors as predictors



Odds Ratios Predicting Stated Survey Participation by Mode



INCREASE PERCEIVED ROI TO INCREASE RESPONSE



Conclusions

- Developed factors based on trust in government and government survey attitudes
- "Personal obligation" strongest positive predictor of stated likelihood of survey participation for all modes
- "No value, no point" and "Results won't help me" strongest negative predictors of stated likelihood of survey participation for all modes
 - Both factors point to perceived ROI
- Counterintuitive results for "Loss of data control" factor
 - Respondents with higher scores report higher likelihood of responding to surveys
- "Data security concerns" not a barrier to



What Do We DO?

	F1: No		F3: Data security	F4: Results
First-	point		concern	
Find all of these people	0.74			0.01
Select them with certainty	0.79		0.06	0.12
It tal Reft ALL SURVEYS!	0.68 0.63	-0.09 0.20		0.31 -0.21
It is important for everyone's opinion to be counted in government surveys Taking part in government surveys shows I am proud of who I am	-0.30 -0.07	0.64 0.80		0.25 -0.15
Participating in government surveys will let the government know what my community needs	-0.22	0.77		0.15
It is my civic responsibility to fill out government surveys Government agencies' promise of confidentiality can be trusted	-0.06 0.10			-0.17 -0.08
I am concerned that the information I provide will be misused Computer "hackers" could obtain government survey information about you if they really tried	0.45 0.18		0.62	0.20
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What Do We DO?

Government surveys are an invasion of privacy I just don't see that it matters much if I answer government surveys or not I prefer to stay out of sight and not be counted in government surveys The government already has my personal information, like my tax returns, so I d need to fill out government surveys It takes too long to fill out government surveys. I don't have the time	that proverse Increse that	Reduce attitudes that surveys provide no personal value Increase attitudes that surveys are civic responsibility			
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How We Change Perception?



Thank you!

- Contact information:
 - Randy.ZuWallack@icf.com

THE BIG REVEAL—

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