American Housing Survey

# Getting Started with the Public Use File: 1997 - 2013

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U.S. Census Bureau, Department of Commerce Department of Housing and Urban Development

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## Overview

The purpose of this document is to introduce American Housing Survey (AHS) users to the revised 1997-2013 AHS public use file (PUF) microdata. AHS users who have used the originally published 1997-2013 PUF microdata will notice changes in the revised files, such as:

- Fewer tables in the relational PUF structure
- Fewer weights
- Removal of noninterviews from the PUF
- Standardized supporting files and documentation

The remainder of this guide is organized into sections, with each section addressing an important PUF microdata topic. If applicable, comparisons will be made with the original 1997-2013 PUFs or PUFs from other years.

Using the 1997-2013 PUF microdata requires a statistical program such as SAS, STATA, or R. Although it is technically possible to use PUF microdata in Microsoft Excel or Access, users will find doing so difficult. The appendix in this document contains examples of merging datasets and creating tables with commonly used AHS variables in SAS.

PUF users who do not have the resources to purchase a statistical program such as SAS or STATA can obtain a free program such as R or Python, which has downloadable packages for data management and analysis.

### Revisions to the 1997-2013 PUFs

In May 2021, HUD and Census released revisions to all national and metro PUFs from 1997 through 2013 to increase usability for data users. The list below summarizes the revisions made to the files, which are also covered in more detail throughout this guide.

- 1. Variables without usable information were removed from the files. This is covered in the Variable Formatting section of this guide.
- 2. Standardized formatting rules were applied to all variables. This is also discussed in more detail in the Variable Formatting section.
- 3. Non-interview sample along with variables used for non-interview data were removed from the files. This is covered in more detail in the Respondent Types section.
- 4. Datasets were combined and condensed where possible to reduce the number of files required to use the PUFs. More detail on this process is included in the File Structure section.
- 5. A standardized flat and CSV file format was created for each survey year, which is also described in the File Structure section.
- 6. Electronic codebook entries for all historic files were reviewed for accuracy, and corrections and updates were applied. This is described in more detail in the Interactive Codebook section.
- 7. A standard value label package (VLP) was created for each set of PUFs. This is explained more in the section on Value Label Packages.

## Sample Design

Throughout its history, the AHS has always consisted of a longitudinal national sample (hereinafter referred to as AHS-N) and independent longitudinal samples of selected metropolitan areas (hereinafter referred to as AHS-MS). Over the length of a longitudinal sample in the AHS, the same housing units are

interviewed across multiple survey years, with new housing units added to the sample each year to represent new construction. While this guide focuses on the 1997-2013 period, it's important to note that the AHS-N sample is longitudinal from 1985-2013, and many of the AHS-MS samples are longitudinal with years earlier than 1997. From 1985-2013, the AHS-N sample was surveyed in every odd-numbered year. The AHS-MS samples were surveyed periodically throughout the same period, but unlike national, could also exist in even-numbered years. For a full listing of the metro areas sampled in each year, see <a href="https://www.census.gov/content/dam/Census/programs-surveys/ahs/tech-documentation/Quick%20Guide%20to%20Metro%20Area%20Histories%201974%20to%20Current.pdf">https://www.census.gov/content/dam/Census/programs-surveys/ahs/tech-documentation/Quick%20Guide%20to%20Metro%20Area%20Histories%201974%20to%20Current.pdf</a>.

#### The AHS-N Sample and Sample Changes

The AHS-N sample is designed to be representative of the nation as well as the four large Census regions and nine Census divisions within the U.S. The national sample was not designed to produce estimates of individual metropolitan area, states, or other areas smaller than the Census division.

The AHS-N sample underwent several changes during the 1985-2013 period. The table below summarizes the changes and their impact on the ability to produce national estimates.

Description of Change	Impact
<b>Big 6 Oversample</b> These cases were added to the AHS-N sample for 1995, 1999, 2003, 2009, 2011, and 2013 files. These cases are in the Chicago, Detroit, Los Angeles, New York, Northern New Jersey, and Philadelphia Metropolitan Statistical Areas. All six metropolitan areas were included in the AHS- N in 1995, 1999, 2003. For 2009 and 2013, Chicago, Detroit, New York, Northern New Jersey, and Philadelphia were included in the AHS-N. For 2011, Los Angeles was included in the AHS-N.	<ul> <li>This change meant that PUF users wishing to produce estimates for the six metropolitan areas would need to use the AHS-N PUF.</li> <li>This change had no impact on the ability to produce national estimates from the AHS-N PUF.</li> </ul>
<b>HUD-Assisted Housing Units Oversample</b> HUD added approximately 5,200 HUD-assisted renter cases to the AHS-N sample for 2011 and 2013.	<ul> <li>This change had no impact on the ability to produce national estimates from the AHS-N PUF.</li> <li>This change gave users the ability to produce national estimates of HUD-assisted households.</li> </ul>
<b>1997 Sample Drop</b> Some sample from earlier years were temporarily removed in 1997 and reinstated in 1999.	• This change had no impact on the ability to produce national estimates from the AHS-N PUF.
<b>2007 Sample Drop</b> These cases were reduced from the sample in 2007 for budget reasons. They were all reinstated in 2011 as part of the larger sample.	• This change had no impact on the ability to produce national estimates from the AHS-N PUF.

#### The AHS-MS Sample and Sample Changes

The data from the independent metropolitan surveys are contained in a single metropolitan dataset for their year. Within that dataset, the cases from each metropolitan area are identified by the SMSA variable. This is important because different metro areas could use the same CONTROL value.

The original release of the 2011 AHS survey data was an exception to this arrangement. In that survey, the metropolitan and national cases were originally released in a single combined dataset. The 2011 files were revised in April 2019, splitting the metropolitan and national cases into separate datasets like the other years in this time period. A more detailed description of these changes can be found in the 2011 version control document found at <a href="https://www2.census.gov/programs-surveys/ahs/2011/AHS%202011%20National%20PUF%20Version%20Control.pdf">https://www2.census.gov/programs-surveys/ahs/2011/AHS%202011%20National%20PUF%20Version%20Control.pdf</a>.

The cases from the six metropolitan areas conducted as oversamples in the national survey are always part of the national dataset and have no metro-specific weights that are publicly available. These are explained in further detail in the AHS-N sample section.

Like with the AHS-N sample, the independent AHS-MS samples are also longitudinal, meaning that they return to the same housing units in each survey year. Data users can use CONTROL and SMSA to link AHS-MS sample longitudinally. For the 2011 and 2013 metro files, the CONTROLM and SMSA codes will need to be linked to CONTROL and SMSA in earlier metro files. Full documentation on the longitudinal history of each AHS-MS sample can be found in the Metropolitan Oversample Histories: 1973-2013 guide at <a href="https://www.census.gov/programs-surveys/ahs/tech-documentation/help-guides/metro\_oversamp\_hist\_2013.html">https://www.census.gov/programs-surveys/ahs/tech-documentation/help-guides/metro\_oversamp\_hist\_2013.html</a>.

## Survey Design/Mode

From 1973 through 1996, the AHS was conducted using a paper survey instrument administered by Census Bureau Field Representatives (FRs) who visited respondents in their homes to conduct interviews in person. Beginning in 1997, the survey switched to computer-assisted personal interviewing (CAPI), in which the instrument is a program running on the FR's laptop computer. Most interviews were conducted in person, although some were conducted by telephone.

#### Evolution of Dependent Interviewing

In the paper questionnaire, values for a limited number of variables were carried forward using a paper control card that was kept for each survey year. After development of the electronic questionnaire in 1997, the AHS had the capability to expand the number of values carried forward electronically. A more detailed overview of this dependent interviewing can be found at

https://www.census.gov/content/dam/Census/programs-surveys/ahs/tech-documentation/1985-2013/Dependent\_Interviewing\_1985\_to\_2013.pdf.

## File Structure

Separate PUFs are published for the integrated national longitudinal sample and the metropolitan area samples during the 1997-2013 period. These PUFs are referred to as the national PUF and the metropolitan area PUF.

As in recent years, HUD and the Census Bureau are providing the PUF in two structures—relational and flat. The structure of the PUFs is discussed in the next two sections. From 1985 through 1996, HUD and the Census Bureau provided the PUF in only one format structure called the flat file. Beginning in 1997, HUD and the Census Bureau provided the PUF in both a relational structure and a flat file.

All PUFs are published in SAS and CSV formats. Besides the difference in file type, two other important differences exist between the SAS and CSV formats. First, the SAS files will include descriptive column labels. Second, the representation of "not applicable" and "missing/refused" is different.

#### PUF Flat File Structure

In a flat file, there is one file that contains all the data. Each record represents a housing unit. One-tomany relationships (such as demographic data for persons) are represented by numbered sets of variables. For example, there will be a RACE1, SEX1, GRAD1, etc. for the first person in the household, and another set for the second person (RACE2, SEX2, GRAD2, etc.). If a housing unit has fewer than the maximum number of such entities, the extra sets of variables are filled with missing values.

In the May 2021 revisions to the 1997-2013 files, a standard file flattening format was created and applied to all years. This corrects some issues in the original flattened files which sometimes contained formatting inconsistencies between survey years, additional empty variables, inconsistent or lack of labeling, and other minor formatting problems. The revisions also include updates to the flattened files to make them consistent with the revised relational PUFs.

The following standards are now applied to all years:

- For AHS-N PUFs, there will be always be exactly one row in the flattened file for each unique CONTROL number in that year's HOUSEHOLD file. For AHS-MS PUFs, there will always be exactly one row for each unique combination of CONTROL and SMSA.
- Flattened variables are numbered consecutively (e.g., AGE1, AGE2, AGE3, AGE4, etc.).
- Flattened variables will only be created if there is at least one observation with non-missing data (e.g., if the maximum household size in a survey year is 18, the flattened AGE variables will go up to AGE18 for that year).
- Variables without data will be set to a missing value ("." for numeric or " " for character). For example, AGE4 will be a "." for a household with only three members.
- Flattened file names will use the following convention: ahs[4-digit year][n for national or m for metro]. For example, "ahs1998m" or "ahs2011n".

#### PUF Relational File Structure

In a relational database, the data for a given survey are divided among more than one file. Some of the files have one record per housing unit, but in others there may be many or no records for a given housing unit. For example, the file for person data has one record per person. A vacant unit would have no records in this file, while a unit with a large household may have many.

The PUF relational structure in 1997-2013 is similar to other year PUFs. The May 2021 revisions combined files that were previously separated to reduce the overall number of files needed. If a record is out of universe and doesn't exist on one of the files being combined (such as renter-occupied units not being on the original MORTG file), all variables on the smaller file for that record are set to a not applicable value. The following chart describes each table within the relational structure.

1997-2013 Relational Table Name	Description	Changes from Original PUFs
HOUSEHOLD	Contains one record for each housing unit. Also includes certain householder information, such as race and sex. The householder information is also on the PERSON file, but is duplicated in the HOUSEHOLD table for ease of use.	Household level tables from prior versions (HOUSHLD, WEIGHT, NEWHOUSE, REPWGT, TOPPUF, TOPICAL, RATIOV, OWNER, and MORTG) were combined into one HOUSEHOLD table. Note that unlike 2015, the mortgage file MORTG was at the household level.
PERSON	Includes one record for each person in the household. Each person in the person table received a unique person number PLINE.	Person level tables from the original JTW files were merged into the PERSON file.
PROJECT	Includes one record for each home improvement project undertaken by owner-occupied households within two years of the interview.	The original HOMIMP file was renamed to PROJECT to be more consistent with naming conventions with other survey years. Otherwise, this file functions the same.
RMOV	Includes one record for each recent mover group in a household (up to three), and contains variables from the recent mover section.	This file was not impacted by revisions. It also does not exist in 2015 or later, since all recent mover variables were flattened into the HOUSEHOLD files for those years.
OMOV	Includes one record for each out-mover group	This file was not impacted by revisions. It is also unique to topical data collected only in the 2013 AHS.

The PERSON, PROJECT, RMOV, and OMOV tables each have a many-to-one relationship with the HOUSEHOLD table. They can be linked to the HOUSEHOLD table using the variable CONTROL. CONTROL can also be used to link records from year to year. Metropolitan PUFs should be matched on CONTROL and SMSA.

Similarly, to match housing units longitudinally across survey years, data users can link by CONTROL for national and CONTROL and SMSA for metro sample. Because the CONTROL variable is formatted differently for 2011 and 2013 metro sample, linking those metro areas to prior years requires using CONTROLM and SMSA, which can then be linked to CONTROL and SMSA in prior years.

For data users familiar with the original 1997-2013 PUF relational files, below is a table with a description of all the files originally included in the 1997-2013 PUFs and how they were altered when the files were revised.

Relational		
Table	Years Used	Description
HOUSHLD	1997-1999	Includes one record for the housing unit, which includes the household occupying the unit. Holds most of the general information about the unit and the household. This was merged into the HOUSEHOLD table when the files were revised.
NEWHOUSE	2001-2013	Includes one record for the housing unit, which includes the household occupying the unit. Holds most of the general information about the unit and the household. This table replaces HOUSEHLD, TOPPUF, and WEIGHT. Also holds all the data from the householder's PERSON table record, so that these can be accessed without linking tables. (The name comes from "new househld file."). This was merged into the HOUSEHOLD file when the files were revised.
WEIGHT	1997-1999	Includes one record for each housing unit. Holds the survey weighting variables. This was merged into the HOUSEHOLD file when the files were revised.
TOPPUF	1997-1999	Includes one record for each housing unit. Holds variables that were recoded in the editing process. This was merged into the HOUSEHOLD file when the files were revised.
RMOV	1997-2013	Includes one record for each recent mover group in a household (up to three). Holds variables from the recent mover module. This file name and structure was not altered for the revisions.
OWNER	1997-2013	Includes one record for each rental occupied or vacant unit. Holds a variable on the presence of an owner or manager on site. This was merged into the HOUSEHOLD file when the files were revised. Variables that were originally exclusive to the OWNER file were set to Not Applicable values for owner occupied units.
MORTG	1997-2013	Includes one record for each owner-occupied unit with a mortgage or home equity loan. Holds sets of variables on up to three mortgages and three home equity loans. This was merged into the HOUSEHOLD file when the files were revised. Variables that were originally exclusive to the MORTG file were set to Not Applicable values for units that were not eligible to be on the original MORTG file.
HOMIMP	1997-2013 (except 1998 metro)	Includes one record for each home improvement project undertaken by an owner-occupied household in the past two years. This file was renamed to PROJECT to be consistent with 2015 and later survey years, but otherwise was not structurally changed during the revisions.

Relational				
Table	Years Used	Description		
JTW	1997-2009 (except 1998 metro)	Includes one record for each worker in the household. Holds data about commuting (journey to work). This was merged into the PERSON file during the revisions. Variables that were originally exclusive to the JTW file were set to Not Applicable value for household members who were excluded from JTW due to not having a commute.		
PERSON	1997-2013	Includes one record for each person in the household. Holds demographic and other data about the person. This file name and structure was not changed during the revisions.		
RATIOV	1998-2013 (except 2007)	Includes one record per renter household meeting a threshold of high cost-to-income ratio. Holds data about coping methods. This was merged into the HOUSEHOLD file when the files were revised. Variables that were originally exclusive to the RATIOV file were set to Not Applicable values for units that were not eligible to be on the original RATIOV file.		
REPWGT	2007-2009 national only	Includes one record per housing unit. Holds replicate weights. These are included in NEWHOUSE in 2011- 2013. This was merged into the HOUSEHOLD file when the files were revised.		
OMOV	2013	Includes one record per out-mover group. Holds some of the data from the topical module on doubled-up households. This file name and structure was not changed during the revisions.		
TOPICAL	2013	Includes one record per household. Holds the household- level data from the 2013 topical modules. This was merged into the HOUSEHOLD file when the files were revised.		
TYPEC	2011	Holds the data for "Type C" non-interview cases. The records in this file do <i>not</i> link to any other file in 2011, only longitudinally. (In other survey years, these cases are included in NEWHOUSE or HOUSHLD, as applicable). This file was deleted during the revisions because its information is included in the National Sample Case History File.		

#### CSV Structure

All PUFs in 1997-2013 are available in both SAS and Comma Separated Value (CSV) formats. The CSV format allows data users to import the data when using statistical packages that aren't compatible with SAS file formats.

The May 2021 revisions to the 1997-2013 files introduced a standardized CSV format for each PUF. This fixes inconsistencies in CSV formatting with the original files and creates CSVs for years where they did not originally exist. In addition, the CSV files are consistent with the revised PUFs. The following standards are applied to the CSV files:

- Variable names on the CSV datasets will match their names on the SAS version exactly.
- Variable values on the CSV datasets will match the SAS version exactly, except for missing and non-applicable values.
- The length of variables on the SAS and CSV datasets will match.
- Character variables in SAS will have single quotes (' ') around their values on the CSV file. Numeric values will not.
- SAS values of ' ', 'D', 'R', and 'N' for character variables and ., .D, .R for numeric variables will be assigned a value of -9 (Not Reported) on the CSV files. Note that this differs from the 2015 and later values for N because, in a few instances, an 'N' exists on files prior to 2015 (such as for the HDSB variable) where it is considered "Not Reported" rather than "Not Applicable".
- SAS values of 'B' for character variables and .B for numeric variables will be assigned a value of -6 (Not Applicable) on the CSV files.

## 1997-2013 Interactive Codebook

A codebook is a necessary tool for working with the PUFs. Prior to 2015, HUD and the Census Bureau maintained the AHS Codebook as a living document that spanned surveys conducted from 1997 through 2013. HUD and the Census Bureau made updates to the AHS Codebook each time a new survey was published. Other, static AHS codebooks covered surveys prior to 1997.

Starting with the 2015 PUF, HUD and the Census Bureau implemented an entirely new approach to maintaining the AHS Codebook called the AHS Codebook Interactive Tool. This tool is a web-based utility that includes all the information previously contained in the AHS Codebook but with enhanced functionality. This approach to presenting codebook-style information will enable HUD and the Census Bureau to easily update the AHS Codebook if corrections or additions are required.

The AHS Codebook Interactive Tool now includes both AHS-N and AHS-MS PUFs from 1973 to present, which covers all publicly available AHS data. The data includes variables on the AHS PUFs from 1973-2013 and includes both IUF and PUF variables for 2015 to present. The Codebook was also updated to be consistent with the May 2021 revisions to the 1997-2013 files. The current Interactive Codebook is located at <a href="https://www.census.gov/programs-surveys/ahs/tech-documentation/codebooks/ahs-codebook-tool.html">https://www.census.gov/programs-surveys/ahs/tech-documentation/codebooks/ahs-codebook-tool.html</a>.

Feature	Description	
Filter by survey	This feature enables users to filter the AHS Codebook by survey year.	
year	Users wishing to download an entire codebook for a specific year could	
	use this feature.	
Filter by topic or	This feature enables users to filter the AHS Codebook by a particular topic	
subtopic	and, if desired, a subtopic. This feature is helpful for viewing all the	
	variables in a particular topic.	
Download button	This feature enables users to download a selected portion of the AHS	
	Codebook. Format options include PDF and CSV.	
Mini-codebook	This feature enables users to download a PDF of all the variables in any	
download	specified survey year. The file includes the following fields: topic,	
	subtopic, variable name, and description. The purpose of the file is to	
	enable users to quickly review all the variables in the selected PUF and	
	IUF.	

Several features are built into the AHS Codebook tool to meet the needs of AHS PUF users. The following table describes various features.

Feature	Description
Historic view	When a variable's metadata has no changes, multiple years are grouped
	together into one entry. When a variable's metadata has changes for
	different years, the tool displays a separate entry. This feature allows users
	to easily identify when a variable's metadata has changed over time.

AHS = American Housing Survey. IUF = internal use file. PUF = public use file.

## 1997-2013 Internal Use Files

HUD and the Census Bureau make every attempt to include every possible AHS variable on the PUFs. However, our duty to protect respondents' confidentiality prevents public release of some variables. We maintain another file, the internal use file (IUF), that includes such variables.

If a 1997-2013 AHS PUF user cannot complete an analysis because one or more AHS variables are not available on the PUF, HUD and the Census Bureau may be able to help in two ways. First, HUD and Census Bureau staff can run special tabulations, with disclosure methods applied, using IUF Only variables. Second, HUD and Census Bureau staff can assist AHS users with the process of obtaining access to the AHS IUF. Data users can contact an AHS staff member with special table requests at ahsn@census.gov or at 301-763-3235.

AHS users should note this process requires the following steps. More details on the process are available at <u>https://www.census.gov/programs-surveys/ces/data/restricted-use-data/apply-for-access.html</u>.

- Submitting a detailed proposal.
- Submitting to a background check and obtaining a non-sensitive federal security clearance.
- Agreeing to become subject to legally binding disclosure restrictions.
- Willingness to travel to a Census Bureau Federal Statistical Research Data Center.<sup>1</sup>
- Willingness to pay a fee to access the Research Data Center.

Unlike the 2015 and later IUFs, variables unique to the 1997-2013 IUFs are not all documented in a publicly available data dictionary. Some limited information on historic IUF files is available at <a href="https://www.census.gov/content/dam/Census/programs-surveys/ahs/tech-documentation/American\_Housing\_Survey\_AHS\_Using\_the\_Internal\_User\_File\_IUF.pdf">https://www.census.gov/content/dam/Census/programs-surveys/ahs/tech-documentation/American\_Housing\_Survey\_AHS\_Using\_the\_Internal\_User\_File\_IUF.pdf</a>. Data users can reach out to the AHS staff contact listed above to verify whether an IUF has the variables needed.

## Respondent Types

Though the AHS visits the same housing units each cycle, units may be removed or added to the sample for various reasons throughout the survey process. HUD and the Census Bureau created the National Sample Case History File to track all sampled housing units in the integrated National sample. AHS users who want to know why various sample cases were not surveyed or were nonresponses for 1997-2013 can review this file.<sup>2</sup>

The 1997-2013 AHS PUFs include occupied interviews, usual residence elsewhere interviews, and vacant interviews. The variable STATUS indicates which of these three types of interviews was conducted.

<sup>&</sup>lt;sup>1</sup> For more information, see <u>https://www.census.gov/fsrdc</u>.

<sup>&</sup>lt;sup>2</sup> For more information, see <u>https://www.census.gov/programs-surveys/ahs/tech-documentation/help-guides/1985-</u>2013/national-sample-case-history\_2013.html.

While many of the original PUFs from this time period included non-interview sample, the May 2021 revisions removed noninterviews to be consistent with formatting in 2015 and later files.<sup>3</sup>

Noninterview Type	Reason for Noninterview	Eligibility for Future Surveys
Type A	Respondent refused the interview or	Housing unit remains in the sample
	could not be contacted.	for future surveys.
Type B	Housing unit was currently not habitable	Housing unit remains in the sample
	but could be habitable in a future year.	for future surveys.
Type C	Housing unit was demolished or	Housing unit is permanently
	destroyed.	removed from the sample.

As in other years, noninterviews are classified as one of three types indicated in the following table.

## Variable Formatting

The 1997-2013 PUFs include more than 1,400 unique variables across all relational tables, plus edit flags and replicate weights. These variables come in two types—numeric and categorical.

The May 2021 file revisions applied the following standardization rules to the variable formats:

- All variable names were fully capitalized.
- Labels matching the e-codebook descriptions were applied to all files.
- Variables without usable data were removed from the PUFs. This includes variables that had only missing values, only reported one unique value, or if they were determined to be artifacts of data processing that were not useful for publication. A full list of variables removed during revisions can be found in the Appendix.
- Some ineligible values (such as underscores or other special character) were set to a standard missing value.
- Variable types were updated to be consistent across survey years and with the use of the variable. A variable was set to numeric if it has a natural representation as a number, such as a count, dollar, or percent value. All other variables were stored as character values. While variable type will not change for most variables covering this time period, the standards for the original files stored larger categorical variables as numeric. A full list of variables with type changes during revisions can be found in the Appendix.
- Leading spaces were removed from character variables with a length greater than one, and these variables were padded with leading 0s where appropriate.
- A small number of duplicated person level records were resolved (this only impacts the 2002 PERSON file).
- Some sample had only 11-digits in the CONTROLM variable on the 2011 Metro PUF. These were updated to add a trailing 0, which allows them to match to the 12-digit CONTROL variables used in previous years.

Many of the 1997-2013 PUF variables represent the same or similar concepts as other years. However, many variable names are different. Because the 2015 AHS included an entirely new sample, HUD and the Census Bureau took the opportunity to change variable names to make them more user friendly and to

<sup>&</sup>lt;sup>3</sup> The following variables were also deleted from the files when non-interviews were removed: BBLDG, BOARDU, EXPOSE, DISAS, DFIRE, and NOINT. These variables were removed because they were only applicable for non-interviewed sample.

bring them in line with other surveys. More details on this process are available in the 2015 Getting Started Guide located at <u>https://www.census.gov/programs-surveys/ahs/tech-documentation/help-guides/2015-later/puf\_start.html</u>.

Due to the numerous changes in variable names, HUD and the Census Bureau have produced a crosswalk file comparing 2015 PUF variable names with PUF variable names from the 2011 AHS and 2013 AHS, which is available at <a href="https://www.census.gov/programs-surveys/ahs/data/2015/ahs-2015-public-use-file-puf-/ahs-2015-national-public-use-file-puf-.html">https://www.census.gov/programs-surveys/ahs/data/2015/ahs-2015-public-use-file-puf-.html</a>.

## Replicating PUF Estimates in Tables

Data users often wish to replicate estimates published in the AHS Summary Tables. HUD and the Census Bureau publish documentation called "Table Specifications," which explains how to replicate most summary tables. Table specification files are located in the Data section of the Census AHS website (<u>https://www.census.gov/programs-surveys/ahs/data.html</u>). To locate the table specifications, choose the year of survey you want, click on the link to the PUF, and then choose the National PUF or the Metropolitan PUF.

From 1997 through 2009, the table specifications included pseudocode (generally in SAS format). In 2011 and 2013, the specifications were reformatted to use excel files designed to correspond to each individual AHS summary table. The specifications for each table now show the variables that go into each row and column in a more transparent and intuitive manner.

The estimates in the publication tables are created with IUF files, and thus, may not exactly match estimates created from the PUF due to disclosure methodology that are applied to anonymize the public use data. The Topcoding and Disclosure section of this document covers these methods in more detail. Unlike the 2015 and later specifications, some of the internal variables used in the 1997-2013 files do not exist in public codebook documentation. In these cases, HUD and Census make every effort to show how these variables are created in the documentation available. The weighting section of this document discusses the correct weights to use to replicate published estimates in different years of the survey.

## Not Applicable vs. Not Reported

The 1997-2013 PUFs differentiate between respondents who didn't know, refused, or generally gave no response to the question. This differs from more recent PUFs which combine these into one "not reported" category. Below is a summary of how missing or not applicable data are identified in the 1997-2013 files.

#### SAS files<sup>4</sup>

SAS allows for more than one missing value code, represented by letters, even in numeric variables. The 1997-2013 AHS uses the following codes to represent missing values. For numeric variables, these codes will be preceded by a ".", such as ".B".

- B: Not applicable
- D: Don't know
- R: Refused
- N: Not reported (exists for very few variables, such as HDSB)

<sup>&</sup>lt;sup>4</sup> SAS programming tip: It's a good practice to place the statement MISSING B D R; at the beginning of your program to help SAS recognize missing values.

In addition, some numeric variables will have the (.) system missing code, and some character variables will have a blank (' ') missing value code. These are most often due to respondents missing the question at the time of the interview because they weren't eligible at the time or quit the interview early.

However, for some variables it could represent the absence of a positive response, such as a missing parent line number meaning that the person's parent doesn't live in the household. In another example, a missing value in a mark all that apply variable (where an 'X' means they have the characteristic) means the respondent did not pick that response from the answer categories. In this case, it can usually be treated as a "No" response, and is documented as such in the codebook.

#### CSV files

Because other statistical software has trouble importing the SAS missing value codes, especially for numeric variables, the CSV files are recoded. Note that the CSV program used is standardized to be consistent with the 2015 and later PUFs, so not reported values are consolidated into -9 codes.

- -6: Not applicable
- -9: Not reported

## Geography

As a national survey with a relatively small sample size, the AHS is limited in the geographic detail it can reveal on the PUF. There are two reasons for this. First, the combination of the survey's rich data and a localized geographic variable could compromise the confidentiality of the survey's respondents. Second, the small number of cases in a local geography do not comprise a sample size sufficient for statistically valid estimation.

The table below summarizes the geographic variables available on the 1997-2013 PUFs. For a more comprehensive discussion of AHS geography, including disclosure avoidance methodology and changes in definitions across survey years, see the 1985-2013 AHS geography guide at <a href="https://www.census.gov/programs-surveys/ahs/tech-documentation/help-guides/1985-2013/geography-public-use-file--1985-2013.html">https://www.census.gov/programs-surveys/ahs/tech-documentation/help-guides/1985-2013/geography--public-use-file--1985-2013.html</a>.

Geography Variable	Years	Description
REGION	1997-2013 National	The four Census regions. <sup>5</sup>
DIVISION	2011-2013 National	The nine Census divisions. For some cases on
		the PUF, divisions 5 (South Atlantic) and 6
		(East South Central) and divisions 8
		(Mountain) and 9 (Pacific) are combined to
		prevent disclosure.
STATE	1998-2011 Metro	This variable identifies state codes for sample
		in metropolitan areas. It cannot be used to
		make state level estimates. Data users are
		advised to use caution with this variable,
		because it is masked for some sample to prevent disclosure.

<sup>&</sup>lt;sup>5</sup> A list of states that make up each Census Region and Division can be found at <u>https://www2.census.gov/geo/pdfs/maps-data/maps/reference/us\_regdiv.pdf</u>.

Geography Variable	Years	Description
COUNTY	1998-2011 Metro	This variable identifies county codes for sample in metropolitan areas. It cannot be used to make county level estimates. In some cases, the variable may refer to a combined set of counties. For more information on the county code, see the codebook. Data users are advised to use caution with this variable, because it is masked for some sample to prevent disclosure.
DEGREE	1997-2013 National	This variable categorizes units into one of six climate categories based on climate data from its county.
CMSA	1997-2013 National	The Consolidated Metropolitan Statistical Area (CMSA) is a grouping of two or more metropolitan statistical areas. Users are advised that these are not representative in AHS.
SMSA	1997-2013 National and Metro	This code is the primary identifier of a metropolitan area in both the national and metro files. It can be used to make estimates of the indicated area on metro PUFs, but users are advised caution when using SMSA on the national PUFs. For national, SMSA is only representative for "big 6" sample in years where the oversample is on the file, and in those cases, it sometimes needs to use a collection of SMSA codes.
METRO	1998-2013 Metro	Identifies the primary and up to five secondary central cities.
METRO3	1997-2013 National	Recode identifying combinations of whether the unit is in a metro area, in a central city, and whether it is urban or rural.
PMSA	1998 Metro	Based on the 1990 Primary Metropolitan Statistical Area code for the metropolitan areas surveyed in 1998.
PSUDOTCT	2002-2009 Metro	Contains a number representing a pseudo Census tract for the year in which the sample was originally drawn. This is not present in all years. Generally speaking, this variable does not correspond with actual Census tracts, so it should only be used to identify sample that may be clustered together geographically, not to make tract level estimates.

Geography Variable	Years	Description
ZONE	1998-2011 Metro	Area of greater than 100,000 population
		constructed by HUD and usually based on
		central cities of the OMB MSA or PMSA at
		the time the sample was drawn. However,
		when possible, the ZONE codes were updated
		to reflect current central cities and their
		respective boundaries. Zone definition files
		are available for 2007-2013 on the AHS
		Metropolitan PUF web pages <sup>6</sup> .

## Core and Rotating Topics

The 1997-2013 AHS questionnaires are organized into topics, where a topic is a set of related questions about a particular subject matter. HUD and the Census Bureau group all the topics into two types—core and rotating. Core topics are administered in every survey. In some cases, questions in the core topics may be edited or deleted, or HUD and the Census Bureau may add a question to a core topic. When a question is edited, removed, or added to a core topic, the change is intended to be permanent.

Rotating topics may appear in one survey, not appear in the next survey, but reappear in a future survey. AHS users interested in reviewing the specific questions in the rotating topics can review the "items booklet" for each survey year located in the Questionnaires section at <u>https://www.census.gov/programs-surveys/ahs/tech-documentation/def-errors-changes.html</u>.

To maximize the number of rotating topics that can be included in a survey year, beginning with the 2013 AHS, HUD and the Census Bureau adopted a strategy of splitting the AHS sample in two groups, then administering some topics to Group 1 and some topics to Group 2. To produce estimates with the 2013 rotating topics, PUF users must use a special split sample weight pertaining the rotating topic group. These weights are discussed in the weighting section of this document.

More information on rotating topics can be found in the Topical Module History Report 2009-2019 located at <u>https://www.census.gov/programs-surveys/ahs/tech-documentation/help-guides/topmod\_hist\_report\_0919.html</u>. This document also includes information on the reason behind HUD's decisions to include particular topical modules.

The following table lists the rotating topics, their groups for split weighting purposes, and the AHS Interactive Codebook topic (or category) in which they can be found.

Survey	Торіс	Topical	Topic in the Codebook Database
Year		<b>Module Group</b>	
2009	Hurricane Katrina	Not Split	New Orleans Variables
2011	Healthy Homes	Not Split	Healthy Homes
2011	Home Accessibility	Not Split	Accessibility
2013	Public Transportation	Group 1	Public Transportation
2013	Community	Group 2	Neighborhood, Neighborhood Social
	Involvement	_	Capital Subtopic

<sup>&</sup>lt;sup>6</sup> Shapefiles can be found at <u>https://www.census.gov/programs-surveys/ahs/data.html</u> by selecting the year of interest from the tabs at the top (2007-2013), clicking the link to the AHS Public Use File (PUF), selecting Metro PUF, then scrolling to the Mapping Files section.

Survey	Торіс	Topical	Topic in the Codebook Database
Year		Module Group	
2013	Doubled-Up	Not Split	Doubling Up
	Households	_	
2013	Supplemental	Group 2	Neighborhood Features (Includes some
	Neighborhood Quality	_	core)
2013	Disaster Preparedness	Group 1	Disaster Planning
2013	Delinquent Payments	Not Split	Delinquency
	and Notices	_	

## Weights

Historically, the PUFs have included multiple weighting options, however this has often caused confusion for data users as only one weight is generally used for nearly all estimates

To resolve this, Census deleted some weighting variables from the 1997-2013 PUFs during the May 2021 revisions so that only the recommended weights are on the files. The table below lists all weighting variables originally published as part of 1997-2013 along with a description summarizing how they should be used and whether they were altered in the PUF revisions.

Variable Name	PUF Availability	Description
WEIGHT <sup>7</sup>	1997-1999 National	This is the primary weight used to replicate published estimates.
WGT90GEO	2001-2013 National	This is the primary weight used to replicate published estimates.
WEIGHT	2001-2013 National	This was a continuation of the weighting methodology used for the 1997-1999 National files. WEIGHT was removed from the 2001-2013 PUFs during the revisions and replaced by WGT90GEO to generate official estimates.
WEIGHT	1998-2013 Metro	This is the primary weight used to replicate published estimates for the independent metropolitan sample (excludes "Big 6" metro areas that are supplemental to the national sample).

<sup>&</sup>lt;sup>7</sup> Weights used to generate national estimates can also be used to generate applicable "big 6" supplemental metro estimates in years they are represented in the national file. However, users are advised that differences caused by disclosure avoidance methods that mask the SMSA value for some cases could contribute to larger differences than what they may see in a national estimate.

Variable Name	PUF Availability	Description
PWT	1997-2013 National and	This is a basic or "pure" weight that
	Metro	reflects the inverse probability of
		selection without additional
		adjustments. Advanced users can
		use this information to create
		custom weights, including
		longitudinal weighting. To reduce
		confusion, this variable was
		removed from the PUFs during
		revisions, but remains on the
		Sample Case History files.
SPLTWGT1	2013 National	National level weight for estimates
		using 2013 topical public
		transportation and disaster planning
		variables.
SPLTWGT2	2013 National	National level weight for estimates
		using 2013 topical neighborhood
		and social capital variables.
REPWGT1-160	2011-2013 National and	Replicate weights used for variance
	Metro	estimation for 2011 and 2013. For
		more information, see the variance
		estimation guide at
		https://www.census.gov/programs-
		surveys/ahs/tech-
		documentation/help-
	2011 2012 N. C. 1	guides/quickguide_repweight.html.
SUBWGI,	2011-2013 National	National subsidized renter weight
SUBWGIREPI-160	2012 Notice al	and its replicate weights
SPISUBWGI,	2013 National	National subsidized renter weight
SPISUBWGIREPI-160		and its replicate weights for
		transportation and disaster planning
		variables
SD2SUDWCT	2012 National	National subsidized renter weight
SP2SUDWGT,	2013 National	and its replicate weights for
SF2SOB WOTKEF1-100		estimates using tonical
		neighborhood and community
		involvement variables
WGTMETRO	Combined 2011 National and	This weight was originally included
WOTWILLING	Metro File	in the combined 2011 national and
		metro file because it already
		contained the national WEIGHT
		variable, but was renamed back to
		WEIGHT when the 2011 files were
		revised and split into separate
		national and metro PUFs.

Regarding weights, 1997-2013 AHS PUF users should note three other pieces of information-

- Weights are assigned only at the household level; there are no person-level weights present in the AHS. For additional information about how the weights were constructed, users should consult the "Sample Design, Weighting, and Error Estimation" documents published with each year's PUFs<sup>8</sup>.
- In 2013, for some AHS respondents in topical modules that are asked of only one group in the split sample, the value of SPLTWGT1 or SPLTWGT2 will be 0, but the value of WGT90GEO will be positive. This happens when the respondent answers enough survey questions to be considered a completed interview, but does not fully respond to questions in the topical modules to be considered sufficient for publication purposes.
- In general, nearly all STATUS 1-3 housing units have a positive weight on the PUF. However, a small number of housing units are given a positive weight only when regularly occupied, but a weight of 0 if vacant. This occurs with certain types of housing units such as a house boats or RVs that may not be considered a housing unit when vacant. Beginning in 2015, these types of units were set to a Type B non-interview when not occupied.
- For additional information about how replicate weights were constructed, users should consult "Guide to Estimating Variances for the American Housing Survey" located at <u>https://www.census.gov/programs-surveys/ahs/tech-documentation/help-guides/quickguide\_repweight.html</u>.

## Edits and Imputation

In some instances, AHS respondents provide answers that HUD and the Census Bureau believe are in error due to conflicts with other responses. Additionally, AHS respondents may not provide a response to certain questions if they do not know or refuse to answer.

In the case of an inconsistent response, for example if a respondent reports the year in which they moved into the unit being before their year of birth, Census may edit their year moved to match their birth year. This is referred to as a consistency edit.

When a respondent does not give a response, the value for the AHS variable may be imputed to fill in missing values. Variables that are edited or imputed are released with an edit flag, which is listed for each variable in the AHS Interactive Codebook. Edit flags in the 1997-2013 PUFs always start with a "J", but unlike the 2015 and later files, it will not always be followed by the exact name of the base variable and will not exist on the file unless the variable is edited or if it is created from multiple other variables with their own edit flags.

Edit flag variables in the 1997-2013 files will generally follow the response codes below.

#### Blank or -9: No edit or allocation

- 1: Variable was edited (consistency edits)
- 2: Variable was imputed (hot deck)
- 3: Variable was imputed (regression)
- 4: Variable was both edited and imputed

<sup>&</sup>lt;sup>8</sup> Sample Design, Weighting and Error Estimation documents can be found in the Accuracy of the Data section at: <u>https://www.census.gov/programs-surveys/ahs/tech-documentation/def-errors-changes.html</u>.

Unlike 2015 and later, many recoded variables will not have an edit flag. For this reason, researchers using the 1997-2013 PUFs are advised to consider using the edit flags for variables that are part of the recode (such as individual income type variables in the case of the household income recode).

Additional details on editing and imputation in this time period, including an overview of the different methods, can be found in the Item Nonresponse and Imputation: 1997-2013 document located at <a href="https://www.census.gov/programs-surveys/ahs/tech-documentation/help-guides/1985-2013/nonresponse">https://www.census.gov/programs-surveys/ahs/tech-documentation/help-guides/1985-2013/nonresponse</a> imputation 2013.html.

## Topcoding and Disclosure

To ensure respondent confidentiality, the Census Bureau requires that disclosure avoidance procedures be applied to certain PUF variables. For some variables, this means they cannot be published on the PUFs in any form. This is mentioned in the Internal Use File and Geography sections of this document.

A handful of other disclosure avoidance procedures are applied to 1997-2013 PUF variables. These procedures include collapsing into larger categories, adding noise to some variables, and topcoding. To maintain confidentiality, unusually high (and, in a few cases, low) values in the AHS dataset are replaced with maximum (or minimum) values, called top (or bottom) codes. Between the years of 2003 and 2013, the AHS PUF web pages contain a Topcodes file that documents the specific values for affected variables<sup>9</sup>. For some variables in the 1985-2013 PUFs, the original variable is retained in the IUF.

For additional information about disclosure avoidance methods, users should consult the 1985-2013 disclosure avoidance documentation available at <u>https://www.census.gov/content/dam/Census/programs-</u> surveys/ahs/tech-documentation/1985-2013/Disclosure\_Avoidance\_1985\_to\_2013.pdf.

## Value Label Packages

The 1985-2013 PUFs include a significant number of categorical variables. When using the PUFs, adding descriptions to a categorical variable's values can be a labor-intensive task. For example, a data user might want to add the descriptive names ("mobile homes", "single-family detached", "single-family attached") that correspond to a categorical variable's values ("1", "2", "3") in order to display each value's actual meanings in tabulated output.

For this reason, HUD and Census publish a value label package with each PUF. The package is a zip file that includes instructions for applying value labels to categorical variables and a spreadsheet that maps categorical variable values to descriptive names. Although the instructions are generally for SAS users, non-SAS users should be able to use the contents of the Value Labels Package.

Though value label packages existed for the original 1997-2013 PUFs, they were not always consistently formatted and were often manually maintained, which led to variables missing entries in some years. The 1997-2013 PUF revisions applied a standard Value Label Package format, which pulls directly from the Interactive Codebook response descriptions.

<sup>&</sup>lt;sup>9</sup> Topcodes files can be found at <u>https://www.census.gov/programs-surveys/ahs/data.html</u> by selecting the year of interest from the tabs at the top (2003-2013), clicking the link to the AHS Public Use File (PUF), selecting National PUF or Metro PUF, then scrolling to the Topcodes section.

# Appendix

## Variables with Type Changes During Revisions

Variable Name	Variable Description	Type Change	Years
			2013N, 2013M, 2011N, 2011M, 2009N,
			2009M, 2007N, 2007M, 2005N, 2004M,
	D : 11/1	Numeric to	2003N, 2002M, 2001N, 1999N, 1998M,
AIR	Room air conditioner	Character	1997N
	Number of floors in the apartment itself	Character to	1008M 1007N
APIFL	the apartment itsen	INUMERIC	2012N 2012M 2011N 2011M 2000N
			2019N, 2019N, 2011N, 2011N, 2009N, 2009N, 2009N 2007N 2007M 2005N 2004M
		Numeric to	2003N, 2002M, 2001N, 1999N, 1998M.
CELLAR	Unit has a basement	Character	1997N
	Reason first in-		
	mover group left	Numeric to	
DBIN1REAS	previous home	Character	2013N, 2013M
	Most important		
	reason person left	Numeric to	
DBINREAS	previous home	Character	2013N, 2013M
DDOUTIDE	Reason first out-	Numeric to	
DBOUTIREAS	mover group left	Character	2013N, 2013M
	Deegen former ee	Numeria to	
DBOUTREAS	occupant left home	Character	2013N 2013M
DDOUTKLAS	Fuel used by	Numeric to	2015N, 2015M 2005N 2004M 2003N 2002M 2001N
FCOKST	cookstove	Character	1999N, 1998M, 1997N
	Fuel used by		
	fireplace without	Numeric to	2005N, 2004M, 2003N, 2002M, 2001N,
FFRPL	inserts	Character	1999N, 1998M, 1997N
	Fuel used by	Numeric to	2005N, 2004M, 2003N, 2002M, 2001N,
FFRPLI	fireplace with inserts	Character	1999N, 1998M, 1997N
			2013N, 2013M, 2011N, 2011M, 2009N, 2007N, 2007N, 2007N, 2007N
	Unit has usaable	Numeria to	2009M, 2007N, 2007M, 2005N, 2004M, 2002N, 2002M, 2001N, 1008M
FPI WK	fireplace	Character	2003IN, 2002INI, 2001IN, 1999IN, 1998INI, 1997N
TILWK		Character	
	Fuel used by		
FRORTH	portable electric	Numeric to	2005N, 2004M, 2003N, 2002M, 2001N,
FPORTH	heaters	Character	1999N, 1998M, 1997N
			2013N, 2013M, 2011N, 2011M, 2009N,
	First site mobile	Numeric to	2009M, 2007N, 2007M, 2005N, 2004M,
FRSIT	home ever placed on	Character	2003N, 2002M, 2001N, 1999N

Variable Name	Variable Description	Type Change	Years
			2013N, 2013M, 2011N, 2011M, 2009N,
			2009M, 2007N, 2007M, 2005N, 2004M,
CDAD	Educational level of	Numeric to	2003N, 2002M, 2001N, 1999N, 1998M,
GRAD	Current interest rate	Character	1997/N
	on first home equity		
	line of credit -	Numeric to	
HEINF1	fraction	Character	1997N
	Current interest rate		
	on second home		
	equity line of credit -	Numeric to	
HEINF2	fraction	Character	1997N
	Current interest rate		
	on third home equity		
	line of credit -	Numeric to	10070
HEINF3	Iraction	Character	1997N 2012N 2012M 2011N 2011M 2000N
			2013IN, 2013IN, 2011IN, 2011IN, 2009IN, 2009M, 2007NI, 2007M, 2005NI, 2004M
	Main heating	Numeric to	2003N 2007N, 2007N, 2007N, 2004N, 2004N, 2004N, 2004N, 2003N 2002M 2001N 1999N 1998M
HEOUIP	equipment	Character	1997N
1			2013N, 2013M, 2011N, 2011M, 2009N,
	Educational level of	Numeric to	2009M, 2007N, 2007M, 2005N, 2004M,
HHGRAD	householder	Character	2003N, 2002M, 2001N
			2013N, 2013M, 2011N, 2011M, 2009N,
	Recent mover group	Character to	2009M, 2007N, 2007M, 2005N, 2004M,
HHMVG	of householder	Numeric	2003N, 2002M, 2001N
	Country of birth of	Numeric to	<b>2</b> 00433
HHNATVTY	householder	Character	2001N
	Relationship of	Numerica	2013N, 2013M, 2011N, 2011M, 2009N, 2007N, 2007N, 2007N, 2007N
ППДЕІ	household	Character	2009M, $2007$ N, $2007$ M, $2003$ N, $2004$ M, $2003$ N, $2002$ M, $2001$ N
IIIKLL	nousenoia	Character	2003IN, 2002INI, 2001IN
	Reports to work at	Numeric to	
НЈОВ	same place each day	Character	1997N
	Estimated		
	construction start	Numeric to	
HKDAMCSM	month	Character	2009M
	Estimated repair	Numeric to	
HKDAMGNM	completion month	Character	2009M
	Estimated		
	construction	Numeric to	2000) (
HKDAMGYM	completion month	Character	2009M
	Estimated repair start	Numeric to	200014
ΠΚυαινικοινι	Forced to move	Cnaracter	200911
	because of Hurricane	Numeric to	
HKMOVR	Katrina	Character	2009M

Variable Name	Variable Description	Type Change	Years
	I		
	Living in New	Nuu ania ta	
HKNORES	Hurricane Katrina	Character	2009M
TIKNOKES		Numeric to	20091
HKPERM	Permanently settled	Character	2009M
	Lived in shared		
	residence since	Numeric to	
HKSHAR1	Hurricane Katrina	Character	2009M
	Percent of first		
	mortgage to be paid		
	in the final balloon	Numeric to	
LOONCL	payment - calculated	Character	2013N, 2013M, 2011N, 2011M
	Shopped around		
	before choosing		
	lender for first	Numeric to	
MGRESA	mortgage	Character	2011N, 2011M
	Shopped around		
	before choosing	Name	
MCDESA2	lender for second	Numeric to	2011N 2011M
WIGKESAZ	Shopped around	Character	2011IN, 2011IM
	before choosing		
	lender for third	Numeric to	
MGRESA3	mortgage	Character	2011N, 2011M
			2009N, 2009M, 2007N, 2007M, 2005N,
		Character to	2004M, 2003N, 2002M, 2001N, 1999N,
MOVGRP	Recent mover group	Numeric	1998M, 1997N
			2013N 2013M 2011N 2011M 2009N
			2009M. 2007N. 2007M. 2005N. 2004M.
		Character to	2003N, 2002M, 2001N, 1999N, 1998M,
MVG	Recent mover group	Numeric	1997N
	Country of birth of	Numeric to	
NATVTY	person	Character	2001N
	Number of times		2013N, 2013M, 2011N, 2011M, 2009N.
	fuses blown or		2009M, 2007N, 2007M, 2005N, 2004M,
	breakers tripped,	Character to	2003N, 2002M, 2001N, 1999N, 1998M,
NUMBLOW	past 90 days	Numeric	1997N
			2013N, 2013M, 2011N, 2011M, 2009N,
	Number of times		2009M, 2007N, 2007M, 2005N, 2004M,
	heating equipment	Character to	2003N, 2002M, 2001N, 1999N, 1998M,
NUMCOLD	broke down	Numeric	199/N

Variable Name	Variable Description	Type Change	Years
			2013N, 2013M, 2011N, 2011M, 2009N,
	Number of toilet		2009M, 2007N, 2007M, 2005N, 2004M,
	breakdowns, 6 hours	Character to	2003N, 2002M, 2001N, 1999N, 1998M,
NUMTLT	or more	Numeric	1997N
			2013N, 2013M, 2011N, 2011M, 2009N,
	Fuel used by other		2009M, 2007N, 2007M, 2005N, 2004M,
	central air	Numeric to	2003N, 2002M, 2001N, 1999N, 1998M,
OAFUEL	conditioning unit	Character	1997N
PERSINT	Personal / telephone	Numeric to	1997N
	Interview	Character	2012N 2013M 2011N 2011M 2000N
	Relationship of		2015N, 2015N, 2011N, 2011N, 2005N, 2004N, 2009N, 2007N, 2007N, 2005N, 2004M
	nerson to reference	Numeric to	2003NI, $2007NI$ , $2007NI$ , $2003NI$ , $2004NI$ , $2004NI$ , $2003NI$ , $2002NI$ , $2001NI$ , $1008NI$
REL	person	Character	1997N
	person		2013N 2013M 2011N 2011M 2009N
			2009M, 2007N, 2007M, 2005N, 2004M,
	Reason unit was	Numeric to	2003N, 2002M, 2001N, 1999N, 1998M,
REUAD	added to sample	Character	1997N
	•		
	Children under five		
	live in or regularly	Numeric to	
SAFEU5KD	visit the household	Character	2011N, 2011M
	1980 design PMSA	Numeric to	2009N, 2007N, 2005N, 2003N, 2001N,
SMSA	code	Character	1999N, 1997N
			2013N, 2013M, 2011N, 2011M, 2009N,
			2009M, 2007N, 2007M, 2005N, 2004M,
TD + D IZ	Number of mobile	Numeric to	2003N, 2002M, 2001N, 1999N, 1998M,
TPARK	homes in group	Character	1997N
	Method of		2009N, 2009M, 2007N, 2007M, 2005N,
TDAN	transportation to	Numeric to	2004M, 2003N, 2002M, 2001N, 1999N,
IKAN	WORK	Character	1997IN 2012NL 2012ML 2011NL 2011ML 2000NL
			2015N, 2015N, 2011N, 2011N, 2009N, 2009N, 2009N, 2007N, 2007N, 2005N, 2004M
		Numeric to	2003NI, $2007NI$ , $2007NI$ , $2007NI$ , $2003NI$ , $2004NI$ , $2004NI$ , $2003NI$ , $2002M$ , $2001NI$ , $1008M$
TYPE	Housing unit type	Character	1997N
	Trousing unit type	Character	2013N 2013M 2011N 2011M 2009N
			2009M 2007N 2007M 2005N 2004M
		Numeric to	2003N, 2002M, 2001N, 1999N, 1998M
VACANCY	Vacancy status	Character	1997N
	ĺ		2013N, 2013M, 2011N, 2011M, 2009N,
			2009M, 2007N, 2007M, 2005N, 2004M,
		Numeric to	2003N, 2002M, 2001N, 1999N, 1998M,
WHYMOVE	Main reason moved	Character	1997N
	Worked at all last	Numeric to	
WLINEQ	week	Character	1997N

Variable Name	Variable Description	Type Change	Years
	Total number of		
	mover groups in	Character to	2013N, 2013M, 2011N, 2011M, 2009N,
ZMVGRP	household	Numeric	2009M, 2007N, 2007M, 2005N, 2004M

## Variables Dropped During Revisions

	Reason	
Variable Name	Dropped	Years
ACCERC	Non-interview	2013N, 2013M, 2011N, 2011M, 2009N, 2009M, 2007N,
ACCESS	variable	2007M, 2005N, 2003N, 2002M, 2001N, 1999N, 1998M
ADDIN3	No valid data	2013N, 2013M, 2011M
ADJDEP3	No valid data	2013N, 2013M
ADJFIX3	No valid data	2013M
ADJRTF3	No valid data	2013M
AMMRT4	No valid data	2013N, 2013M, 2009M, 1998M
AMTM2	No valid data	2013M, 2009M
AMTM3	No valid data	2013N, 2013M
APTAD	No valid data	2002M, 1998M
АРТСН	No valid data	2002M, 1999N, 1998M, 1997N
APTCM	No valid data	2002M, 1998M
APTSP	No valid data	2002M, 1998M
ARM3	No valid data	2013M
BALAMT3	No valid data	2013N, 2013M, 2011N, 2011M
		2013N, 2013M, 2011N, 2011M, 2009N, 2009M, 2007N,
	Non-interview	2007M, 2005N, 2004M, 2003N, 2002M, 2001N, 1999N,
BBLDG	variable	1998M, 1997N
BEDX	No valid data	1998M
BILLEF	No valid data	2011N, 2011M, 2009N, 2009M, 2007N
BILLEG	No valid data	2011N, 2011M, 2009N, 2009M, 2007N
BILLEO	No valid data	2011N, 2011M, 2009N, 2009M, 2007N
BILLET	No valid data	2011N, 2011M, 2009N, 2009M, 2007N
BILLEW	No valid data	2011N, 2011M, 2009N, 2009M, 2007N
BILLFE	No valid data	2009M, 2007M
BILLFG	No valid data	2009M, 2007M, 2003N, 2002M, 1999N, 1998M
BILLFO	No valid data	2009N, 2009M, 2007M, 2005N, 2004M
		2011N, 2011M, 2009N, 2009M, 2007N, 2007M, 2005N,
BILLFT	No valid data	2002M, 2001N
BILLFW	No valid data	2011N, 2011M, 2009N, 2009M, 2007N, 2007M, 2001N
BILLGE	No valid data	2007M
		2011N, 2011M, 2009N, 2009M, 2007N, 2007M, 2003N,
BILLGF	No valid data	2002M, 1998M, 1997N
BILLGO	No valid data	2011N, 2011M, 2009N, 2009M, 2007N, 2007M

Variable Name	Reason Dropped	Years
BILLGT	No valid data	2011N, 2011M, 2009N, 2009M
		2011N, 2011M, 2009N, 2009M, 2007N, 2007M, 2005N,
BILLGW	No valid data	2004M, 2003N, 2002M, 2001N, 1999N, 1998M, 1997N
BILLOE	No valid data	2009M, 2007M
		2011N, 2011M, 2009N, 2009M, 2007N, 2007M, 2005N,
BILLOF	No valid data	2004M, 2003N, 2002M, 2001N, 1999N, 1998M, 1997N
BILLOG	No valid data	2009M, 2002M
BILLOT	No valid data	2011N, 2011M, 2009N, 2009M, 2007N, 2001N
		2011N, 2011M, 2009N, 2009M, 2007N, 2007M, 2002M,
BILLOW	No valid data	1998M
		2011N, 2009N, 2009M, 2007M, 2005N, 2004M, 2002M,
BILLTF	No valid data	1999N, 1997N
	NT 1.1.1.	2009M, 2007M, 2005N, 2003N, 2002M, 2001N, 1999N,
BILLIO	No valid data	1998M 2011N 2011M 2000N 2000M 2007N 2007M 2005N
BILLTW	No valid data	2011IN, 2011IN, 2009IN, 2009IN, 2007IN, 2007IN, 2005IN, 2004M, 2003N, 2002M, 2001N, 1998M, 1997N
	No valid data	2004M, 2005N, 2002M, 2001N, 1998M, 1997N
BILLWF		2009N, 2009N, 2003N, 2004N, 2003N, 2002N
BILLWO	No valid data	2009N, 200/M, 2002M, 2001N, 1999N, 1998M, 199/N
BLOON2	No valid data	2009M
BLOON3	No valid data	2013M
		2013N, 2013M, 2011N, 2011M, 2009N, 2009M, 2007N,
	Non-interview	2007M, 2005N, 2004M, 2003N, 2002M, 2001N, 1999N,
DUARDU GAGU2		1990M, 1997M
CASH3	No valid data	2013N, 2013M, 2011N, 2011M
CHANGEDRECORD	variable	2007N 2005N
CROPSI	No valid data	2000M 2004M 2002M
CRUFSL		2009NI, 2004NI, 2002NI 2005NI 2004MI 2003NI 2002MI 2001NI 1999NI 1998M
DAFUR	No valid data	2005N, 2004NI, 2005N, 2002NI, 2001N, 1999N, 1998NI, 19
		2005N, 2004M, 2003N, 2002M, 2001N, 1999N, 1998M,
DCOKST	No valid data	1997N
		2005N, 2004M, 2003N, 2002M, 2001N, 1999N, 1998M,
DELECT	No valid data	1997N
	Non-interview	
DFIRE	variable	1998M, 1997N
		2005N, 2004M, 2003N, 2002M, 2001N, 1999N, 1998M,
DFLOT	No valid data	1997N
DEDDI	No volid data	2005N, 2004M, 2003N, 2002M, 2001N, 1999N, 1998M,
		2005N 2004M 2003N 2002M 2001N 1000N 1008M
DFRPLI	No valid data	1997N
		2005N, 2004M, 2003N, 2002M, 2001N, 1999N, 1998M
DHOTH	No valid data	1997N
		2005N, 2004M, 2003N, 2002M, 2001N, 1999N, 1998M,
DHPMP	No valid data	1997N

	Reason	
Variable Name	Dropped	Years
		2013N, 2013M, 2011N, 2011M, 2009N, 2009M, 2007N,
DIGAG	Non-interview	2007M, 2005N, 2004M, 2003N, 2002M, 2001N, 1999N,
DISAS	variable	1998M, 1997N 2005N 2004M 2002N 2002M 2001N 1000N 1008M
DPLF	No valid data	2005N, 2004N, 2005N, 2002N, 2001N, 1999N, 1998N, 1998N, 1998N,
		2005N, 2004M, 2003N, 2002M, 2001N, 1999N, 1998M.
DSTEAM	No valid data	1997N
		2005N, 2004M, 2003N, 2002M, 2001N, 1999N, 1998M,
DSTOVE	No valid data	1997N
		2013N, 2013M, 2011N, 2011M, 2009N, 2009M, 2007N,
FXPOSE	Non-interview	2007M, 2003N, 2004M, 2003N, 2002M, 2001N, 1999N, 1998M 1997N
EXTIN2	No valid data	2009N 2009M 2005N
EXTEN2 FIXED3	No valid data	2003N, 2003N, 2003N
FRSTRM3	No valid data	2013M
	No valid data	2013M
	No valid data	2015IVI 1009M 1007NI
HALB	No vand data	1998M, 1997N 2009M 2007N 2007M 2005N 2004M 2003N 2002M
HEBAL3	No valid data	2009N, 2007N, 2007N, 2009N, 2004N, 2005N, 2002N, 2002N,
HEBAM2	No valid data	2009M
		2013N, 2009N, 2009M, 2007N, 2007M, 2005N, 2004M,
HEBAM3	No valid data	2003N, 2002M, 2001N
HECR2	No valid data	2009M
		2013N, 2009N, 2009M, 2007N, 2007M, 2005N, 2004M,
HECR3	No valid data	2003N, 2002M, 2001N, 1999N
HELMP3	No valid data	1997N
HEPMT2	No valid data	2009M
	N	2013N, 2009N, 2009M, 2007N, 2007M, 2005N, 2004M,
HEPMIJ3	Processing	2003N, 2002M, 2001N
HISBUILT	variable	2004M
HISTRY	No valid data	1998M
НРМР	No valid data	2009M
HYBARM3	No valid data	2013M
HYBMYR3	No valid data	2013N 2013M 2011M
IMPRV3	No valid data	2013N
INCPR2	No valid data	2009M
103	No valid data	2013M
LISCH	No valid data	2002M. 1998M
LOON2	No valid data	2009M
LOONCL3	No valid data	2013N, 2013M, 2011N, 2011M
MAXADJ3	No valid data	2013M
MXDJTM3	No valid data	2013N, 2013M, 2011N, 2011M

Variable Name	Reason Dropped	Years
MXINTF3	No valid data	2013N. 2013M. 2011N
MXINTR3	No valid data	2013N. 2013M. 2011N
MXINTW3	No valid data	2013N. 2013M. 2011N
NOINT	Non-interview variable	2013N, 2013M, 2011N, 2011M, 2009N, 2009M, 2007N, 2007M, 2005N, 2004M, 2003N, 2002M, 2001N, 1999N, 1998M, 1997N
OLDMSFLG	No valid data	2011N
ORINTF3	No valid data	2013M
ORINTR3	No valid data	2013M
ORINTW3	No valid data	2013M
OTBUP	No valid data	2007N, 2007M
PMAMT2	No valid data	2009M
PMAMT3	No valid data	2013N, 2013M, 2011N, 2011M
PMT4	No valid data	2013N, 2013M, 2009M, 1998M
РТСНАМ3	No valid data	2013M
PWT	Redundant weighting variable	2013N, 2013M, 2011N, 2011M, 2009N, 2009M, 2007N, 2007M, 2005N, 2004M, 2003N, 2002M, 2001N, 1999N, 1998M, 1997N
R SAMEHH	Processing variable	1997N
REDPA2	No valid data	2007M
SAMEDU	No valid data	1998M
SHOCK3	No valid data	2013N
STATUS	No valid data	1998M
TADJ	No valid data	2011M, 2009M, 2007M, 2004M, 2002M
TALWIR	No valid data	2009M, 2007M, 2004M, 2002M
TASB	No valid data	2009M, 2007M, 2004M, 2002M
TIMBOM3	No valid data	2013M
TPBPAI	No valid data	2011M, 2009M, 2007M, 2004M, 2002M
TPBPIP	No valid data	2011M, 2009M, 2007M, 2004M, 2002M
TPBSOL	No valid data	2011M, 2009M, 2007M, 2004M, 2002M
TRADON	No valid data	2009N, 2007M, 2004M, 2002M
TREP	No valid data	2011M, 2009M, 2007M, 2004M, 2002M
TWATER	No valid data	2009M, 2007M, 2004M, 2002M
UNPBAL4	No valid data	2013N, 2013M
USFCAM	No valid data	1999N, 1998M
USFCHG	No valid data	1998M
VARM3	No valid data	2013M
WINUS	No valid data	2007N, 2007M

Variable Name	Reason Dropped	Years
	Redundant weighting	
WEIGHT	variable	2013N, 2011N, 2009N, 2007N, 2005N, 2003N, 2001N

#### Example SAS Code

This section provides some examples of using AHS data so that you can see what you need to do. Each example begins with a description of the general procedure, followed by the SAS code for users of that software

#### Example 1: Generating a Table

To build a simple crosstabulation of AHS data, you need to specify the variables to tabulate and the weighting variable. STATUS is the interview status of a sample case. NUNIT2 is the type of structure. They take these values (as you can find in the Interactive Electronic Codebook):

STATUS

Interview status

- 1: Occupied interview
- 2: URE (Usual Residence Elsewhere) interview
- 3: Vacant interview
- 4: Noninterview

#### NUNIT2

Are these living quarters in a...

- 1: One-unit building, detached from any other building
- 2: One-unit building, attached to one or more buildings
- 3: Building with two or more apartments
- 4: Manufactured (mobile) home

B or -6: Not applicable

An example of SAS code that can be used to generate the table is below:

**PROC FREQ DATA=AHS2013N.HOUSEHOLD;** /\* This line specifies which dataset to use as input. \*/ TABLE STATUS\*NUNIT2; /\* This section specifies which variables to use in the tabulation. \*/ WEIGHT WGT90GEO; /\* This section specifies the weighting variable. \*/ RUN;

Running the code above gives the following output.

#### The FREQ Procedure

#### Table of STATUS by NUNIT2

.

STATUS(Interview status)		NUNIT2(Structure type)			
Frequency Percent Row Pct Col Pct	1	2	3	4	Total
1	7.432E7 55.95 64.15 89.12	6619456 4.98 5.71 87.32	2.799E7 21.07 24.16 84.17	6917166 5.21 5.97 80.40	1.159E8 87.22
2	1150865 0.87 62.84 1.38	99266.7 0.07 5.42 1.31	437491 0.33 23.89 1.32	143917 0.11 7.86 1.67	1831539 1.38
3	7918751 5.96 52.27 9.50	862098 0.65 5.69 11.37	4825916 3.63 31.86 14.51	1542157 1.16 10.18 17.93	1.515E7 11.40
Total	8.339E7 62.78	7580820 5.71	3.326E7 25.04	8603240 6.48	1.328E8 100.00

#### Example 2: Linking Files

Another common procedure when using the AHS PUFs is to link variables referring to the same housing unit that exist on two different files. In this example, we create a file called MATCH that has both the 2011 and 2013 interview status variables for any housing unit that exists on either the 2011 or 2013 national HOUSEHOLD dataset.

The code below uses an SQL statement to merge the files, then creates a list tabulation of the 2011 and 2013 STATUS variables.

#### **PROC SQL**;

CREATE TABLE MATCH AS /\* Specify the name of the output dataset \*/ SELECT AHS11.STATUS AS STATUS2011, AHS13.STATUS AS STATUS2013 /\* Rename the STATUS variable on the 2011 and 2013 files. \*/ FROM AHS2011N.HOUSEHOLD AHS11 FULL JOIN AHS2013N.HOUSEHOLD AHS13 /\* Specify files to link. \*/

ON AHS11.CONTROL = AHS13.CONTROL; /\* Files are linked by CONTROL. \*/

#### QUIT;

#### **PROC FREQ DATA=**MATCH;

TABLES STATUS2011\*STATUS2013 / LIST MISSING; /\* Create list table of the variables. \*/ RUN;

After running the code above to link the 2011 and 2013 HOUSEHOLD files by CONTROL, the FREQ procedure generates the following output.

The	FRED	Procedure
	1.1.1.06	110000000000000000000000000000000000000

STATUS2011	STATUS2013	Frequency	Percent	Cumulative Frequency	Cumulative Percent
	1 2	15355 199	19.50 0.25	15355 15554	19.50 19.75
1	3	2193 7427	2.78 9.43	17747 25174	22.54 31.97
1	1 2	41355	52.51 0.28	66529 66753	84.48 84.77
2	3	3021 103 975	3.84 0.13	69774 69877 70159	88.6V 88.73
2	2	182	0.35	70334	89.31 89.80
3	1	1176 3112	1.49	71893 75005	91.29 95.24
3 3	2 3	276 3469	0.35 4.41	75281 78750	95.59 100.00

Note that the above output is unweighted and includes missing values. STATUS does not have any missing values in any survey year, so we know that the missing values in the above frequency mean that the sample unit does not exist in that year. For example, the first line can be interpreted as there being 15,355 sample units that exist on the national 2013 HOUSEHOLD file with a STATUS of 1 which don't have a record on the 2011 national HOUSEHOLD file.

Files can be linked across years when they are longitudinal, or between datasets in the same year.