

How Did We Do?

Evaluating Results from the Reengineered SIPP Field Tests

Matthew C. Marlay
Jason M. Fields
U.S. Census Bureau

This work is released to inform interested parties of ongoing research and to encourage discussion of work in progress. Any views or opinions expressed in the paper are the authors' own and do not necessarily reflect the views or opinions of the U.S. Census Bureau.

Outline

- Background and Status
 - Brief History of the SIPP
 - Reengineering and Field Tests
- Survey Content and Design
- Evaluation
- What's Next?

The SIPP Mission

The mission of the Survey of Income and Program Participation (SIPP) is to provide a nationally representative sample for evaluating:

- Annual and sub-annual dynamics of income,
- Movements into and out of government transfer programs,
- Family and social context of individuals and households, and
- Interactions between these items.

Goals for SIPP Reengineering

- The reengineered survey:
 - Includes a new household survey data collection
 - Modernizes the data collection instrument
 - Reduces respondent burden
 - Requires fewer resources than classic SIPP program
 - Improves processing efficiency
 - Is releasable to the public in a timely manner

Notes on the New Design

Scope

- Similar to classic SIPP
- Broader than core / includes key topical module content in each wave

Better integration of concepts

- EHC generates integrated reporting across domains
- Topics previously implemented as add-on modules now integrated
- Facilitate 'hooks' to enable supplements for additional content

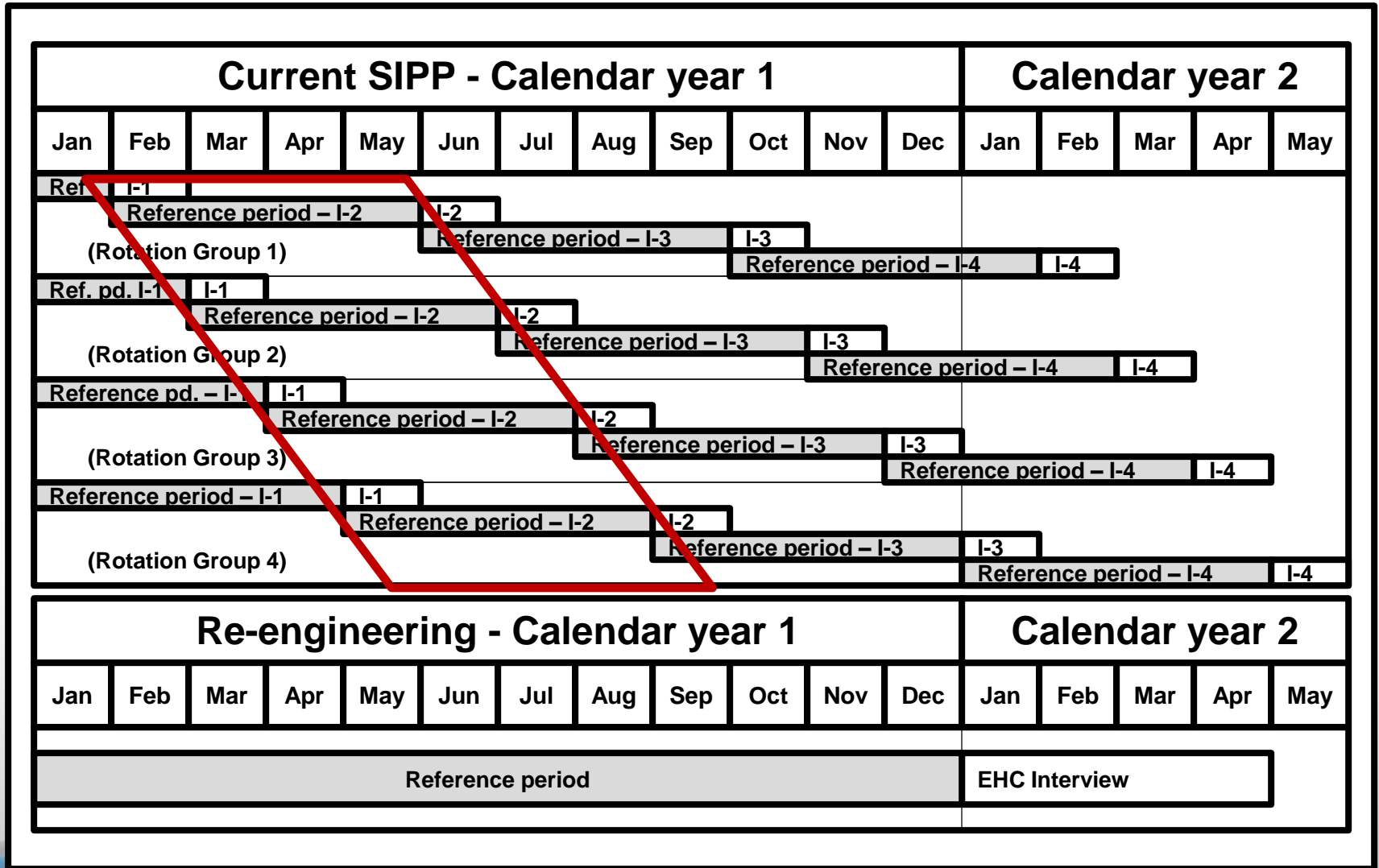
Notes on the New Design

- Increased efficiency in processing and producing data products
- Flexible in administration (dynamic interview month and reference period)
- Dependent data incorporated into EHC instrument
- Reduced cost through annual administration
- Improved management through realigned structure and improved monitoring using all available tools – especially paradata

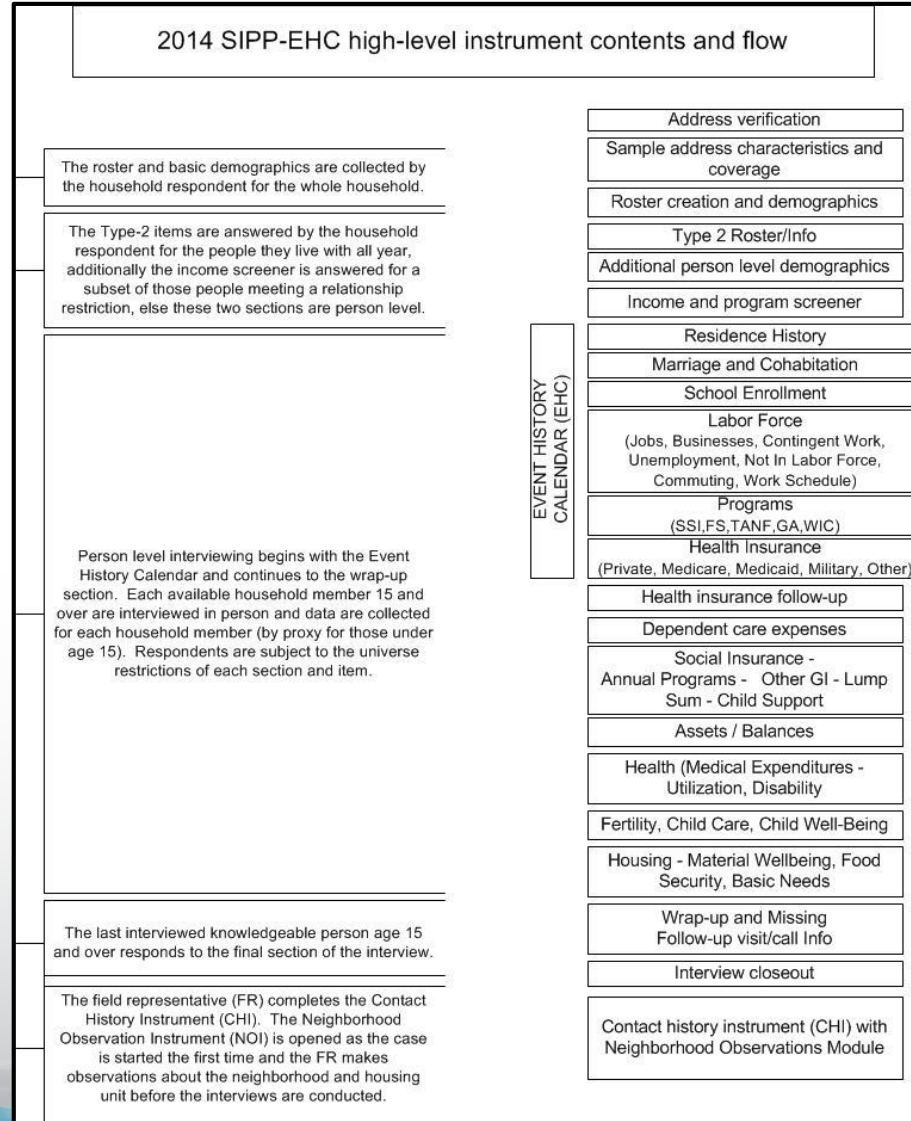
Summary: Classic vs. New SIPP

	SIPP CLASSIC	NEW SIPP
Instrument	DOS-based	Blaise/C#
Interview Frequency	3x/year	Annual
Interview Type	Personal visit/telephone	Personal visit/telephone
Reference Period	Previous 4 months	Previous year
Panel Length	2.5-5 years	4 years (planned)
Sample Size	12-52,000 households (W1)	52,000 households (W1)
Universe	Civilian, non-institutional	Civilian, non-institutional
Content	Comprehensive	Comprehensive
File Structure	Complex	Simplified

SIPP Design Change - Example



SIPP 2014 Contents



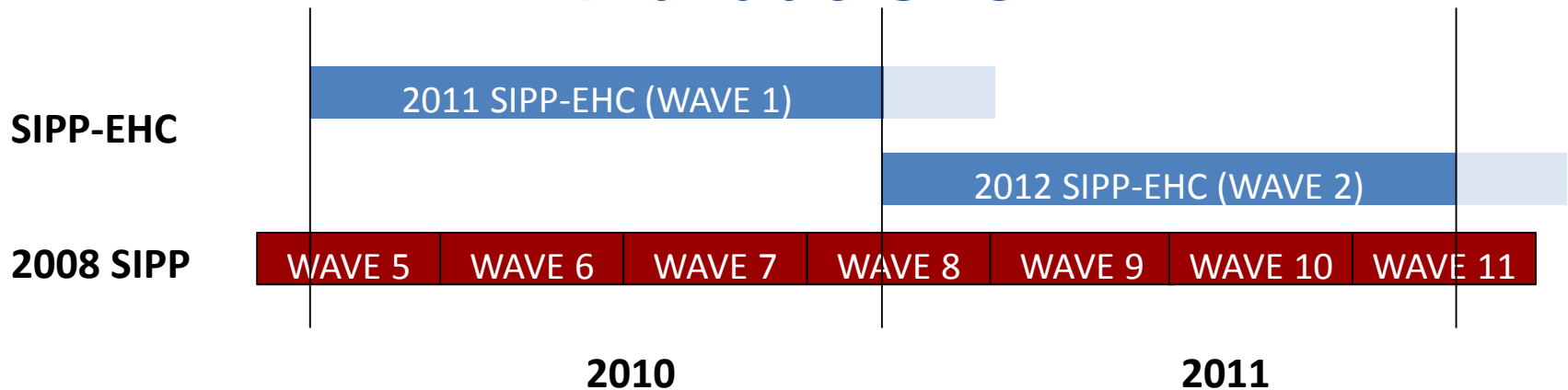
SIPP Reengineering Field Tests

- Proof of concept test
 - 2008 paper and pencil re-interview test
- EHC CAPI test
 - 2010 Integrated Blaise and C# instrument prototype
- CAPI revised test
 - 2011 Test improvements to the Wave 1 instrument, training, and expand sample to all regional offices.
 - Inter-wave locating experiment
 - 2012 Test Wave 2 concepts and instrument, examine movers and attrition issues, dependent interviewing methods and refine training.
 - 2013 Wave 3 interview allows household members to return and additional mover and dependent interviewing evaluation
- 2014 SIPP EHC-based instrument is the production SIPP instrument

Evaluations

- Key indicators by topic – evaluated against as many sources as possible
- False and mistimed transitions – recall or seams
- Issues related to successful use of dependent data
- Flexibility for Interviewer/Respondent interaction
- Mover individuals – locating procedures and tools
- Changing respondents – Data quality comparisons
- Respondent Identification Policy
- Paradata evaluation and integration

Evaluations



Topics with administrative records

Employment and earnings

Medicaid (12 out of 20 states)

Medicare

OASDI

SNAP (NY state)

SSI

TANF (NY state)

Topics without administrative records

Asset ownership

Child support

Disability

Education

Health insurance

Household composition

Unemployment Insurance

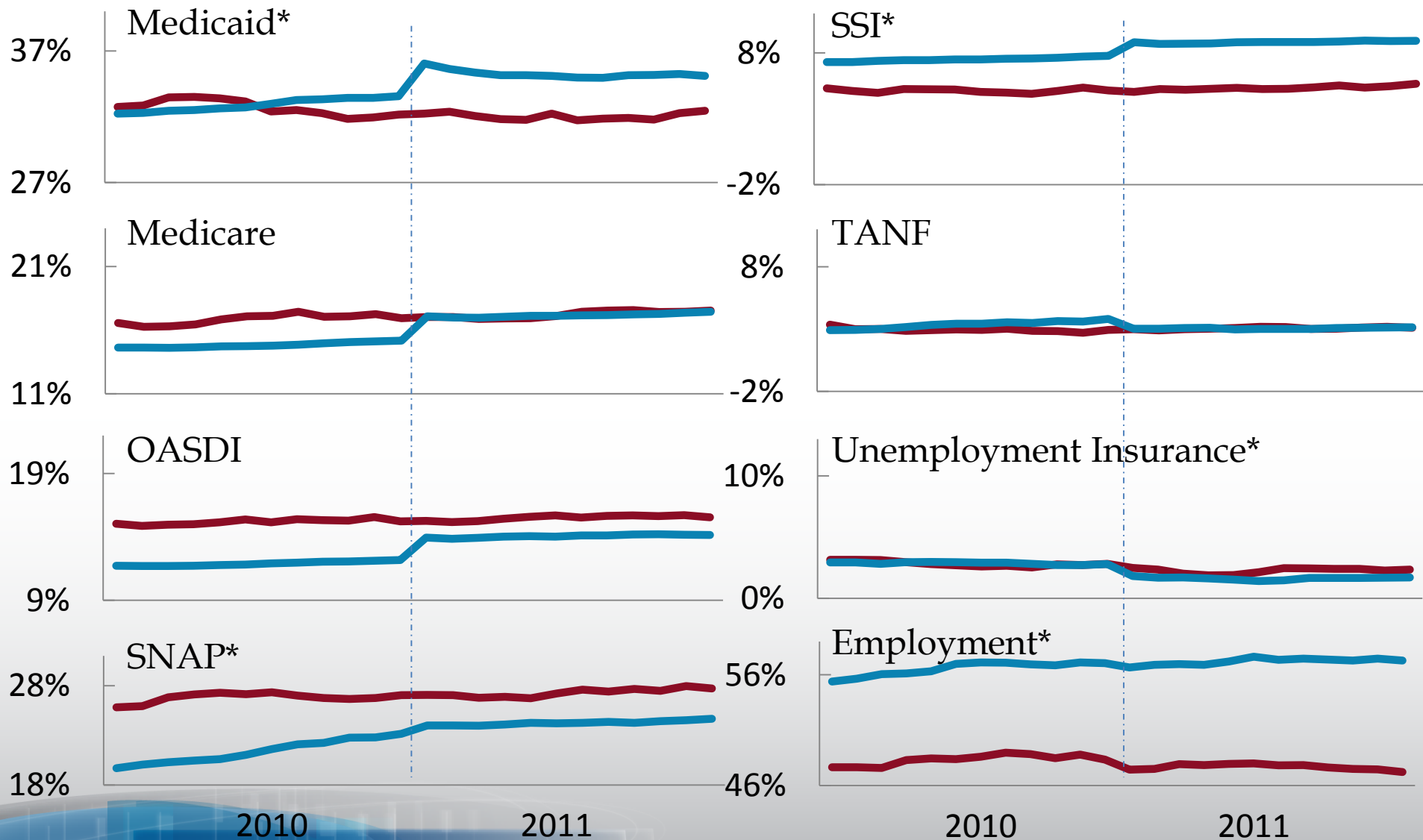
Housing subsidies

Citizenship

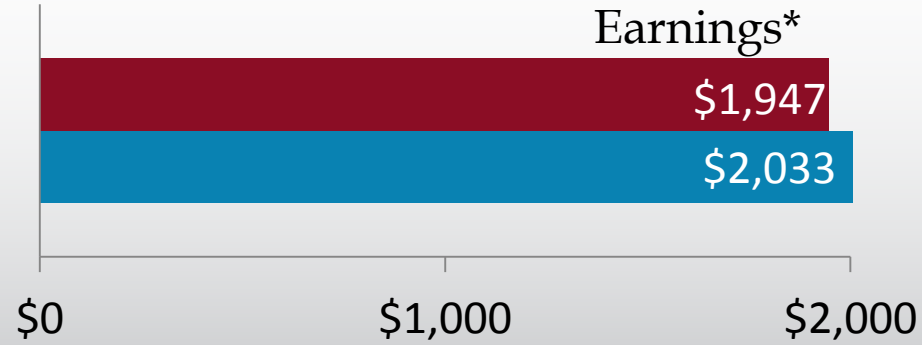
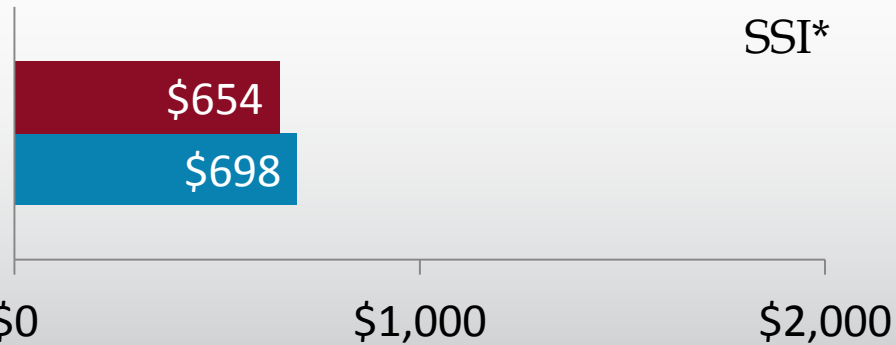
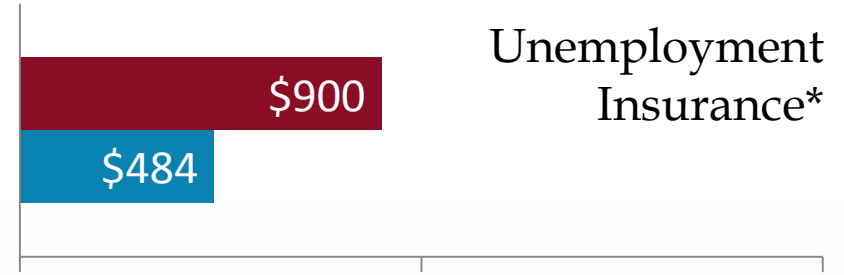
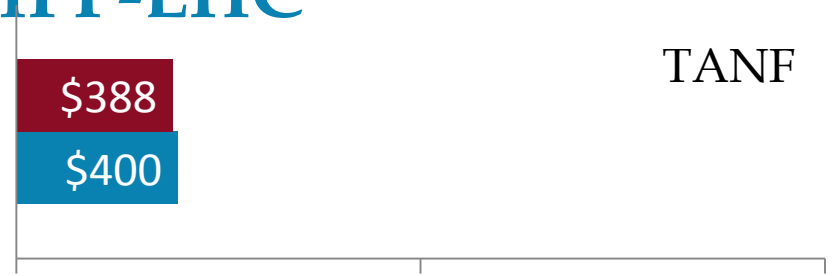
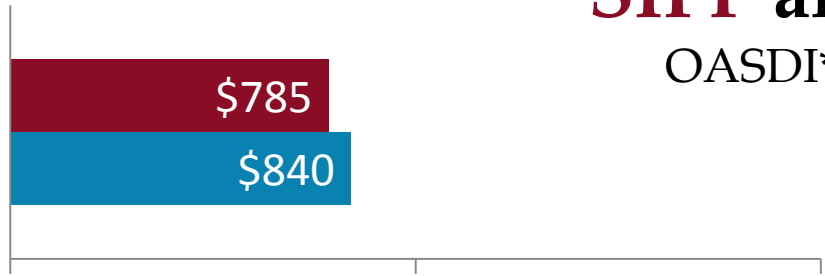
Poverty

Residence

Monthly Rates for CY2010 and 2011: SIPP and SIPP-EHC



Median Monthly Amounts, CY2011: SIPP and SIPP-EHC



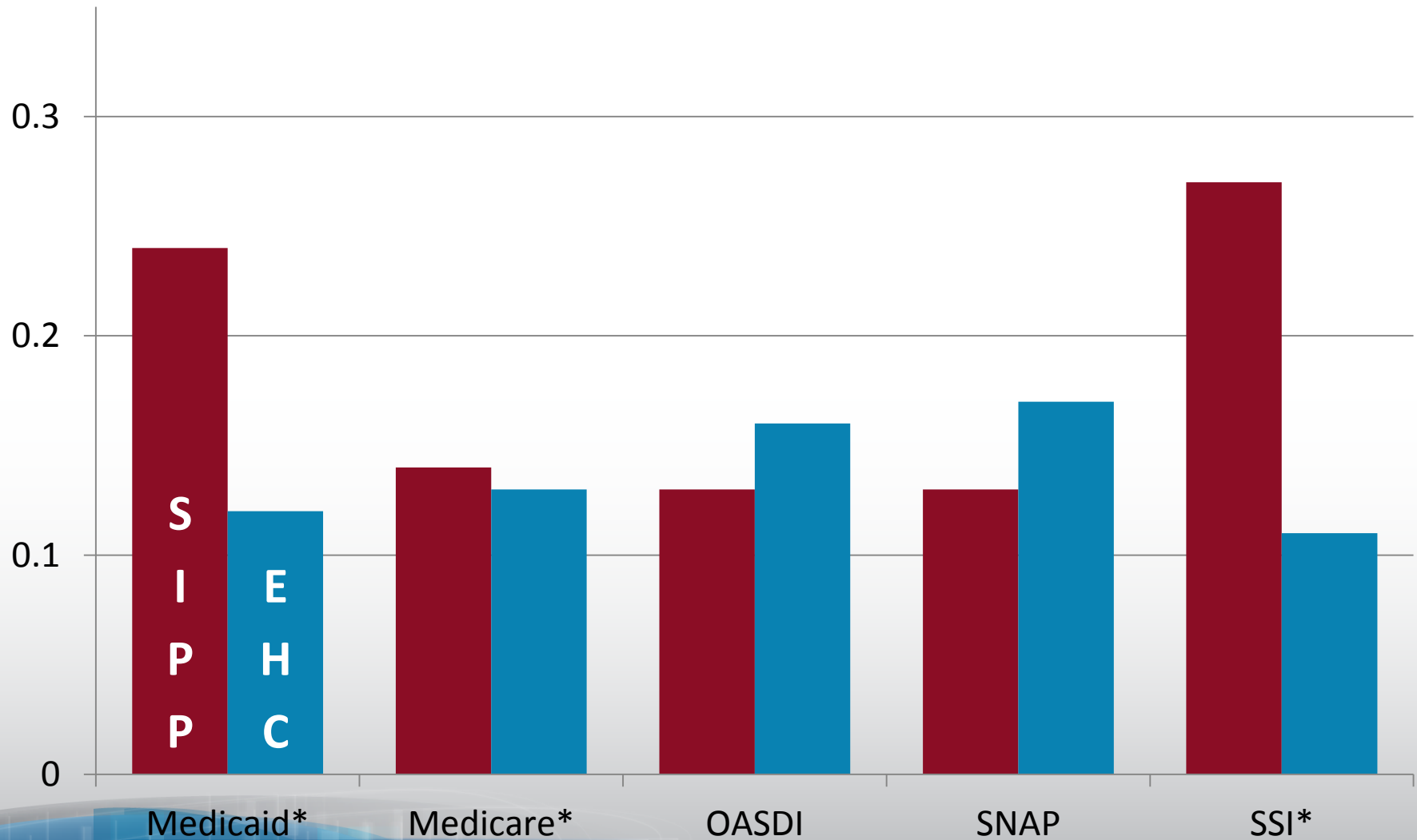
SIPP-EHC Reporting Accuracy in CY2011

	Monthly	Annual	Amounts
OASDI	+	+	+
SNAP	+	+	+
SSI	+	+	-
TANF	+	+	+
Medicare	+	+	
Medicaid	+	+	
Employment		+	
Earnings			+

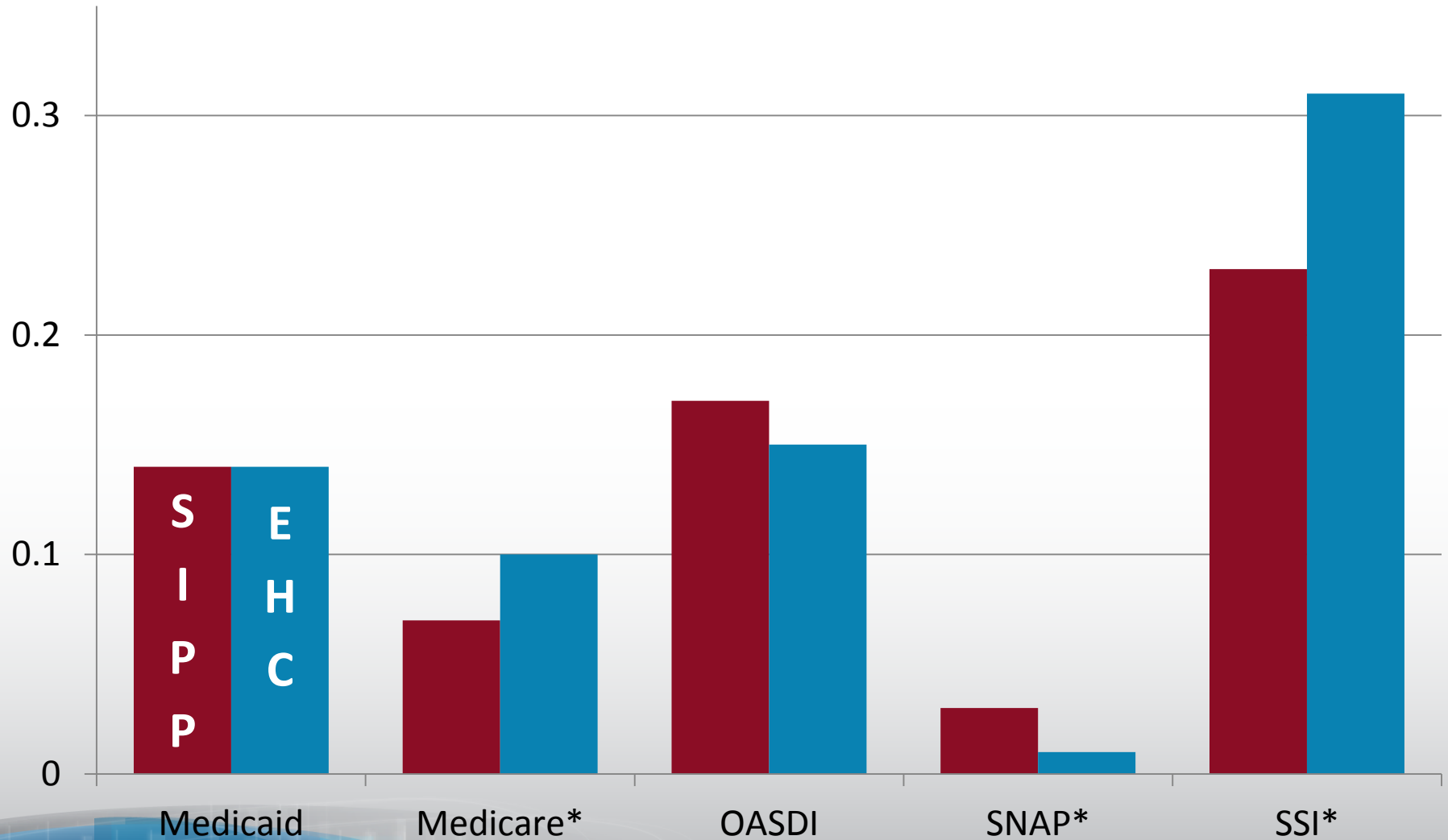
False Negatives and False Positives

		Survey Data	
		Yes	No
Administrative Data	Yes	True Positive	False Negative
	No	False Positive	True Negative

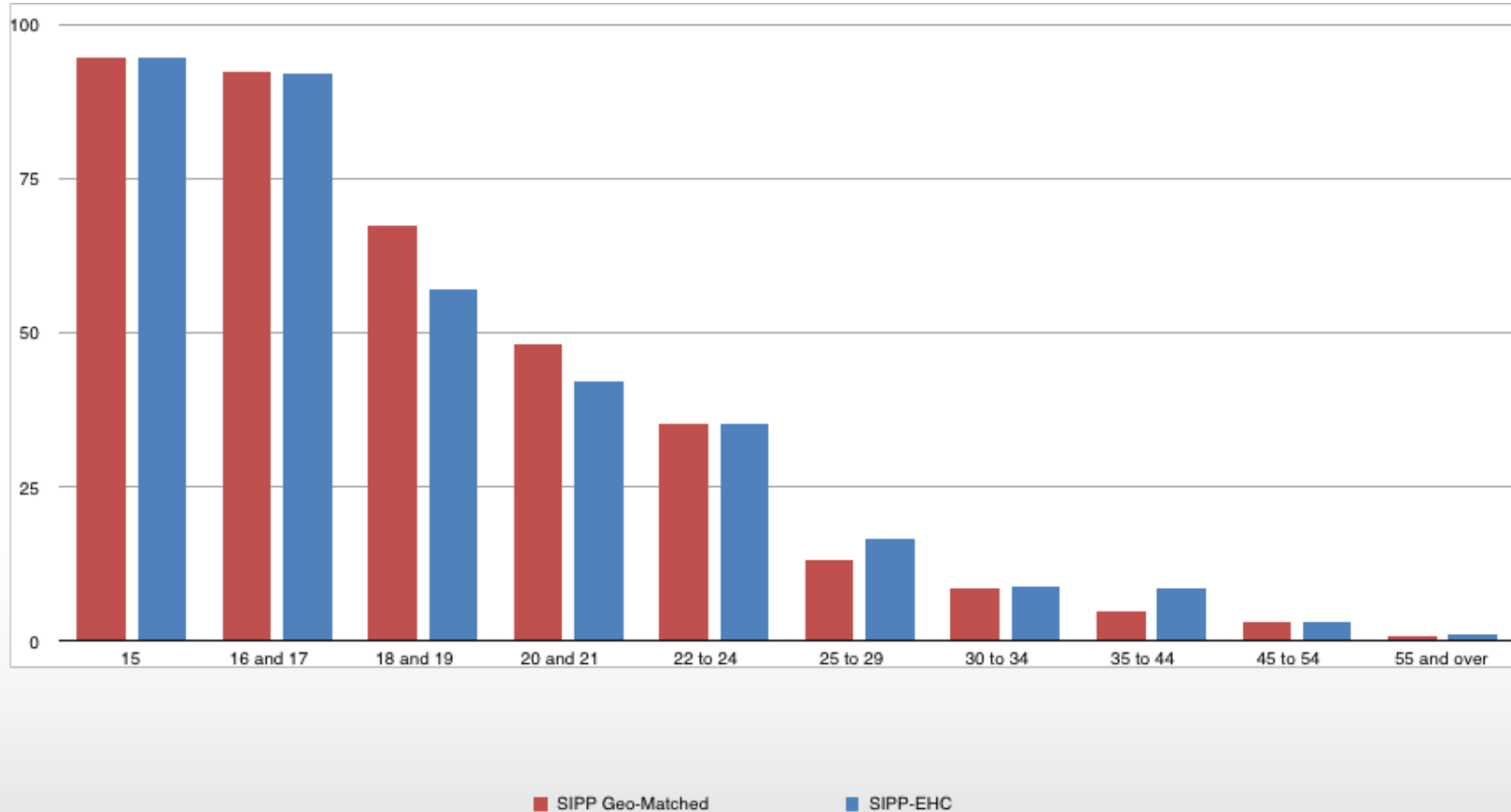
False Negative Rates for CY2011



False Positive Rates for CY2011



Percent Enrolled by Age (Adults 15+): SIPP 2008 and SIPP-EHC 2011

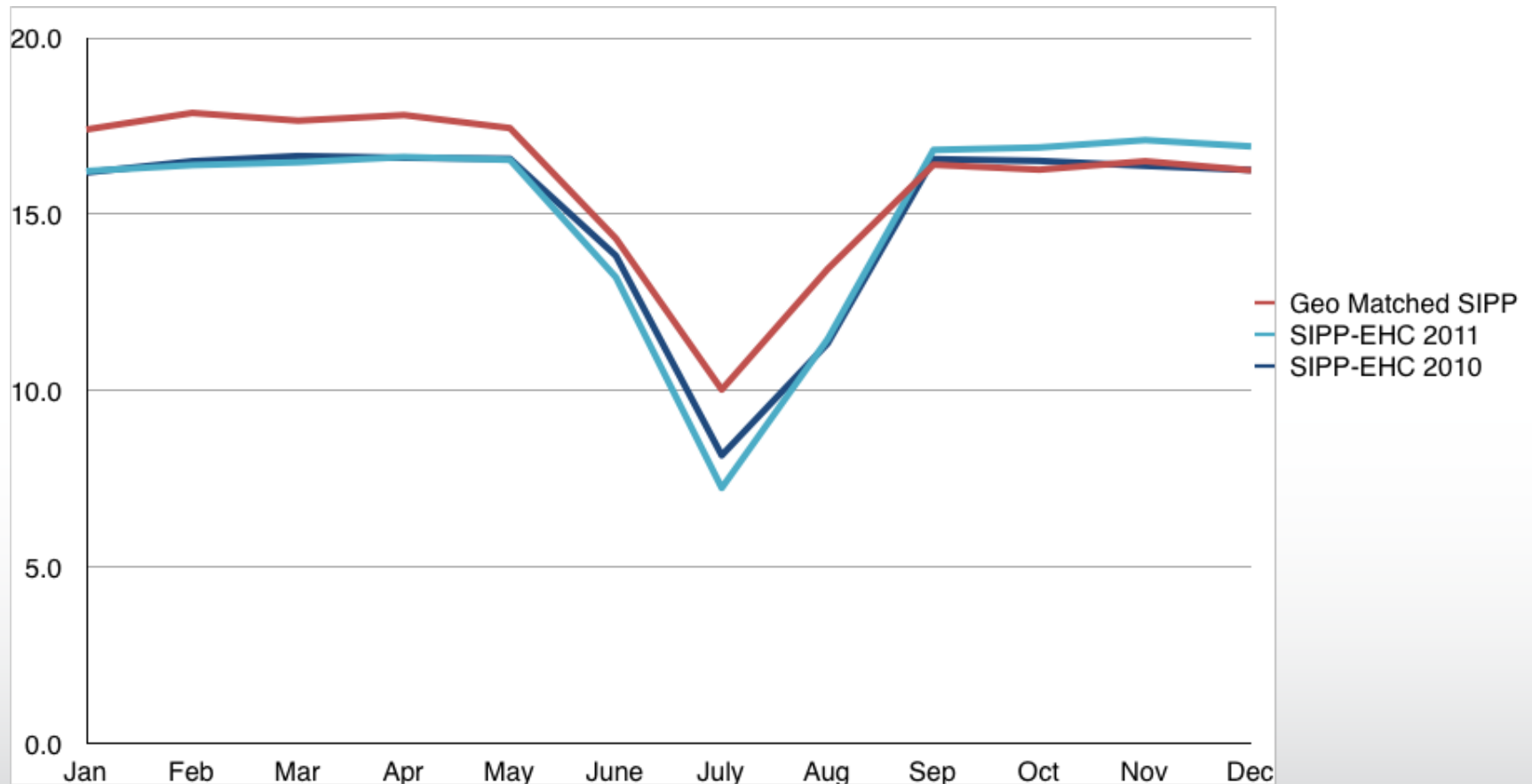


Measuring School Enrollment in the 2011 SIPP-EHC Field Test. Stephanie Ewert and Sarah Crissey, January 2012 - FCSM

*= Estimates are significantly different at the $p < .10$ level

Source: U.S. Census Bureau, Survey of Income and Program Participation, 2008 Panel Waves 5-8, 2011 SIPP-EHC.

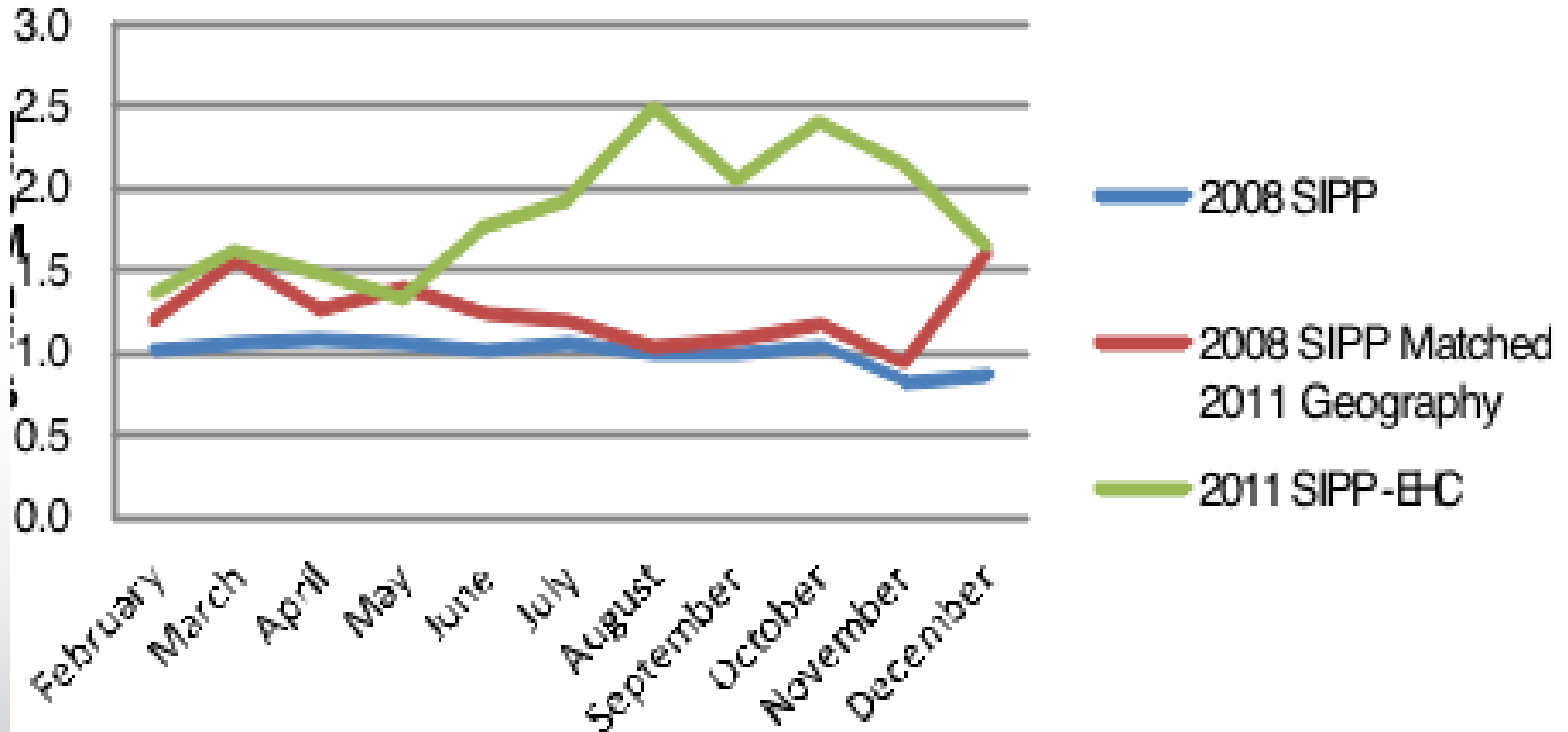
Percent Enrolled by Month (Adults 15+): SIPP 2008, SIPP-EHC 2010, and SIPP-EHC 2011



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Source: U.S. Census Bureau, Survey of Income and Program Participation, Panel 2008 Waves 5-8, 2010 SIPP-EHC, 2011 SIPP-EHC.

Mover Rates: 2008 SIPP and 2011 SIPP-EHC



Evaluating Residence History Information in the SIPP-EHC. Matthew Marlay and Peter Mateyka, January 2012 - FCSM

Initial Evaluation Conclusions

- SIPP-EHC and SIPP produce estimates that with few exceptions are not substantially different.
- SIPP-EHC agrees with administrative records data at least as well as SIPP in nearly every case.
- As in SIPP, transitions fall disproportionately on seams.

So....What's Next?

- Lots to be excited about!
- More evaluation
 - CY2013 comparisons to 2008 Panel
 - Administrative data comparisons
 - Topic Flag imputation model
 - Look at incidence of on-seam transitions in data from the just-completed 2013 SIPP-EHC field test
- User outreach
 - Website overhaul
 - Orlin system
 - Workshops



Thank you!

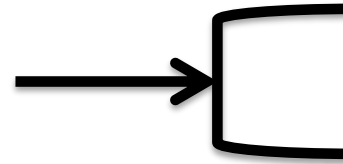
matthew.c.marlay@census.gov
jason.m.fields@census.gov

www.census.gov/SIPP

2014 SIPP: Content Highlights

Demographics:

- Roster: Interview-month household residents
- Roster of people you lived with during the year but who aren't in the interview month household (Type 2)
- Age, race, Hispanic origin, sex
- Relationship to householder, including options for same-sex partners and spouses
- Marital status, spouse pointer, year of marriage, times married, ever widowed, ever divorced, fertility screener



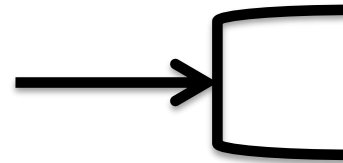
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EVENT HISTORY CALENDAR (EHC)

2014 SIPP: Content Highlights

Demographics:

- Gender neutral parent identification (Parent 1 and Parent 2)
- Type of parent for parent 1 and 2
- Nativity, citizenship, year moved to U.S., immigration status
- Education: Attainment, vocational/technical, professional certificates and licenses
- Armed forces service: Veteran status, period of service, active duty



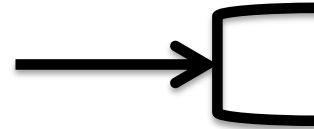
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EVENT HISTORY
CALENDAR (EHC)

2014 SIPP: Content Highlights

Residency:

- Up to 5 residences during the calendar year for each person
- Tenure status of each residence
- Public housing status: Housing subsidy receipt, voucher receipt
- Reason for moving to address
- Left censor: Year and month moved into January address, tenure of prior residence



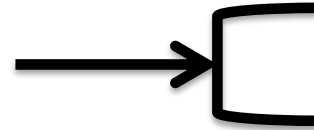
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EVENT HISTORY
CALENDAR (EHC)

2014 SIPP: Content Highlights

Marital History:

- Up to 3 marital status spells
- Monthly marital status, with spouse pointer
- Monthly cohabitation status, with partner pointer
- Registered domestic partner item for cohabitations

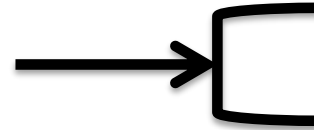


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2014 SIPP: Content Highlights

Educational Enrollment:

- Up to 3 spells of educational enrollment
- Grade attended
- School type (public, private, charter, home)
- Enrollment type (full-time/part-time)
- Grade repetition
- Head Start for children 7 and under



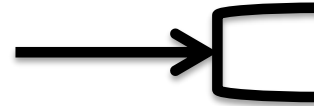
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EVENT HISTORY
CALENDAR (EHC)

2014 SIPP: Content Highlights

Labor Force:

- Up to 7 discrete job/business timelines, each with 2 spells possible
- Timeline for additional work beyond the first 7 jobs
- Type of pay/pay rate
- Job earnings and business profits
- # of hours usually worked per week
- Changes in earnings or hours worked (up to 3)
- Industry, occupation, and class of worker
- Business/employer name, size, type, address
- Union status
- Incorporation status
- Presence of partners (business)
- Time away without pay

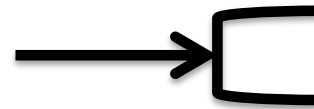


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2014 SIPP: Content Highlights

Unemployment:

- Spells of unemployment
- Time away from work
- Time out of the labor force
- Reason not working
- Availability for work
- Reason not available



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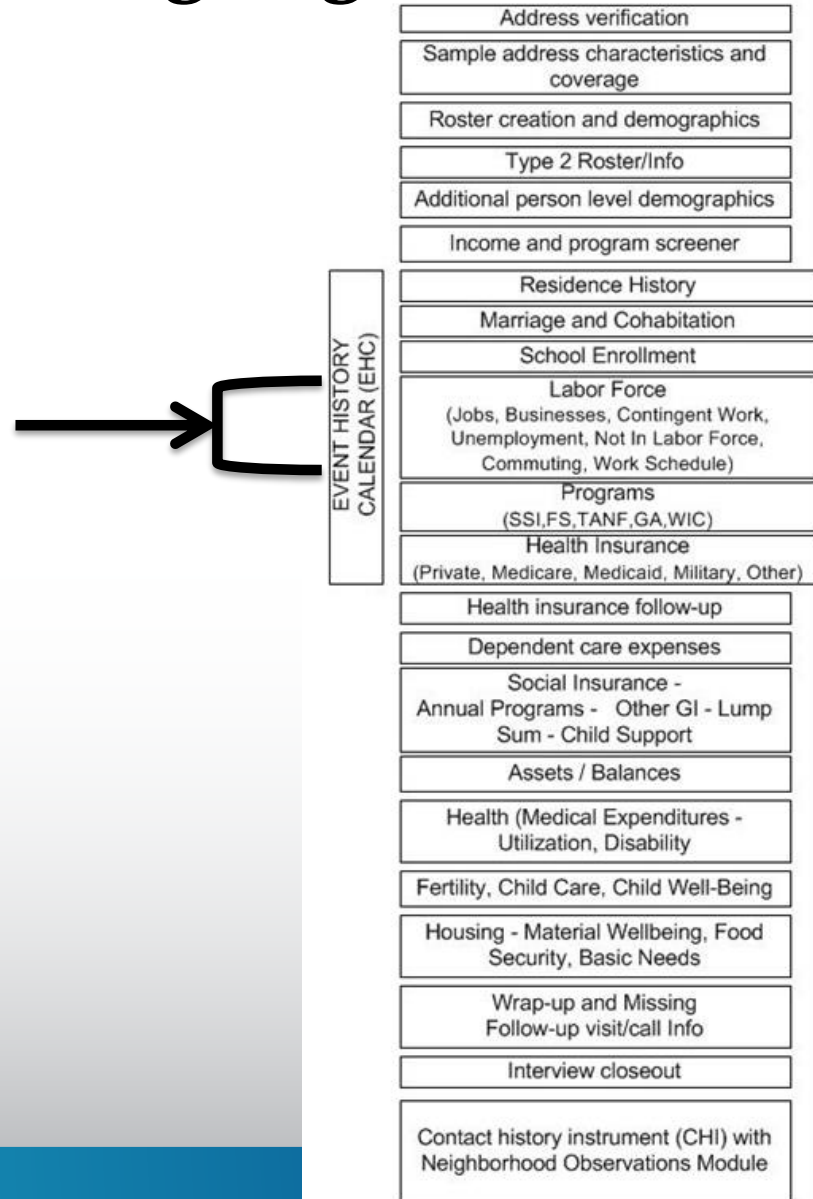
2014 SIPP: Content Highlights

Commuting:

- Mode(s) of travel
- Time to work
- Miles to work
- Costs for a typical week
- Reimbursement of costs

Work Schedule:

- Days worked
- Start and stop times
- Working from home
- Type of schedule
- Reason for schedule



2014 SIPP: Content Highlights

Programs:

- Supplemental Security Income (SSI)
- Temporary Assistance for Needy Families (TANF)
- Pass-through child support payments
- Supplemental Nutrition Assistance Program (SNAP - Food Stamps)
- General Assistance
- Women, Infants, and Children's Nutrition Program (WIC)



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EVENT HISTORY
CALENDAR (EHC)

2014 SIPP: Content Highlights

Programs:

- Up to 3 spells per year recorded for each program
- Who in the household is covered
- Ownership of the coverage
- Reasons for starting and stopping
- Amount received each month
- Up to three changes in amounts



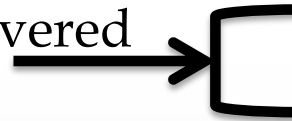
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EVENT HISTORY
CALENDAR (EHC)

2014 SIPP: Content Highlights

Health Insurance (in EHC):

- Private, Medicare, Medicaid, Military, and Other Coverage timelines
- Two timelines for private coverage to allow overlapping spells
- Who in the household is covered
- Whether anyone outside household covered
- Ownership of the coverage
- Type of coverage
- Cost
- Type of private plan
- Type of deductible

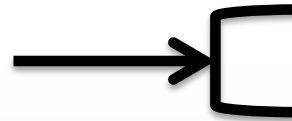


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2014 SIPP: Content Highlights

Health Insurance Follow-up (post-EHC):

- State-based health insurance exchange use
- Premiums and reimbursement
- Reconciliation of time without coverage
- Reasons for not being covered
- Reasons no private if employed but not covered
- Reasons no public if not covered at all

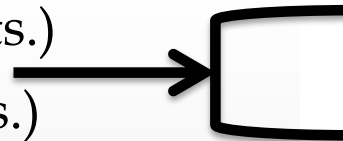


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2014 SIPP: Content Highlights

Programs (Annual and Other):

- Paid care of children or disabled persons so that a person could work, attend training, or look for work in December of reference year
- Total cost of that care in December
- VA benefits (monthly amts.)
- Social Security retirement income and deductions for Medicare (monthly amts.)
- Worker's compensation (monthly amts.)
- Unemployment compensation (monthly amts.)
- Energy assistance



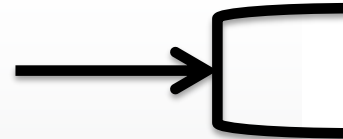
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EVENT HISTORY
CALENDAR (EHC)

2014 SIPP: Content Highlights

Programs (Annual and Other):

- Free and reduced-price meal programs
- Lump-sum payments
- Disability income
- Retirement income
- Miscellaneous cash income
- Survivor benefits
- Child support and alimony received
- Support payments made
- EITC receipt and tax filing status
- Other training, food, clothing, cash, and housing assistance



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EVENT HISTORY
CALENDAR (EHC)

2014 SIPP: Content Highlights

Assets – Income, Joint Holdings, and Balances/Values for:

- Checking and savings
- Money market accounts or funds
- Certificates of deposit
- Mutual funds
- Stocks
- Municipal and corporate bonds
- Government securities and savings bonds
- Royalties
- Rental property and rental property mortgage balance
- Other mortgages
- Miscellaneous investments



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2014 SIPP: Content Highlights

Other Assets:

- Real estate owned, market value, and balance owed
- Vehicles (up to 3 per household):
 - Use
 - Make
 - Model
 - Year
 - Balance owed
- Business value and debt
- Retirement account balances
- Unsecured liabilities

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2014 SIPP: Content Highlights

Medical Expenditures:

- Overall health status
- Medical out-of-pocket expenses
- Health care utilization
- Hospitalization
- Sick days
- Doctor visits
- Dental visits/teeth lost
- Drug coverage
- Insurance premium payments
- Medical visits by uninsured

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EVENT HISTORY CALENDAR (EHC)



2014 SIPP: Content Highlights

Disability:

- Sensory disabilities (sight and hearing)
- For adults: Serious difficulty concentrating, remembering, making decisions, walking or climbing stairs, dressing or bathing, doing errands, finding a job or remaining employed, prevented from working
- For children: Serious difficulty concentrating, remembering, making decisions, walking or climbing stairs, dressing or bathing, playing with children of the same age, doing regular school work
- For young children: A developmental condition or delay that limits ordinary activity
- SSA: Disability section in the 2014 supplement

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2014 SIPP: Content Highlights

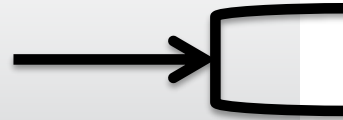
Fertility:

- Roster and ages for children birthed/fathered
- Identification of 'other parent' if not resident, enabling multi-partner fertility measure
- Grandparent indicator

Parents' Nativity and Mortality:

- Asked about biological parents
- Collected for both mother and father
- Date of birth
- Country of birth
- Mortality status
- Month/year of death

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2014 SIPP: Content Highlights

Child Care:

- Type of arrangements used
- Which children used each
- Weekly amount paid for child care
- Assistance with costs
- Time lost from work related to child care

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2014 SIPP: Content Highlights

Child Well-Being:

- Eating dinner with parents
- Reading to and outings with children under 6
- School engagement, school effort
- Grade repetition, suspension, expulsion
- Gifted classes
- Sports, lessons, club participation, religious lessons

Adult/Material Well-Being:

- Problems with housing, pests, plumbing
- Environmental noise, trash, safety
- Ability to pay mortgage or utilities

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2014 SIPP: Content Highlights

Food Security:

- Able to buy enough food
- Able to eat balanced meals
- Cut size of meals, and, if so, how often
- Defer food to children
- Hungry because not enough money for food

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