

## Section 5 - TOPICAL MODULES

### Part A - ANNUAL INCOME AND RETIREMENT ACCOUNTS

**STATEMENT C**

The purpose of this part of our interview is to get the most accurate picture possible of the situation of persons and families during calendar year 1994. It would be very helpful to refer to records during this part of the interview.

**CHECK ITEM T1**

Are the names of any businesses listed for ... on the control card? (cc item 43)

**8000** 1  Yes - SKIP to 1b  
2  No

**CHECK ITEM T2**

Was an interview obtained for ... for each of the 4th, 5th, 6th, AND 7th waves (cc items 44, 45, 46, and 47)?

**8002** 1  Yes - SKIP to Statement D, page 57  
2  No

**1a. Did ... own and operate a business at any time during calendar year 1994?**

Include farms.

**8004** 1  Yes  
2  No - SKIP to Statement D, page 57

ASK OR VERIFY -

**b. How many different businesses did ... own and operate during calendar year 1994?**

**8006**   Businesses  
OR  
x3  None - SKIP to Statement D, page 57

ASK OR VERIFY -

**c. What were the names of the businesses that ... owned and operated during calendar year 1994? (List up to 2 businesses; list according to net income from business beginning with the business providing the largest net income.)**

<p><b>PGM 8</b> <b>8008</b> Business name</p> <p>_____</p> <p>_____</p>	<p><b>PGM 8</b> <b>8058</b> Business name</p> <p>_____</p> <p>_____</p>
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**CHECK ITEM T3**

Transcribe ID number for this business from the control card (cc item 43).

(Fill items T3-T9 for the first business listed, then fill items T3-T9 if a second business is listed.)

**PGM 7**  
**8010**  Business ID No.  
OR  
x3  Not listed on control card

**PGM 7**  
**8060**  Business ID No.  
OR  
x3  Not listed on control card

**CHECK ITEM T4**

Has information about this business already been obtained in an interview for another household member?

**8012** 1  Yes  
2  No - SKIP to 2a

**8062** 1  Yes  
2  No - SKIP to 2a

**FIELD REPRESENTATIVE INSTRUCTION:**

Enter name, person number, and business ID number of the other owner who previously reported the business to indicate the location of the information about this business.

Name \_\_\_\_\_

Person number **8014**

Business ID number **8016**

OR  
x3  Not listed on control card

} SKIP to Check Item T9, page 56

Name \_\_\_\_\_

Person number **8064**

Business ID number **8066**

OR  
x1  Not listed on control card

} SKIP to Check Item T10, page 56

ASK OR VERIFY -

**2a. What was the form of this (business/practice) - was it a sole proprietorship, a partnership, or a corporation?**

**8018** 1  Sole proprietorship  
2  Partnership  
3  Corporation  
x1  DK

**8068** 1  Sole proprietorship  
2  Partnership  
3  Corporation  
x1  DK

**b. Was this business primarily located in ...'s own home or somewhere else?**

**8020** 1  Own home  
2  Somewhere else

**8070** 1  Own home  
2  Somewhere else

TOPICAL MODULES

**Section 5 – TOPICAL MODULES (Continued)**

**Part A – ANNUAL INCOME AND RETIREMENT ACCOUNTS (Continued)**

<b>CHECK ITEM T5</b>	Is "Sole proprietorship" marked in item 2a?	<b>8104</b> 1 <input type="checkbox"/> Yes – SKIP to 2h 2 <input type="checkbox"/> No	<b>8154</b> 1 <input type="checkbox"/> Yes – SKIP to 2h 2 <input type="checkbox"/> No
	<b>2c. Were any other members of this household part owners of this (business/practice)?</b>	<b>8106</b> 1 <input type="checkbox"/> Yes 2 <input type="checkbox"/> No x1 <input type="checkbox"/> DK } SKIP to 2g	<b>8156</b> 1 <input type="checkbox"/> Yes 2 <input type="checkbox"/> No x1 <input type="checkbox"/> DK } SKIP to 2g
	<b>d. Which other household members were owners?</b>	Person No. <b>8108</b> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> Name _____	Person No. <b>8158</b> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> Name _____
		Person No. <b>8110</b> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> Name _____	Person No. <b>8160</b> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> Name _____
	<b>e. Was this (business/practice) owned entirely by members of this household?</b>	<b>8112</b> 1 <input type="checkbox"/> Yes – SKIP to 2g 2 <input type="checkbox"/> No	<b>8162</b> 1 <input type="checkbox"/> Yes – SKIP to 2g 2 <input type="checkbox"/> No
	<b>f. What percentage of this (business/practice) was owned by members of this household?</b>	<b>8114</b> <input type="text"/> <input type="text"/> Percent OR x1 <input type="checkbox"/> DK	<b>8164</b> <input type="text"/> <input type="text"/> Percent OR x1 <input type="checkbox"/> DK
	<b>g. What percentage of this (business/practice) did . . . own in . . . 's own name?</b>	<b>8116</b> <input type="text"/> <input type="text"/> Percent OR x1 <input type="checkbox"/> DK	<b>8166</b> <input type="text"/> <input type="text"/> Percent OR x1 <input type="checkbox"/> DK
	<b>h. What were the gross RECEIPTS of this (business/practice) in 1994? Please use records if they are available.</b> ★  <i>Obtain estimate, if necessary.</i>	<b>8118</b> \$ <input type="text"/> <input type="text"/> . <input type="text"/> <input type="text"/> x1 <input type="checkbox"/> DK x2 <input type="checkbox"/> Ref.	<b>8168</b> \$ <input type="text"/> <input type="text"/> . <input type="text"/> <input type="text"/> x1 <input type="checkbox"/> DK x2 <input type="checkbox"/> Ref.
	<b>i. What were the total EXPENSES of this (business/practice) in 1994? Please use records if they are available.</b> ★  <i>Obtain estimate, if necessary.</i>	<b>8120</b> \$ <input type="text"/> <input type="text"/> . <input type="text"/> <input type="text"/> x1 <input type="checkbox"/> DK x2 <input type="checkbox"/> Ref.	<b>8170</b> \$ <input type="text"/> <input type="text"/> . <input type="text"/> <input type="text"/> x1 <input type="checkbox"/> DK x2 <input type="checkbox"/> Ref.
<b>CHECK ITEM T6</b>	Is "DK" marked in either item 2h or 2i?	<b>8122</b> 1 <input type="checkbox"/> Yes 2 <input type="checkbox"/> No – SKIP to Check Item T7	<b>8172</b> 1 <input type="checkbox"/> Yes 2 <input type="checkbox"/> No – SKIP to Check Item T7
	<b>2j. If I were to call back later, could you provide me with an estimate of (receipts/expenses)? (This information is especially important for this survey)?</b>	<b>8124</b> 1 <input type="checkbox"/> Yes – Mark Callback Summary and Reminder Card, items 11a and/or 11b 2 <input type="checkbox"/> No	<b>8174</b> 1 <input type="checkbox"/> Yes – Mark Callback Summary and Reminder Card, items 11a and/or 11b 2 <input type="checkbox"/> No
<b>CHECK ITEM T7</b>	Is "Sole proprietorship" marked in item 2a?	<b>8126</b> 1 <input type="checkbox"/> Yes – SKIP to Check Item T9 2 <input type="checkbox"/> No	<b>8176</b> 1 <input type="checkbox"/> Yes – SKIP to Check Item T10 2 <input type="checkbox"/> No

**Section 5 - TOPICAL MODULES (Continued)**

**Part A - ANNUAL INCOME AND RETIREMENT ACCOUNTS (Continued)**

<p><b>2k. What was ...'s net income from this (business/practice) in 1994? Please use records if they are available.</b> ★</p> <p><i>Obtain estimate, if necessary.</i></p>	<p>8202 \$ <input type="text"/> . <input type="text"/> 00 } SKIP to Check Item T8                  x3 <input type="checkbox"/> None                  x2 <input type="checkbox"/> Ref.                  x1 <input type="checkbox"/> DK</p> <p>8204 x4 <input type="checkbox"/> Lost money - Enter amount of loss in box - SKIP to Check Item T8</p>	<p>8252 \$ <input type="text"/> . <input type="text"/> 00 } SKIP to Check Item T8                  x3 <input type="checkbox"/> None                  x2 <input type="checkbox"/> Ref.                  x1 <input type="checkbox"/> DK</p> <p>8254 x4 <input type="checkbox"/> Lost money - Enter amount of loss in box - SKIP to Check Item T8</p>
<p><b>l. If I were to call back later, could you provide me with an estimate? (This information is especially important for the purposes of this survey.)</b></p>	<p>8206 1 <input type="checkbox"/> Yes - Mark Callback Summary and Reminder Card, item 12                  2 <input type="checkbox"/> No</p>	<p>8256 1 <input type="checkbox"/> Yes - Mark Callback Summary and Reminder Card, item 12                  2 <input type="checkbox"/> No</p>
<p><b>CHECK ITEM T8</b> Refer to item 2d. Were any other household members part owners of this business?</p>	<p>8208 1 <input type="checkbox"/> Yes                  2 <input type="checkbox"/> No - SKIP to Check Item T9</p>	<p>8258 1 <input type="checkbox"/> Yes                  2 <input type="checkbox"/> No - SKIP to Check Item T10</p>
<p><b>2m. Apart from the net income already reported for ... did (Read names of other household owners) receive any net income in 1994 from this (business/practice)?</b></p>	<p>8210 1 <input type="checkbox"/> Yes                  2 <input type="checkbox"/> No                  x1 <input type="checkbox"/> DK } SKIP to Check Item T9</p>	<p>8260 1 <input type="checkbox"/> Yes                  2 <input type="checkbox"/> No                  x1 <input type="checkbox"/> DK } SKIP to Check Item T10</p>
<p><b>n. What was the amount of net income that was received by (Read names of other household owners)?</b> <i>Obtain estimate, if necessary.</i></p>	<p>Person No. <input type="text"/> <input type="text"/> <input type="text"/></p> <p>8212 <input type="text"/> <input type="text"/> <input type="text"/></p> <p>8214 \$ <input type="text"/> . <input type="text"/> 00                  x3 <input type="checkbox"/> None                  x1 <input type="checkbox"/> DK                  x2 <input type="checkbox"/> Ref.</p> <p>8216 x4 <input type="checkbox"/> Lost money - Enter amount of loss in box</p> <p align="center">SECOND CO-OWNER</p> <p>Person No. <input type="text"/> <input type="text"/> <input type="text"/></p> <p>8218 <input type="text"/> <input type="text"/> <input type="text"/></p> <p>8220 \$ <input type="text"/> . <input type="text"/> 00                  x3 <input type="checkbox"/> None                  x1 <input type="checkbox"/> DK                  x2 <input type="checkbox"/> Ref.</p> <p>8222 x4 <input type="checkbox"/> Lost money - Enter amount of loss in box</p>	<p>Person No. <input type="text"/> <input type="text"/> <input type="text"/></p> <p>8262 <input type="text"/> <input type="text"/> <input type="text"/></p> <p>8264 \$ <input type="text"/> . <input type="text"/> 00                  x3 <input type="checkbox"/> None                  x1 <input type="checkbox"/> DK                  x2 <input type="checkbox"/> Ref.</p> <p>8266 x4 <input type="checkbox"/> Lost money - Enter amount of loss in box</p> <p align="center">SECOND CO-OWNER</p> <p>Person No. <input type="text"/> <input type="text"/> <input type="text"/></p> <p>8268 <input type="text"/> <input type="text"/> <input type="text"/></p> <p>8270 \$ <input type="text"/> . <input type="text"/> 00                  x3 <input type="checkbox"/> None                  x1 <input type="checkbox"/> DK                  x2 <input type="checkbox"/> Ref.</p> <p>8272 x4 <input type="checkbox"/> Lost money - Enter amount of loss in box</p>
<p><b>CHECK ITEM T9</b> Is another business listed in item 1c?</p>	<p>8274 1 <input type="checkbox"/> Yes - Complete Check Item T3 for next business                  2 <input type="checkbox"/> No - SKIP to Statement D</p>	<p align="center">Go to Check Item T10</p>
<p><b>CHECK ITEM T10</b> Is the number of businesses recorded in item 1b three or more?</p>	<p>8276 1 <input type="checkbox"/> Yes                  2 <input type="checkbox"/> No - SKIP to Statement D</p>	
<p><b>3. What was ...'s net income from ...'s other businesses in 1994? Please use records if they are available.</b></p>	<p>8278 \$ <input type="text"/> . <input type="text"/> 00                  x3 <input type="checkbox"/> None                  x1 <input type="checkbox"/> DK                  x2 <input type="checkbox"/> Ref.</p> <p>8280 x4 <input type="checkbox"/> Lost money - Enter amount of loss in box</p>	
<p>NOTES</p>		

**Section 5 - TOPICAL MODULES (Continued)**

**Part A - ANNUAL INCOME AND RETIREMENT ACCOUNTS (Continued)**

**STATEMENT D** The next few questions are about personal retirement plans.

<p><b>4a. Does . . . have an Individual Retirement Account - an IRA - in . . .'s OWN name?</b>  <i>If . . . is only included in . . .'s (husband's/wife's) IRA accounts, mark the "No" box.</i></p>	<p>9330 1 <input type="checkbox"/> Yes                  2 <input type="checkbox"/> No                  X1 <input type="checkbox"/> DK } SKIP to 4h</p>
<p><b>b. Did . . . make any tax-deductible contributions to IRA accounts which applied to . . .'s 1994 tax return?</b>  <i>(Contributions which were deducted from gross income.)</i></p>	<p>9332 1 <input type="checkbox"/> Yes                  2 <input type="checkbox"/> No                  X1 <input type="checkbox"/> DK } SKIP to 4d</p>
<p><b>c. How much were . . .'s tax-deductible contributions to IRA accounts which applied to . . .'s 1994 tax return?</b>  <i>(Form 1040, line 24a)                  (Form 1040A, line 15a)</i></p>	<p>9334 \$ <input style="width: 80px;" type="text"/> . <input style="width: 30px;" type="text"/> 00                  X1 <input type="checkbox"/> DK                  X2 <input type="checkbox"/> Ref.</p>
<p><b>d. Did . . . make any withdrawals from . . .'s IRA accounts during 1994?</b>  <i>Mark "No" if funds were "rolled over" within 60 days of the withdrawal.</i></p>	<p>9336 1 <input type="checkbox"/> Yes                  2 <input type="checkbox"/> No                  X1 <input type="checkbox"/> DK } SKIP to 4f</p>
<p><b>e. How much did . . . withdraw from IRA accounts during 1994?</b></p>	<p>9338 \$ <input style="width: 80px;" type="text"/> . <input style="width: 30px;" type="text"/> 00                  X1 <input type="checkbox"/> DK                  X2 <input type="checkbox"/> Ref.</p>
<p><b>f. Including ALL IRA accounts in . . .'s OWN name, how much did . . .'s IRA accounts earn during 1994?</b></p>	<p>9340 \$ <input style="width: 80px;" type="text"/> . <input style="width: 30px;" type="text"/> 00                  X3 <input type="checkbox"/> None                  X1 <input type="checkbox"/> DK                  X2 <input type="checkbox"/> Ref.</p>
<p><b>g. What types of assets did . . . have in . . .'s IRA accounts?</b>  <i>Mark (X) all that apply.</i>  <b>Anything else?</b></p>	<p>9342 1 <input type="checkbox"/> Certificates of deposit or other savings certificates                  9344 2 <input type="checkbox"/> Money market funds                  9346 3 <input type="checkbox"/> U.S. Government securities                  9348 4 <input type="checkbox"/> Municipal or corporate bonds                  9350 5 <input type="checkbox"/> U.S. Savings Bonds                  9352 6 <input type="checkbox"/> Stocks or mutual fund shares                  9354 7 <input type="checkbox"/> Other assets - Specify <input style="width: 50px;" type="text"/></p> <p>9356 X1 <input type="checkbox"/> DK</p>
<p><b>h. Does . . . have a Keogh account in . . .'s OWN name?</b></p>	<p>9358 1 <input type="checkbox"/> Yes                  2 <input type="checkbox"/> No                  X1 <input type="checkbox"/> DK } SKIP to Check Item T11</p>
<p><b>i. Did . . . make any tax-deductible contributions to a Keogh account which applied to . . .'s 1994 tax return?</b></p>	<p>9360 1 <input type="checkbox"/> Yes                  2 <input type="checkbox"/> No                  X1 <input type="checkbox"/> DK } SKIP to 4k</p>
<p><b>j. How much were . . .'s tax-deductible contributions to Keogh accounts which applied to . . .'s 1994 tax return?</b>  <i>(Form 1040, line 27)</i></p>	<p>9362 \$ <input style="width: 80px;" type="text"/> . <input style="width: 30px;" type="text"/> 00                  X1 <input type="checkbox"/> DK                  X2 <input type="checkbox"/> Ref.</p>
<p><b>k. Did . . . make any withdrawals from . . .'s Keogh accounts during 1994?</b></p>	<p>9364 1 <input type="checkbox"/> Yes                  2 <input type="checkbox"/> No                  X1 <input type="checkbox"/> DK } SKIP to 4m</p>

**Section 5 - TOPICAL MODULES (Continued)**

**Part A - ANNUAL INCOME AND RETIREMENT ACCOUNTS (Continued)**

**4l. How much did . . . withdraw from Keogh accounts during 1994?**

9366 \$  .  00  
 x1  DK  
 x2  Ref.

**m. Including ALL Keogh accounts in . . .'s OWN name, how much did . . .'s Keogh accounts earn during 1994?**

9368 \$  .  00  
 x3  None  
 x1  DK  
 x2  Ref.

**n. What types of assets did . . . have in . . .'s Keogh accounts?**

*Mark (X) all that apply.*  
**Anything else?**

- 9370  1 Certificates of deposit or other savings certificates  
 9372  2 Money market funds  
 9374  3 U.S. Government securities  
 9376  4 Municipal or corporate bonds  
 9378  5 U.S. Savings Bonds  
 9380  6 Stocks or mutual fund shares  
 9382  7 Other assets - *Specify*

9384 x1  DK

**CHECK ITEM T11**

*Refer to cc item 42.*  
 Are the names of any employers listed for . . . on the control card?

9385 1  Yes  
 2  No - *SKIP to Check Item T12*

**40. During 1994, did . . . participate in an employee thrift plan such as a 401k plan? Such a plan allows employees to defer part of their salary and not have to pay taxes on their deferred salary until they retire or make a withdrawal.**

9386 1  Yes  
 2  No } *SKIP to Check Item T12*  
 x1  DK }

**p. How much did . . . contribute to this plan during 1994?**

9388 \$  .  00  
 x3  None  
 x1  DK  
 x2  Ref.

NOTES

**Section 5 - TOPICAL MODULES (Continued)**

**Part B - TAXES**

<b>CHECK ITEM T12</b>	Has tax information for . . . already been obtained in an interview for a spouse with whom . . . filed a joint return?	<b>9390</b>	1 <input type="checkbox"/> Yes - <i>SKIP to Check Item T19, page 61</i> 2 <input type="checkbox"/> No																					
	<b>1a. Did . . . file a Federal income tax return for 1994?</b> <i>Mark "Yes" if . . . filed alone or jointly.</i>	<b>9392</b>	1 <input type="checkbox"/> Yes 2 <input type="checkbox"/> No - <i>SKIP to Check Item T19, page 61</i>																					
	<b>b. Do you have a copy of the tax form or a worksheet that you could refer to for the next few questions?</b>	<b>9394</b>	1 <input type="checkbox"/> Yes - <i>Allow person time to get form</i> 2 <input type="checkbox"/> No																					
	<b>2. What was . . . 's filing status on . . . 's 1994 Federal tax return? Did . . . file as -</b> <i>Read categories - Mark (X) one.</i>	<b>9396</b>	1 <input type="checkbox"/> <b>A single taxpayer?</b> 2 <input type="checkbox"/> <b>Married, filing a joint return?</b> 3 <input type="checkbox"/> <b>Married, filing separately?</b> 4 <input type="checkbox"/> <b>Unmarried head of household?</b> 5 <input type="checkbox"/> <b>Qualifying widow(er) with dependent child?</b> X1 <input type="checkbox"/> DK																					
	<b>3a. What were the total number of exemptions claimed on . . . 's tax return?</b>	<b>9398</b>	<input type="text"/> <input type="text"/> Exemptions - <i>If "00" or "01" SKIP to 4</i> X1 <input type="checkbox"/> DK																					
<b>CHECK ITEM T13</b>	<i>Refer to cc item 20.</i> Number of current household members.	<b>9400</b>	1 <input type="checkbox"/> One - <i>SKIP to 3c</i> 2 <input type="checkbox"/> Two or more																					
	<b>3b. Besides . . . , which persons in this household did . . . claim as an exemption?</b>		<table style="width:100%; border-collapse: collapse;"> <thead> <tr> <th style="width:10%;"></th> <th style="width:10%;">Person No.</th> <th style="width:80%;">Name</th> </tr> </thead> <tbody> <tr> <td><b>9402</b></td> <td><input type="text"/></td> <td><input type="text"/></td> </tr> <tr> <td><b>9404</b></td> <td><input type="text"/></td> <td><input type="text"/></td> </tr> <tr> <td><b>9406</b></td> <td><input type="text"/></td> <td><input type="text"/></td> </tr> <tr> <td><b>9408</b></td> <td><input type="text"/></td> <td><input type="text"/></td> </tr> <tr> <td><b>9410</b></td> <td><input type="text"/></td> <td><input type="text"/></td> </tr> <tr> <td><b>9412</b></td> <td colspan="2">1 <input type="checkbox"/> None in household</td> </tr> </tbody> </table>		Person No.	Name	<b>9402</b>	<input type="text"/>	<input type="text"/>	<b>9404</b>	<input type="text"/>	<input type="text"/>	<b>9406</b>	<input type="text"/>	<input type="text"/>	<b>9408</b>	<input type="text"/>	<input type="text"/>	<b>9410</b>	<input type="text"/>	<input type="text"/>	<b>9412</b>	1 <input type="checkbox"/> None in household	
	Person No.	Name																						
<b>9402</b>	<input type="text"/>	<input type="text"/>																						
<b>9404</b>	<input type="text"/>	<input type="text"/>																						
<b>9406</b>	<input type="text"/>	<input type="text"/>																						
<b>9408</b>	<input type="text"/>	<input type="text"/>																						
<b>9410</b>	<input type="text"/>	<input type="text"/>																						
<b>9412</b>	1 <input type="checkbox"/> None in household																							
	<i>ASK OR VERIFY -</i> <b>c. Did . . . claim exemptions for any persons who lived outside of . . . 's home for the entire year?</b>	<b>9414</b>	1 <input type="checkbox"/> Yes 2 <input type="checkbox"/> No - <i>SKIP to 4</i>																					
	<b>d. What was the relationship of this (these) person(s) to . . . ?</b> <i>Record for two persons only.</i>		<table style="width:100%; border-collapse: collapse;"> <thead> <tr> <th style="width:50%;"></th> <th style="width:25%;">FIRST DEPENDENT</th> <th style="width:25%;">SECOND DEPENDENT</th> </tr> </thead> <tbody> <tr> <td><b>9416</b></td> <td>1 <input type="checkbox"/> Parent 2 <input type="checkbox"/> Child 3 <input type="checkbox"/> Brother/sister 4 <input type="checkbox"/> Other</td> <td><b>9418</b></td> <td>1 <input type="checkbox"/> Parent 2 <input type="checkbox"/> Child 3 <input type="checkbox"/> Brother/sister 4 <input type="checkbox"/> Other</td> </tr> </tbody> </table>		FIRST DEPENDENT	SECOND DEPENDENT	<b>9416</b>	1 <input type="checkbox"/> Parent 2 <input type="checkbox"/> Child 3 <input type="checkbox"/> Brother/sister 4 <input type="checkbox"/> Other	<b>9418</b>	1 <input type="checkbox"/> Parent 2 <input type="checkbox"/> Child 3 <input type="checkbox"/> Brother/sister 4 <input type="checkbox"/> Other														
	FIRST DEPENDENT	SECOND DEPENDENT																						
<b>9416</b>	1 <input type="checkbox"/> Parent 2 <input type="checkbox"/> Child 3 <input type="checkbox"/> Brother/sister 4 <input type="checkbox"/> Other	<b>9418</b>	1 <input type="checkbox"/> Parent 2 <input type="checkbox"/> Child 3 <input type="checkbox"/> Brother/sister 4 <input type="checkbox"/> Other																					
	<b>4. Did . . . file form 1040, the long form or did . . . file one of the short forms, 1040A or 1040EZ?</b> <i>(Form 1040 is blue) (Form 1040A is pink) (Form 1040EZ is green)</i>	<b>9420</b>	1 <input type="checkbox"/> Form 1040 2 <input type="checkbox"/> Form 1040A 3 <input type="checkbox"/> Form 1040EZ X1 <input type="checkbox"/> DK <i>} SKIP to Check Item T14, page 60</i>																					
	<b>5. I am going to mention two forms that people are sometimes required to attach to their tax return. Please tell me if these were included with . . . 's 1994 tax return.</b>																							
	<b>(1) Schedule A, Itemized Deductions . . . . .</b>	<b>9422</b>	1 <input type="checkbox"/> Yes 2 <input type="checkbox"/> No X1 <input type="checkbox"/> DK																					
	<b>(2) Schedule D, Capital Gains and Losses . . . . .</b>	<b>9424</b>	1 <input type="checkbox"/> Yes 2 <input type="checkbox"/> No X1 <input type="checkbox"/> DK																					

**Section 5 - TOPICAL MODULES (Continued)**

**Part B - TAXES (Continued)**

<b>CHECK ITEM T14</b>	Refer to item 1b. Does the respondent have a copy of . . . 's Federal income tax form or a worksheet to refer to?	9428	1 <input type="checkbox"/> Yes 2 <input type="checkbox"/> No
<b>CHECK ITEM T15</b>	Refer to item 4. Is "Form 1040" marked?	9430	1 <input type="checkbox"/> Yes 2 <input type="checkbox"/> No - SKIP to 8a
<b>CHECK ITEM T16</b>	Is "Schedule A, Itemized Deductions" marked "Yes" in item 5(1)?	9432	1 <input type="checkbox"/> Yes 2 <input type="checkbox"/> No - SKIP to 6b
<b>6a. How much were . . . 's (and . . . 's husband's/wife's) itemized deductions for 1994?</b> (Schedule A, line 29)		9434	\$ <input style="width: 80px;" type="text"/> . <input style="width: 30px;" type="text"/> 00 x1 <input type="checkbox"/> DK x2 <input type="checkbox"/> Ref. - SKIP to Check Item T17
<b>b. On . . . 's Form 1040, did . . . (and . . . 's husband/wife) claim -</b>		(Ask for each credit claimed.) <b>6c. What was the amount of the (Read name of credit) claimed?</b>	
<b>(1) A child and dependent care expense credit . . . . .</b> (Form 1040, line 41)		9446	1 <input type="checkbox"/> Yes 2 <input type="checkbox"/> No
<b>(2) A credit for the elderly or the disabled . . . . .</b> (Form 1040, line 42)		9450	1 <input type="checkbox"/> Yes 2 <input type="checkbox"/> No
		9448	\$ <input style="width: 80px;" type="text"/> . <input style="width: 30px;" type="text"/> 00 x1 <input type="checkbox"/> DK x2 <input type="checkbox"/> Ref.
		9452	\$ <input style="width: 80px;" type="text"/> . <input style="width: 30px;" type="text"/> 00 x1 <input type="checkbox"/> DK x2 <input type="checkbox"/> Ref.
<b>CHECK ITEM T17</b>	Refer to item 5(2). Is "Schedule D, Capital Gains and Losses" marked "Yes"?	9458	1 <input type="checkbox"/> Yes 2 <input type="checkbox"/> No - SKIP to 8a
<b>7. How much were . . . 's (and . . . 's husband's/wife's) capital gains or losses from the sale or exchange of personal assets for 1994?</b> (Form 1040, line 13)		9460	\$ <input style="width: 80px;" type="text"/> . <input style="width: 30px;" type="text"/> 00 x3 <input type="checkbox"/> None x1 <input type="checkbox"/> DK x2 <input type="checkbox"/> Ref.
		9461	x4 <input type="checkbox"/> Lost money - Enter amount of loss in box
<b>8a. Adjusted gross income is total income less certain types of adjustments and exclusions. Please look at your tax return or worksheet. What was . . . 's (and . . . 's husband's/wife's) adjusted gross income in 1994?</b> (Form 1040, line 31) (Form 1040A, line 16) (Form 1040EZ, line 5)		9462	\$ <input style="width: 80px;" type="text"/> . <input style="width: 30px;" type="text"/> 00 x3 <input type="checkbox"/> None x1 <input type="checkbox"/> DK x2 <input type="checkbox"/> Ref.
		9463	x4 <input type="checkbox"/> Lost money - Enter amount of loss in box } SKIP to 9a
<b>b. Federal income tax liability is the total tax as determined by the tax table or schedule plus or minus certain adjustments. What was . . . 's (and . . . 's husband's/wife's) net tax liability in 1994?</b> (Form 1040, line 53) (Form 1040A, line 27) (Form 1040EZ, line 9)		9464	\$ <input style="width: 80px;" type="text"/> . <input style="width: 30px;" type="text"/> 00 x3 <input type="checkbox"/> None x1 <input type="checkbox"/> DK x2 <input type="checkbox"/> Ref.
<b>CHECK ITEM T18</b>	Refer to item 8a. What is the amount of adjusted gross income reported?	9466	1 <input type="checkbox"/> \$23,050 or more - SKIP to Check Item T19 2 <input type="checkbox"/> Less than \$23,050

**Section 5 – TOPICAL MODULES (Continued)**

**Part B – TAXES (Continued)**

<p><b>9a. Did . . . claim an earned income credit on . . . 's Federal income tax return?</b></p>	9472	<p>1 <input type="checkbox"/> Yes                  2 <input type="checkbox"/> No                  x1 <input type="checkbox"/> DK } <i>SKIP to Check Item T19</i></p>
<p><b>b. What was the amount of earned income credit claimed?</b>                  (Form 1040, line 56)                  (Form 1040A, line 28c)</p>	9474	<p>\$ <input style="width: 80px;" type="text"/> . <input style="width: 30px;" type="text"/> 00</p> <p>x1 <input type="checkbox"/> DK                  x2 <input type="checkbox"/> Ref.</p>
<p><b>CHECK ITEM T19</b> Refer to cc item 15.                  Tenure of reference person.                  Are . . . 's living quarters –</p>	9486	<p>1 <input type="checkbox"/> Owned or being bought?                  2 <input type="checkbox"/> Rented for cash?                  3 <input type="checkbox"/> Occupied without cash payment? } <i>SKIP to Statement E, page 62</i></p>
<p><b>CHECK ITEM T20</b> Interview status of . . . 's spouse</p>	9488	<p>1 <input type="checkbox"/> No spouse in household                  2 <input type="checkbox"/> Interview for spouse not yet conducted                  3 <input type="checkbox"/> Interview for spouse already conducted –  <i>SKIP to Statement E, page 62</i></p>
<p><b>10a. Did . . . pay any property taxes on . . . 's residence(s) in 1994?</b></p>	9490	<p>1 <input type="checkbox"/> Yes                  2 <input type="checkbox"/> No – <i>SKIP to Statement E, page 62</i></p>
<p><b>b. Did . . . pay these jointly with someone else living here?</b></p>	9492	<p>1 <input type="checkbox"/> Yes                  2 <input type="checkbox"/> No – <i>SKIP to 10d</i></p>
<p><b>c. Who made these joint payments with . . . ?</b></p>	9494	<p>Person No.    Name  <input style="width: 30px;" type="text"/> <input style="width: 30px;" type="text"/> <input style="width: 30px;" type="text"/> _____</p>
	9496	<p>Person No.    Name  <input style="width: 30px;" type="text"/> <input style="width: 30px;" type="text"/> <input style="width: 30px;" type="text"/> _____</p>
<p><b>d. What was (your share of) the property tax bill for . . . 's residence(s) in 1994?</b>                  Obtain estimate, if necessary.                  (Schedule A, line 6)</p>	9498	<p>\$ <input style="width: 80px;" type="text"/> . <input style="width: 30px;" type="text"/> 00</p> <p>x1 <input type="checkbox"/> DK                  x2 <input type="checkbox"/> Ref.</p>

NOTES

**Section 5 - TOPICAL MODULES (Continued)**

**Part C - SCHOOL ENROLLMENT AND FINANCING**

**STATEMENT E** → The next few questions are about school enrollment and financing.

**1. Was . . . enrolled in school anytime during the past 12 months? (Include any regular school, such as elementary, high school, or college, or any vocational, technical or business school.)**

- 9610**    1  Yes  
 2  No - SKIP to Check Item C1, page 64

**2. At what level or grade was . . . enrolled?**  
*(If enrolled at more than one level in the past 12 months, check level in which the greatest amount of time was spent.)*

- 9612**    1  Elementary grades 1-8  
 2  High school grades 9-12  
 3  College year 1  
 4  College year 2  
 5  College year 3  
 6  College year 4  
 7  College year 5  
 8  College year 6+  
 9  Vocational school  
 10  Technical school  
 11  Business school  
 12  Other or DK

**CHECK ITEM T21**    Was . . . enrolled in elementary or high school?

- 9614**    1  Yes  
 2  No - SKIP to 4

**3. Was . . . enrolled in a public school?**  
*(Mark "Yes" if the school at which . . . spent the greatest amount of time was public.)*

- 9616**    1  Yes - SKIP to Check Item C1, page 64  
 2  No

**4. During the past 12 months -**

**a. What was the total cost of . . . 's tuition and fees?**

**9618**    \$  .  00  
 X3  None  
 X1  DK

**b. What was the total cost of . . . 's books and supplies?**

**9620**    \$  .  00  
 X3  None  
 X1  DK

**c. Did . . . live away from home while attending school?**

- 9622**    1  Yes  
 2  No - SKIP to 5a

**d. What was the total cost for room and board while away at school?**

**9624**    \$  .  00  
 X3  None  
 X1  DK

NOTES

